# STATE OF ARIZONA IN THE OFFICE OF ADMINISTRATIVE HEARINGS

PARENT(S) ... and ... Petitioners,

Petitioners, v. SCOTTSDALE UNIFIED SCHOOL

Respondent.

DISTRICT,

No. 12C-DP-006-ADE

ADMINISTRATIVE LAW JUDGE DECISION

**HEARING:** Conducted on February 22, 2012, February 23, 2012, February 24, 2012, and February 28, 2012. Closing argument was presented on March 5, 2012, followed by receipt of the Court Reporter's transcript.<sup>1</sup> The hearing record concluded as of April 2, 2012.<sup>2</sup>

**WITNESSES:** Petitioners called the following witnesses:

Parent ("Father") and Parent ("Mother");

Debra Delabio ("Teacher, NWLA")<sup>4</sup>;

Deborah Fash ("Intervention Director, NWLA")<sup>5</sup>;

Kathy Gilbert ("Kindergarten Teacher, Aztec)<sup>6</sup>;

Leigh Hague (First Grade Teacher, Redfield")<sup>7</sup>;

Office of Administrative Hearings 1400 West Washington, Suite 101 Phoenix, Arizona 85007 (602) 542-9826

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<sup>&</sup>lt;sup>1</sup> Pursuant to discussion with the parties, the Court Reporter's transcript is the official record of the due process hearing. White & Associates transcribed the proceedings and the company provided its transcript to the parties and to the Tribunal. The Tribunal has, by statute, also made a digital recording of the proceedings.

<sup>&</sup>lt;sup>2</sup> On April 27, 2012, the 45<sup>th</sup> day in this matter was extended by agreement of the parties. Previously, the 45<sup>th</sup> day was April 29, 2012; per Minute Entry dated April 30, 2012, the 45<sup>th</sup> day is now May 1, 2012.

Some witnesses were on both parties' witness list. To avoid the use of proper names, and for confidentiality, witnesses are designated a generally descriptive title to be used in the body of the Decision, if necessary. The witnesses' proper names are grouped here for ease of redaction.

<sup>&</sup>lt;sup>4</sup> NWLA is an acronym for New Way Learning Academy. Summer, 2009. She was Lead Teacher for 2009-2010 and 2010-2011, and left the employ of NWLA in June 2011.

<sup>&</sup>lt;sup>5</sup> As related to Student's enrollment, she was the Intervention Director overseeing the speech and language program in 2009-2010 and 2010-2011. She is also a Speech Language Pathologist, but did not provide direct services to Student at NWLA.

<sup>6</sup> 2008-2009.

<sup>&</sup>lt;sup>7</sup> 2009-2010.

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29 30 Joy Gehart ("Speech Language Pathology Assistant, NWLA"); Jim Selgo ("School Co-Principal 2, Redfield")<sup>8</sup>; Walter Chantler ("School Co-Principal 1, Redfield")<sup>9</sup>; Ruth Del Vecchio ("Speech Language Pathologist, Aztec")<sup>10</sup>; Carol R. Lake (Learning Resource Teacher, Redfield")<sup>11</sup>; and,

Lois Healy ("Former Special Education Director").

District called the following witnesses:

Mary Louisa Quirarte ("Special Education Teacher");

Nicole Colaiacovo Kulesza ("School Psychologist");

Kathleen M. Gustine ("Speech Language Pathologist, Redfield");

Birgit D. Lurie ("Lead Psychologist")<sup>12</sup>; and,

Janet R. Brusca ("Special Education Coordinator")<sup>13</sup>.

ADMINISTRATIVE LAW JUDGE: Kay A. Abramsohn

Parents, on behalf of Student, bring this due process action with two remaining issues.<sup>14</sup> Petitioners allege that District violated the IDEA when it failed to offer or provide a free and appropriate public education ("FAPE") through District's 2009-2010 offer of the Academic Learning Center ("ALC") self-contained District educational placement for Student, and denied a 2009-2010 private-day school educational placement at New Way Learning Academy ("NWLA") for Student.<sup>15</sup> Petitioners also

<sup>&</sup>lt;sup>8</sup> 2009-2010. In 2008-2009, School Principal, Aztec. Aztec Elementary School closed and merged with the former Zuni Elementary School.

<sup>&</sup>lt;sup>9</sup> 2009-2010. Redfield Elementary School was formerly known as Zuni Elementary School. <sup>10</sup> 2008-2009.

<sup>&</sup>lt;sup>11</sup> 2009-2010.

<sup>&</sup>lt;sup>12</sup> As Lead Psychologist, her role is to provide support to the other District school psychologists. See Transcript, page 789-790. She is also an Autism Specialist with the District.

In August of 2009, she was the Special Education Coordinator for all the District's self-contained elementary programs. See Transcript, page 922.
 Petitioners filed this due process complaint notice on August 18, 2011. See Administrative Law Judge

ORDER dated December 30, 2011 regarding the statute of limitations and dismissing several issues.

At closing argument, Petitioners also argued that District's offer did not provide FAPE because the IEP did not mention transportation for Student to the ALC program. However, other than their disagreement with the proposed environment of special education services for Student (*i.e.*, the ALC program), Petitioners' Complaint raised no substantive issues regarding the special education services or related services either proposed or set forth in either the May 2009 or August 2009 IEP. Therefore, Petitioners' argument regarding a failure to state related transportation services in the August 20, 2009 IEP will not be

allege that District procedurally violated the IDEA by predetermining its August 20, 2009 offer of ALC program educational placement, failing to consider Student's then-recent evaluations and Student's individual needs, and, thereby, failed to offer or provide FAPE for Student.

As remedy for these alleged violations, at hearing, Petitioners requested reimbursement for Student's tuition costs, summer school sessions costs, transportation costs, and some unspecified evaluations, as a result of the parental private placement at NWLA, for the academic years 2009-2010 and 2010-2011 and summer school sessions in 2010 and 2011.<sup>16</sup>

# **APPLICABLE LAW**

The law governing this due process proceeding is the Individuals with Disabilities Education Act ("IDEA"), 20 United States Code (U.S.C.) §§ 1400-1482 (as reauthorized and amended in 2004),<sup>17</sup> and its implementing regulations, 34 Code of Federal Regulations (C.F.R.) Part 300,<sup>18</sup> as well as the Arizona Special Education statutes, Arizona Revised Statutes (A.R.S.) §§ 15-761 through 15-774, and implementing rules, Arizona Administrative Code (A.A.C.) R7-2-401 through R7-2-406.

### **DUE PROCESS HEARING**

The parties presented testimony and Exhibits at the four hearing sessions. During the hearing sessions, Petitioners elicited testimony from the witnesses noted above and presented the following Exhibits in four notebooks:

Notebook 1 with exhibits 1 through 94, bates-stamped 1 through 406;<sup>19</sup>

included (because the offered program was not at Student's home school).

<sup>&</sup>lt;sup>16</sup> See Exhibits 1-7 through 1-30, which are summarized in Exhibit 1-31. See also copies of enrollment agreements, Exhibits 1-32 and 1-34. No hearing exhibits were located setting forth actual costs of transportation; however, Petitioners' Complaint, Allegations 156, 158, 160 and 162 sets forth the distance between Student's residence and NWLA. No specifics were provided during the hearing regarding the procurement, or cost, of any particular evaluations for which Petitioners requested reimbursement. While Petitioners' exhibits contain three evaluations (psychoeducational, speech and language, and occupational therapy) that were performed in 2010, Petitioners provided no invoices for these evaluations.

<sup>&</sup>lt;sup>17</sup> Through Public Law 108-446, known as the "Individuals with Disabilities Education Improvement Act of 2004," IDEA 2004 became effective on July 1, 2005.

The current federal regulations became effective October 13, 2006; several amendments were subsequently promulgated, effective December 31, 2008.

19 Notebook 1 is entitled Submission of Evidence produced by [District] in response to Subpoena Duces

<sup>&</sup>lt;sup>19</sup> Notebook 1 is entitled Submission of Evidence produced by [District] in response to Subpoena Duces Tecum by the Petitioners. These exhibits may be referenced as 1-1, 1-2, 1-3, etc. and may also reference a bates-stamp page number for multiple page exhibits.

Notebook 2 with exhibits 1 through 89, bates-stamped 1 through 433;<sup>20</sup> and, Notebook 3 with exhibits 1 through 139, bates-stamped 1 through 467<sup>21</sup> and Notebook 3A with exhibits 140 through 197, bates-stamped 468 through 782.

District elicited testimony from the witnesses noted above and presented Exhibits designated A through GG, bates-stamped 1 through 210.

#### **DETERMINATION**

The Administrative Law Judge has considered the hearing record, including the testimony and exhibits, <sup>22</sup> and now makes the following Findings of Fact, Conclusions of Law, and Order finding that Petitioners have not met the burden to show that District failed to offer FAPE to Student, and that Petitioners have not met the burden to show that District denied FAPE through an alleged procedural failure of predetermination of the offered educational placement. As a result of these determinations, the Petitioners are not entitled to the relief sought and Petitioners' Complaint should be dismissed.

#### FINDINGS OF FACT

- 1. At the time of the due process complaint notice ("Complaint") in this matter, Student was an year old child. Student had previously been determined by District to be eligible for special education services as a child with Specific Learning Disability ("SLD") and Speech or Language Impairment ("SLI").<sup>23</sup>
- 2. For the academic year 2008-2009, beginning August 11, 2008, Student was enrolled in Kindergarten at the Aztec Elementary School ("Aztec") campus.<sup>24</sup> Student was receiving special education services supported through the Learning

<sup>&</sup>lt;sup>20</sup> Notebook 2 is entitled Submission of Evidence related to [Student]'s school records from [District], correspondence, e-mails, and relevant court documents. These exhibits may be referenced as 2-1, 2-2, 2-3, etc., and may also reference a bates-stamp page number for multiple page exhibits.

<sup>&</sup>lt;sup>21</sup> Notebooks 3 and 3A are entitled Submission of Evidence related to [Student]'s school work and other documents from New Way Academy. These exhibits, although broken up into two notebooks, may be referenced as 3-1, 3-2, 3-3, etc. and may also reference a bates-stamp page number for multiple page exhibits.

<sup>&</sup>lt;sup>22</sup> The Administrative Law Judge has read each witness-referenced Exhibit, even if not mentioned in this Decision. The Administrative Law Judge has also considered the testimony of every witness, even if not mentioned in this Decision.

<sup>&</sup>lt;sup>23</sup> See Exhibit F, May 11, 2009 Individualized Education Program ("IEP"). See also Exhibit 2-26, May 14, 2008 IEP noting Student's prior eligibility category of pre-school moderate delay ("PMD") and, effective 8-11-08, the category of SLD.

<sup>&</sup>lt;sup>24</sup> See Exhibit 2-26, May 14, 2008 IEP noting Student's enrollment at Aztec effective 8-11-08.

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Resource Center ("LRC") at Aztec.<sup>25</sup> On April 21, 2009, after review of the existing data, Parents requested that Student obtain an independent educational evaluation ("IEE") with regard to her speech and language needs.<sup>26</sup>

3. On May 11, 2009, the IEP Team convened to discuss Student's next annual IEP.<sup>27</sup> Because Student would no longer be enrolled in pre-school the next school year, the pre-school minutes per week were removed from the IEP. The IEP Team increased the Student's pull-out LRC sessions from two sessions per day to three sessions per day but made no changes to the amount and frequency of Student's related services (Speech therapy, Physical therapy, Occupational therapy and Adaptive physical education).<sup>28</sup> At that time, the new speech-language and psychoeducational evaluations' reports had not yet been received by the Parents or the District and, therefore, the IEP Team agreed to meet again, after receipt of the reports in order to review the new data.<sup>29</sup> However, the May 11, 2009 IEP was completed at that time with regard to proposed services for Student and continued to indicate LRC special education services for Student.<sup>30</sup> The school site for Student's special education services was noted to be "Aztec ES," i.e. Aztec Elementary School. Student's IEP was effective on May 12, 2009.<sup>31</sup>

<sup>&</sup>lt;sup>25</sup> Exhibit X contains a general description of the LRC program in addition to general descriptions of other District special education programs.

See Exhibit 2-38. Parents requested that the evaluation be performed by Anita Werner.
 See Exhibits 2-36 and 2-37, e-mails regarding scheduling the May 2009 IEP meeting.

<sup>&</sup>lt;sup>28</sup> Compare Exhibit 2-26, May 14, 2008 IEP, bates-stamp page 70 and Exhibit F, May 11, 2009 IEP, bates-stamp page 56. See also Exhibit 2-27, bates-stamp page 85, "Conference Summary" portion with regard to the IEP Team's consideration of various educational placements for Student for the 2008-2009 year.
<sup>29</sup> See Exhibit F, bates-stamp page 41. Parents characterize this agreement as holding the "proposed" IEP and placement "in abeyance" pending the evaluations and considerations thereof, and looking forward to "amending" the IEP. See Exhibits 2-45 and 2-46. Parents subsequently characterize their position as "not accepting" the May 11, 2009 IEP because it was "open to review subject to the reports from Anita Werner and Dr. Valerie Hoffman." See Exhibit 2-53. Of note, at hearing, School Psychologist testified that she recalled (from a meeting on August 10, 2009) Father indicating that he had not agreed with the May 2009 IEP but had only signed that he was in attendance. See Transcript, page 628. Also, at hearing, Father testified that he had signed the IEP because he was present but not in "acceptance" of the IEP. See Transcript, page 487.
<sup>30</sup> A copy of the May 11, 2009 Prior Written Notice ("PWN") can be found as an attachment to Parents'

<sup>&</sup>lt;sup>30</sup> A copy of the May 11, 2009 Prior Written Notice ("PWN") can be found as an attachment to Parents' August 27, 2009 letter of disagreement to the District's August 21, 2009 PWN. See Exhibit 1-51, bates-stamp page 100. The May 11, 2009 PWN states that the District "[p]roposes to initiate the following: IEP with 3 contacts per day of resource and continue related services of speech language, physical therapy, occupational therapy and adaptive physical education. Continue to explore option of self-contained."

<sup>31</sup>See Exhibit F, bates-stamp page 40; see also Exhibit 1-51, bates-stamp page 100.

- 5. On May 18, 2009, Parents participated in a site visit to the Academic Behavior and Communication ("ABC") classroom on the Zuni Elementary School ("Zuni") campus and, although they met the teacher and speech pathologist, Parents were not able to view any class instruction because there were no students in the class at that time and the classroom was apparently in the process of being packed up for a move to another location.<sup>32</sup>
- 6. Anita Werner, M.S., CCC-SLP, and Nicole Boulanger, M.S., CFY-SLP, performed a comprehensive speech and language evaluation on Student over two days: May 18, 2009 and May 27, 2009.33 Ms. Werner diagnosed Student with Communication Disorder, Receptive/Expressive and Selective Mutism.<sup>34</sup> Based on the formal and informal testing, Ms. Werner made a recommendation for speech therapy for Student for two thirty-minutes sessions per week, and Ms. Werner provided her recommendations for specific goals related to Student's areas of communication deficiencies. Ms. Werner discussed three possible options for therapy for the selective mutism, projecting a mixed model focusing on participation in social engagement both verbally and nonverbally. Finally, Ms. Werner also made multiple recommendations for strategies and accommodations, i.e., "management," in the classroom setting (and elsewhere) to enhance Student's auditory processing and language formulation, along with precautionary suggestions.
- 7. Valerie R. Hoffman, Psy.D., performed a psychoeducational evaluation on Student over three days: April 13, 2009, April 23, 2009 and May 12, 2009.<sup>35</sup> Dr. Hoffman diagnosed Student with Specific Learning disabilities in math and reading, and

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<sup>&</sup>lt;sup>32</sup> See Exhibit 2-44. By the beginning of the 2009-2010 academic year, Aztec had closed, and had been combined with Zuni and renamed Redfield.

See Exhibit H.

<sup>&</sup>lt;sup>34</sup> In making her report subsequent to all the testing, Dr. Valerie Hoffman reviewed Ms. Werner's assessments, concurring in the findings, noting that Student had demonstrated better receptive language skills than expressive communication skills but also demonstrated significant weaknesses in auditory comprehension. See Exhibit G, page 7 of 11 (bates-stamp page 79). See Exhibit H.

with Communication Disorder and Selective Mutism.<sup>36</sup> Dr. Hoffman concluded as follows:

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[Student] is a g-year old girl who is currently completing her kindergarten year in a regular education classroom with She has a history of speech delay, resource support. learning disabilities in reading and math, and social anxiety. She currently has an IEP in which she has been found eligible for speech therapy, occupational therapy, and physical therapy, in addition to resource support. [Student] has been evaluated multiple times with results ranging from Average to Borderline intellectual abilities. Her speech and language scores have been consistently low. Motor skills have also been delayed. [Student]'s parents have requested an evaluation to better understand [Student]'s needs and to help with placement decisions for her first grade year.

Initially, [Student] was very difficult to test, as she was largely nonverbal during testing. The results of the initial cognitive testing using the WISC-IV are likely a gross underestimate of her abilities. Additional testing using the RIAS seemed to be a better measure of her abilities, but these results may also underestimate her potentials. [Student] is demonstrating a profile of cognitive skills in which her verbal IQ is significantly reduced as compared to her nonverbal IQ. Therefore, her Full Scale IQ should not be used to estimate her cognitive potentials, as doing so would result in a gross underestimate of abilities. [Student] has at least Average range nonverbal intellectual abilities, and although her verbal abilities are significantly low (3<sup>rd</sup> percentile), [Student] should not be viewed as a child with an intellectual disability. [Student]'s low verbal skills are likely impacted both by her language/communication disorder as well as her anxiety about performance situations.

[Student] has previously been diagnosed as having a Specific Learning Disability. She currently shows significantly reduced math skills (2<sup>nd</sup> percentile). Current testing found reading skills within the lower end of the Average range for her young age; however, item analysis revealed that [Student] does not consistently identify letters or sounds, and she was not able to identify rhyming words or

<sup>&</sup>lt;sup>36</sup> Dr. Hoffman ruled out a diagnosis of autism. See Exhibit G, pages 6 and 7 of 11 (bates-stamp pages 78 and 79).

beginning and ending sounds that were the same. Testing through Pediatric Speech and Language Specialists (PSLS) found significant weaknesses in phonological awareness. Therefore, [Student] demonstrates the beginning of a phonological dyslexia and she is at risk for falling further behind her peers in reading. [Student] will require continued support for both reading and math.

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[Student] has a history of a speech and language impairment. Indeed, she shows continued signs of a language impairment. Informal observations of [Student]'s speech revealed the presence of grammatical and syntactical errors in her spoken language. Formal speech and language testing (PSLS) found significant weaknesses in expressive language skills and listening comprehension. addition to her language deficit, [Student] demonstrates greatly reduced speech output as a product of social anxiety. For example, during my initial meeting with [Student], she was almost entirely mute. However, once my attention was directed away from [Student], she was able to speak in multiple word sentences (although her sentence structure was awkward). Parents endorse that [Student] is shyer than other children her age and they observe her to be withdrawn and reserved in new settings. In this regard, [Student] appears to have both a speech and language impairment and the presence of Selective Mutism. Selective Mutism interferes with her academic performance, such as when she is unable to respond to academic tasks that require verbal output. Although many children with Selective Mutism have normal language skills, Selective Mutism may be associated with a Communication Disorder, as is the case for [Student]. Children with Selective Mutism demonstrate the capacity to speak in some situations, while remaining mute or nearly mute in other situations, such as in This is true for [Student] who performance situations. speaks in multiple word sentences at home, but hardly speaks at all in social settings. [Student] does not have an autism spectrum disorder.

[Student]'s multiple areas of deficit are concerning and she will require the continued support of special education services. She would benefit from a very structured environment with a low student-to-teacher ratio where she can receive continuous support. Small group and individualized instruction will be best. [Student] will also need support emotionally and behaviorally. She is

withdrawn, mute at times, and she is resistant to adult-directed tasks. She would benefit from a specific behavior modification plan to shape increased cooperation and to provide a structured schedule of reward for desired behaviors, including verbalization and participation.

Dr. Hoffman indicated that her report should go to the school's multidisciplinary team to update Student's IEP and determine what services "will best fit" Student's needs. Dr. Hoffman indicated that a special education placement was recommended, but not in a program "with children who are intellectually impaired or behaviorally challenged because Student "requires a program that will expose her to a language rich environment" along with intervention for her academic needs. Dr. Hoffman further indicated that social interaction needed to be taught and that Student "will likely perform best in a small classroom with no more than 12 students." Dr. Hoffman recommended reading and math interventions through a qualified teacher (not a paraprofessional), such as "Wilson, Lindamood Bell, Orton Gillingham, and others" in a small group setting or, preferably, individual instruction.

#### Dr. Hoffman noted:

Should the school district not have an appropriate program, a day school setting such as New Way Learning Academy or Lexis Preparatory Academy should not be considered too restrictive an environment. [Student]'s current needs for specific academic intervention, speech/language intervention, and social interaction override her need to be included in a mainstream environment with "typical peers."

Finally, Dr. Hoffman deferred, in the area of speech and language therapy, to Ms. Werner's evaluation and recommendations. Dr. Hoffman also provided several suggestions for strategies in interventions.

8. On July 29, 2009, Parents provided the Werner report and the Hoffman report to District.<sup>38</sup> Parents requested that the IEP meeting reconvene to complete the IEP.

 <sup>&</sup>lt;sup>37</sup> Id, page 9 of 11 (bates-stamp page 81).
 <sup>38</sup> See Exhibit 2-45.

- 9. On August 6, 2009, Parents attended an open house at Redfield Elementary School ("Redfield"). At that time, they met First Grade Teacher. On August 6, 2009, Parent . e-mailed School Psychologist regarding District's efforts to reconvene the IEP meeting on August 10, 2009, and requesting to change the time of the IEP meeting set for August 10, 2009 from the morning to the afternoon.<sup>39</sup>
- 10. On August 10, 2009, the first day of school, Student began first grade at her home school, Redfield.
- 11. On August 10, 2009, an IEP meeting convened at which time the evaluations from Ms. Werner and Dr. Hoffman were generally discussed. Parents informed District that Student had attended NWLA over the summer and that Parents had been pleased with her progress there. After discussion about possible placements and observations, School Psychologist subsequently arranged a site visit for August 12, 2009 for Parents to the self-contained ABC program at Sequoya and arranged a site visit for August 14, 2009 to the self-contained ALC program at Laguna.
- 12. At some point, apparently between August 10, 2009 and the self-contained site visits, School Psychologist prepared a document containing background and current data regarding Student, and then participated in a District Placement Review Committee meeting. According to the hearing record, School Psychologist, Lead Psychologist, Special Education Coordinator and Former Special Education Director met and discussed the District's various self-contained programs in terms of availability, such as how many students were currently in those self-contained programs (i.e., whether the program was full), the ages of the students in the programs, and staffing in the programs, (a) in order to determine which District programs could be appropriate and could, or should, be visited by Parents to observe, and (b) so that

<sup>&</sup>lt;sup>39</sup> See Exhibit J.

<sup>&</sup>lt;sup>40</sup> See Exhibit 2-53; see also Transcript, pages 626-628.

<sup>&</sup>lt;sup>41</sup> Transcript, page 627, School Psychologist testimony.

<sup>&</sup>lt;sup>42</sup> Id., page 627-628, School Psychologist testimony.

<sup>&</sup>lt;sup>43</sup> See Exhibit 2-50; the document is not dated. See also Transcript, page 628-629.

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School Psychologist could provide information to the IEP Team regarding the available District programs.<sup>44</sup>

- 13. On August 12, 2009, Parents participated in a site visit to the ABC program at Sequoya Elementary School ("Sequoya"). The group had attempted to visit the self-contained Language Learning Center ("LLC") program at Sequoya on the same day but the group was not welcomed by the special education teacher, and was asked to leave the classroom, because the visit had not been prior arranged with her.<sup>45</sup>
- 14. On August 14, 2009, Parents visited the ALC program at Laguna, after which they agreed to reconvene for the IEP meeting on August 20, 2009. In correspondence dated August 14, 2009, Parents alerted District to their concern that, for the entire week, Student had not received special education services. <sup>46</sup> Parents also requested whether they should consider, or have a site visit to, "any other District special education programs" in the District prior to the August 20, 2009 meeting. Parents indicated that, if not, they would proceed with the understanding that the Team would discuss only the "ABC, LLC, and ACL [sic] special education programs for placement purposes" but also indicated they would "propose our placement recommendations at her resumed IEP meeting."
- 15. On August 14, 2009, Parents also requested a copy of any draft IEP prepared before the August 20, 2009 meeting and Father offered to make himself available to "jointly draft the amended proposed IEP."
- 16. On August 17, 2009, District supplied Parents with a draft Present Levels of Educational Performance ("PLEP") document, drafted by School Psychologist.<sup>48</sup>

<sup>&</sup>lt;sup>44</sup> Transcript, pages 629 - 630, 683, 685, 687- 690, 691-693, and 698, School Psychologist testimony. *See also*, Transcript, page 395, Learning Resource Teacher, Redfield testimony; Transcript, pages 425-445, Former Special Education Director testimony; Transcript, pages 814 – 817, 876-880, and 882-887, Lead Psychologist testimony; and, Transcript, pages 922-924, 956 960, Special Education Coordinator. <sup>45</sup> Although Parents had the opportunity to meet with the teacher in a conference room after being asked to leave the classroom, these circumstances left a very bad impression with Parents and Parents were no longer interested in considering the LLC program at Sequoya as a possible environment for Student; *see also* Exhibit 2-53, parental letter to School Psychologist in which Parents indicate "I trust that you agree with us that [Student] should not be considered for placement in the LLC program given Teacher [deleted name]'s behavior." The hearing record indicated that the teacher had not yet listened to a voice-mail message from School Psychologist about the LLC site visit. *See* Exhibit P, bates-stamp page 118. <sup>46</sup> *See* Exhibit 2-53. The hearing record indicated that the first day that Speech Language Pathologist, Redfield, was at the Redfield campus was August 20, 2009. *See* Transcript, page 755.

- 17. On August 20, 2009, the IEP Team reconvened and met for two hours.<sup>49</sup> The following persons were participants at the August 20, 2009 IEP meeting: Parents; Parents' Advocate, Elaine Berkley ("Advocate"); First Grade Teacher, Redfield; Learning Resource Teacher, Redfield; School Psychologist; Speech Language Pathologist; School Psychologist 2; Special Education Coordinator;<sup>50</sup> and District's inhouse counsel.<sup>51</sup>
- 18. At the August 20, 2009 IEP meeting, the IEP Team discussed and considered Student's individual needs with regard to the District's special education programs and services. The IEP Team discussed the Hoffman and Werner evaluations, those evaluations' recommendations, reading programs and various methodologies, the special education environments (LLC, ABC, and ALC) available within the District, and the parentally requested private day-school placement of NWLA. The District also indicated to Parents that the District would conduct bi-weekly progress assessments to determine how, and whether, the special education program and services might need to be adjusted for Student's individual needs.
- 19. As the August 20, 2009 meeting was coming to a close, Advocate asked Special Education Coordinator to state what the District's offer of placement was and, in response, Special Education Coordinator indicated that the District's placement offer was the ALC program (located at Laguna campus). Special Education Coordinator did not take a poll of the IEP Team participants before she responded and indicated the District's offer of placement in the ALC program.
- 20. On August 21, 2009, District issued a PWN proposing to place Student in the self-contained ALC program at Laguna Elementary School.<sup>52</sup> The PWN

See Exhibit 2-54. District did not prepare a draft IEP. See District Response to Complaint, page 3.
 See Exhibit 2-58.

<sup>&</sup>lt;sup>49</sup> The Agenda for the August 20, 2009 meeting is set forth in Exhibit P. There is no tape recording of the meeting. However, School Psychologist took notes and created a document entitled Conference Notes. See Exhibit P and 1-49. Additionally, Special Education Coordinator took notes. See Exhibits R and 1-15.
<sup>50</sup> Special Education Coordinator took notes.

<sup>&</sup>lt;sup>50</sup> Special Education Coordinator attended the meeting as the District representative, a person with authority to commit funds for private day-school placement if the IEP Team determined to recommend private day-school placement. See Transcript, page 926.

<sup>&</sup>lt;sup>51</sup> Parent had previously indicated to District that he represented Student's interests both as her Father and her attorney. See Exhibit J. <sup>52</sup> See Exhibit S.

acknowledged that a self-contained setting had been recommended for Student by the May 11, 2009 IEP Team. The PWN explained as follows:

... The Academic Learning Center (ALC) self-contained program is an appropriate self-contained program that will meet [Student]'s educational needs, and Individual Education Plan goals and objectives. In addition, weekly progress monitoring will be completed.

The PWN noted that the Werner and Hoffman evaluations had recommended a small classroom with no more than 12 students, and that "the least restrictive environment to meet [Student]'s educational needs was determined to be a small classroom with no more than 12 students." The PWN stated that the proposed action was based on the following:

Current evaluation results from Valerie Hoffman Psy.D., and Anita Werner. Review of classroom grades, test scores, work samples, effort, evaluation of intervention effectiveness, current IEP and teacher observation.

21. On August 21, 2009, District issued a PWN refusing to place Student at private day school at NWLA.<sup>53</sup> The PWN explained as follows:

The Academic Learning Center self-contained class (ALC) is appropriate to meet [Student]'s educational needs, Individual Education Plan goals and objectives. According to the 2008/2009 Individual Education Plan, [Student] did make positive growth and progress on her IEP goals and objectives.

The PWN again noted that the Werner and Hoffman evaluations had recommended a small classroom with no more than 12 students and again indicated that the proposed action was based on the following:

Current evaluation results from Valerie Hoffman Psy.D., and Anita Werner. Review of classroom grades, test scores, work samples, effort, evaluation of intervention effectiveness, current IEP and teacher observation.

22. On August 21, 2009, Parents served District with a ten-day notice of withdrawal for Student from Redfield, effective September 4, 2009 and intention to

place Student at NWLA.<sup>54</sup> In this letter, Parents disagreed with the ALC self-contained program placement, indicating their position that the ALC placement offer "does not provide [Student] with ... FAPE."<sup>55</sup>

23. On August 25, 2009, District issued a PWN again refusing to place Student at NWLA. 56 The PWN explained as follows:

The [District] has an appropriate self-contained program that is able [to] meet [Student]'s educational needs. The self-contained program the [District] proposes is the [ALC] at Laguna Elementary School.

The PWN described its considerations as follows:

The [District] has considered the following options: [NWLA], Regular education class, regular education class with supplementary aids and services, regular classroom with itinerant services in the class, regular classroom with team teaching, regular classroom with resource room support, self-contained class, special school, home instruction, and hospitalization/institutionalization. The least restrictive environment to meet [Student]'s education needs was determined to be a self-contained classroom (classroom with no more than 12 students). The [ALC] at Laguna Elementary School in the [District] is a self-contained program that is able to meet [Student]'s educational needs.

The basis for the refusal action was the same as had been stated in the previous PWNs.

- 24. On September 4, 2009, Parents withdrew Student from District.<sup>57</sup> Parents enrolled Student in NWLA for the remainder of the academic year 2009-2010, and subsequently for summer session in 2010, academic year 2010-2011 and summer session in 2011.
- 25. On September 4, 2009, District issued its PWN regarding the withdrawal.<sup>58</sup>

#### **DUE PROCESS**

<sup>&</sup>lt;sup>53</sup> See Exhibit T.

<sup>&</sup>lt;sup>54</sup> See Exhibit U.

JJ Id.

<sup>&</sup>lt;sup>56</sup> See Exhibit V.

<sup>&</sup>lt;sup>57</sup> See Exhibit W.

- 26. On August 18, 2011, Parents filed the Complaint alleging the failure of District to provide FAPE to Student by virtue of multiple and various process, or procedural, failures. Petitioners' Complaint presents a detailed chronology of the alleged process, or procedural, failures. <sup>59</sup> As requested and discussed at the prehearing conference, on September 20, 2011, Parents filed a pre-hearing summary statement of the issues for hearing. <sup>60</sup>
- 27. As Count I, Parents alleged a substantive violation of a state administrative rule, A.A.C. R7-2-401(F)(1) when District failed to provide to Parents a copy of Respondent's procedures for the development, implementation, review and revision of IEPs.
- 28. As Count II, Parents alleged a substantive and procedural violation of IDEA when District failed to "complete" an IEP for the 2009-2010 school year either at a May 11, 2009 IEP meeting or at an August 20, 2009 IEP meeting. <sup>61</sup> Parents asserted that the May 11, 2009 IEP was held "in abeyance" through the actions of a failure to have consensus and an agreement to "meet again to review the [additional testing] data and incorporate it into the IEP."
- 29. As Count III, Parents further alleged that District predetermined the educational placement District offered at the August 20, 2009 IEP meeting. 62
- 30. As solutions for the alleged violations in the Complaint, Parents requested reimbursement for the expenses, and related expenses of Student's placement at the NWLA and the same such future expenses.<sup>63</sup>
- 31. In its Response to the Complaint, District asserted that any and all allegations and due process claims related to actions occurring on or before August 18, 2009 were time-barred pursuant to the IDEA and 34 C.F.R. § 300.511.64 District

<sup>58</sup> See Exhibit 2-68.

<sup>&</sup>lt;sup>59</sup> Petitioners' Complaint makes 204 allegations. Petitioners' Complaint can be found in the Administrative Record and at Exhibit 2-70.

<sup>&</sup>lt;sup>50</sup> Petitioners' filing can be found in the Administrative Record and at Exhibit 2-72.

<sup>&</sup>lt;sup>61</sup> In the Complaint, Parents indicated multiple and various requests to convene, or reconvene, an IEP meeting. In their September 20, 2011 Pre-hearing Statement of Issues, Parents did not highlight any alleged substantive failure(s) of the May 11, 2009 IEP.

<sup>&</sup>lt;sup>2</sup> The placement offered was the ALC self-contained program at Laguna.

<sup>&</sup>lt;sup>63</sup> Parent indicated at the September 13, 2011 pre-hearing conference that the issue of future reimbursement, raised in the Complaint, was not applicable.

<sup>&</sup>lt;sup>64</sup> The District's Response filing can be found in the Administrative Record and at Exhibit 2-71.

 agreed that the scheduled IEP meeting was convened "on August 20, [2009] to continue to address Parent's concerns and re-visit [Student's] IEP and placement and the recommendations of the independent evaluators." District asserted that when Student began the 2009-2010 school year, the May 11, 2009 IEP was complete, in place, and being implemented. 66

- 32. In its Motion to Dismiss Counts I and II, District agreed that Count III may proceed to hearing but argued that Counts I and II must be dismissed. District argued that Count I is not being raised in the proper forum and fails to state a compensable IDEA claim. District argued that Count II is barred because Parents failed to raise the issue of any failure to complete Student's May 11, 2009 IEP within a two year limitation period. District argued that Parents were barred from "bringing claims that occurred or arose out of the May 11, 2009 IEP meeting."
- 33. Petitioners filed a Response to the Motion to Dismiss, along with a packet entitled SUBMISSION OF EVIDENCE IN SUPPORT OF PETITIONERS' RESPONSE TO THE RESPONDENT'S MOTION TO DISMISS. 68
  - 34. District filed a Reply to the Response. 69
- 35. By ORDER dated December 30, 2011, the Administrative Law Judge issued a determination regarding the statute of limitations, ruling on the motions and setting the issue(s) for hearing.<sup>70</sup> The Administrative Law Judge ruled as follows:

#### **RULING**

With regard to Count I, the allegation and claim shall be dismissed. Pursuant to 34 C.F.R. § 300.507(a), IDEA due process complaints are limited to the identification, evaluation, educational placements and provision of FAPE to children with disabilities. The alleged violation of a state administrative rule is not properly before the Tribunal.

<sup>&</sup>lt;sup>65</sup> See Respondent's Response, Response to Allegation No. 117.

<sup>&</sup>lt;sup>66</sup> See Respondent's Response, Response to Allegations No. 128, 126 and 117.

<sup>&</sup>lt;sup>67</sup> District's Motion to Dismiss Counts I and II is found in the Administrative Record and at Exhibit 2-73.

<sup>&</sup>lt;sup>68</sup> Petitioners' Response memorandum is found in the Administrative Record and at Exhibit 2-74; however, the packet of Submission of Evidence in support of the Petitioners' response is found only in the Administrative Record.

<sup>&</sup>lt;sup>59</sup> The District's filing can be found in the Administrative Record and at Exhibit 2-75.

<sup>&</sup>lt;sup>70</sup> The RULING portion of the Administrative Law Judge's December 30, 2011 ORDER is set forth herein, accompanied by its original footnotes.

With regard to Count II, the IDEA requires that a due process complaint allege violations that occurred not more than two years before the date the parent knew or should have known about the alleged action that forms the basis of the complaint. In the Complaint, Parents are taking the positions that because they and Respondent were going to revisit Student's IEP when the speech language evaluation and the psychoeducational evaluation were completed and reviewed by the IEP Team, Student's May 11, 2009 IEP was "not complete" and they had not "approved" the IEP.71 In their pre-hearing issue summary, Parents state that the IEP Team "did not arrive at a consensus with respect to the completion of the IEP, and the matter was held in abeyance." Generally, Parents alleged both substantive and procedural IDEA violations for Respondent's failure to complete Student's 2009-2010 IEP; however, other than a disagreement with the August 20, 2009 offered ALC placement, no particular substantive violation has been alleged in the filings and submissions.

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The available information demonstrates that two evaluations were provided by Parents to Respondent on July 29, 2009 and that Parents requested to reconvene the IEP meeting. Given that the submissions demonstrate both parties were aware they would "revisit" the May 11, 2009 IEP with the new evaluation information, the Administrative Law Judge fails to see how, and Parents have not demonstrated how, Respondent could have, in any manner, "completed" Student's IEP in the absence of the two expected, but not yet received, evaluations.

Therefore, Parents appear to be raising an issue of an IEP (here, the May 11, 2009 IEP) that failed to provide unstated requisite individualized services because the IEP was not yet completed; if so, Parents would be required to demonstrate in what way(s), and through what procedural failures, the May 11, 2009 IEP failed to include any particular required services. Pursuant to 34 C.F.R. § 300.507(a)(2), such an issue needed to be raised within two years of the May 11, 2009 IEP meeting. Parents' Complaint was not filed until August 18, 2011. Therefore, Parents'

<sup>&</sup>lt;sup>71</sup> The Administrative Law Judge is unaware of any law or case law under which parents are called upon or authorized to "approve" an IEP in order to effectuate a valid IEP.

Parents would be called upon to demonstrate the specific procedural violations and the dates thereof that led to an incomplete May 11, 2009 IEP. Such dates could not have occurred after May 11, 2009.

claims and requests for remedies regarding the May 11, 2009 IEP are time barred and shall be dismissed.<sup>73</sup>

Based on the submissions, the earliest possible date on which any alleged failure by Respondent to "complete" Student's 2009-2010 IEP (after the May 11, 2009 IEP meeting) would have been July 29, 2009 no matter how many times a request for an IEP meeting had been made. The IEP Team could not have considered the new evaluation information until it was available. Parents' Complaint was not filed until August 18, 2011. Therefore, Parents' claims and requests for remedies regarding alleged procedural failures to complete Student's 2009-2010 IEP are time barred unless they spring from actions that took place after August 18, 2009.

The IEP Team convened on August 20, 2009 and, at that meeting, after review and consideration of the two evaluations, Respondent offered Student a self-contained educational placement while Parents requested a private day school placement.

Parents apparently disagree that the two evaluations were reviewed and considered by Respondent at the August 20, 2009 IEP meeting. Such disagreement would be contained within the Count III allegation of predetermination.

Further, Parents clearly disagree that the IEP Team's August 20, 2009 determination of an ALC educational placement for Student offered FAPE for Student. The available information demonstrates that Parents disagreed with the August 20, 2009 determination because, the next day, Parents gave District a written ten day notice of withdrawal. Parents' disagreement is also documented in Parents' August 27, 2009 "response" to Respondent's August 25, 2009 PWN denying private day school

<sup>74</sup> The Administrative Law Judge was unable to locate within the submissions any copy of an IEP Addendum that was created as a result of the August 20, 2009 IEP meeting.

<sup>&</sup>lt;sup>73</sup> While the Administrative Law Judge shall only find liability and only award any compensatory education or reimbursement as remedies for events that took place within the two year limitation period, it may be necessary to hear some relevant admissible evidence referring to events that occurred more than two years prior to the filing of the Complaint for the limited purpose of providing context to the events within the two year limitation period. See Dep't of Education, State of Hawaii v. E.B., ex rel J.B., 45 IDELR 249 (D. Hawaii 2006). The parties do not appear to disagree on the occurrence or dates thereof regarding events prior to August 18, 2009; therefore, stipulations to such occurrences and dates, but not characterizations, may prove to be efficient for purposes of due process hearing. The Administrative Law Judge will discuss such evidence at the next telephonic pre-hearing conference in this matter.

placement. Based on the IEP Team's August 20, 2009 determination offering ALC educational placement for Student, Parents' remaining reimbursement issues (tuition and transportation) based on their disagreement with the ALC educational placement and their unilateral private placement of Student at New Way are timely under Parents' August 18, 2011 Complaint.

In disputes regarding disagreements about FAPE, in order to demonstrate any entitlement to reimbursement for the unilateral placement of Student in private day school, Parents must demonstrate that, at that time, Respondent's offer of Student's educational placement in the ALC program failed to provide FAPE to Student and that the private day placement in New Way was appropriate. See 34 C.F.R. § 300.148.

Based on the foregoing,

IT IS ORDERED the Respondent's Motion to Dismiss Count I and II is granted. Petitioners' allegation in Count I regarding a violation of state administrative rules and the allegations in Count II regarding either substantive or procedural violations of IDEA by Respondent that allegedly occurred before August 18, 2009, are dismissed.

IT IS FURTHER ORDERED that Petitioners' complaints relating to disagreement of FAPE offered or provided through Student's August 20, 2009 IEP and the allegations of predetermination shall proceed to hearing.

Thereafter, the ORDER set out the process for due process hearing to proceed and to convene.

#### **HEARING**

36. Mother testified that she was at the August 20, 2009 meeting, along with Advocate, and that she did not have the opportunity to speak at the meeting.<sup>75</sup> Mother testified that Father spoke at the meeting.<sup>76</sup> Mother testified that she was not asked about her opinion for an appropriate placement for Student.<sup>77</sup>

<sup>&</sup>lt;sup>75</sup> Transcript, page 542.

<sup>&</sup>lt;sup>76</sup> *Id.*, page 547. <sup>77</sup> *Id.*, page 543.

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37. Father testified that he was at the August 20, 2009 meeting, that he spoke, and that their Advocate also had opportunity for input at the meeting. Father testified that he invited Special Education Coordinator to accompany Parents for a site visit to NWLA, but that she declined to do so, indicating she had already been there. Father indicated that, in his opinion, Special Education Coordinator's notes "evidences ... that there was no consensus arrived at prior to the FAPE offer. Father testified that, at the end of the meeting, he disagreed with the placement offer.

38. Regarding his preference in August of 2009 for a private day-school placement at NWLA for Student, Father testified that he wanted a program to meet Student's "special and unique needs as Anita Werner indicated."82 Father indicated that he had met with Anita Werner and discussed the results of the evaluation. Father indicated that for Student's selective mutism, he wanted a program to help with that "behavioral problem." Father indicated that, as to Student's "severe communication" problems," he wanted to "find a program that provided special direct intervention services with an intensity as recommended by Anita [Werner]."84 Father testified that he went to the site visits in good faith and further indicated that he had not been able to meet with a speech language professional at any of the site visits to discuss the Werner report and recommendations for Student.85 Father's position was that he did not find "cooperation" or "the same compassion and interest in my daughter's education" as he had and that was why they sought out NWLA.86 Father testified "... [NWLA] took the time to review all the reports objectively, to evaluate her and to incorporate her into a program that met her special and unique needs."87

<sup>&</sup>lt;sup>78</sup> *Id.*, page 492, 506 and 507.

<sup>&</sup>lt;sup>79</sup> *Id.*, page 492. <sup>80</sup> *Id.*, page 485 (referencing Notebook 1, Exhibit 15).

<sup>&</sup>lt;sup>81</sup> *Id.*, page 507. <sup>82</sup> *Id.*, page 508.

<sup>&</sup>lt;sup>83</sup> *Id.*, page 508.

<sup>&</sup>lt;sup>84</sup> *Id.*, page 509.

<sup>&</sup>lt;sup>85</sup> *Id.*, pages 509, 510, 511. However, *see also* Transcript, pages 965- 967, Special Education Coordinator testimony indicating that Parents had not indicated to her in advance of their interest in meeting service providers at the site visit.

<sup>&</sup>lt;sup>86</sup> Transcript, pages 510, 511.
<sup>87</sup> Id., page 511. See also Exhibit U, wherein Parents indicated that, at the end of the meeting, "my wife and I indicated that it would be wise for us to consider the SUSD's placement offer, and then to advise you of our decision in writing."

41. Teacher, NWLA testified that at NWLA, they had a program that would, and did, meet the primary recommendations set forth in the Hoffman report.<sup>88</sup> Although the number of persons in Student's classroom varied over the day. Teacher, NWLA, indicated that the number was never over 12. Teacher, NWLA, testified that Student made progress in her two years at NWLA, "to the point that her most recent speech and language evaluation, the selective mutism had been resolved."89 However, Teacher, NWLA, also testified that, at the time when she left the employ of NWLA (June 2011), Student was still below her second grade peers in all areas. 90 When discussing Student's daily schedule for 2009-2010, Teacher indicated that, during the unit studies portion of the day, "twice a week, we did specific social skills training with lesson plans and role playing and so forth."91 According to her daily schedule (as related to her identified deficiencies), Student was scheduled for the following: a fifty-five minute period of math instruction every day; a fifty-five minute period of "association method" each day; 92 twice daily, another session of "association method" for one-half of a fiftyfive minute period; one-half of a fifty-five minute period for pull-out speech therapy each day; one-half of a fifty-five minute period for pull-out occupational therapy each day; a fifty-five minute period of physical education three times a week. Teacher, NWLA, indicated that the NWLA November 30, 2009 IEP was developed based on the

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<sup>&</sup>lt;sup>88</sup> Transcript, page 46; see also Transcript, pages 44 – 56.

<sup>&</sup>lt;sup>89</sup> Transcript, pages 56-58. See also, a June 2010 psychoeducational evaluation by Julie E. Rosebrook, Ph.D., indicates under the diagnoses: "History of Selective Mutism, resolved." See Exhibit 1-51, page 12 (bates-stamped 130).

<sup>&</sup>lt;sup>90</sup> Transcript, page 111.

<sup>&</sup>lt;sup>31</sup> See Exhibit 1-41, a daily schedule for Student.

<sup>&</sup>lt;sup>92</sup> "Association method" was described as a structured reading program that also teaches oral and written

Hoffman and Werner reports and on classroom observations and assessments.<sup>93</sup> In this regard, Teacher, NWLA, testified that "we also need to have time to review the psychoeducational evaluation, the speech evaluation, work together as a team to talk about which programs that we have available that would be the best program to use with the child with regards to curriculum."<sup>94</sup>

42. The hearing record demonstrated that the District's ALC program is limited to 12 students and is specifically designed to serve students struggling with their academic skills who also have deficits in behavior/social skills and communication skills. 95 School Psychologist, Lead Psychologist and Special Education Coordinator each testified that Student's individual needs could be met, and the Hoffman and Werner evaluation recommendations could be implemented, through the District's programming and services provided in the ALC program. 96 Each of these witnesses also testified that NWLA would have been a more restrictive environment than the District placement environment. 97

#### **CONCLUSIONS OF LAW**

1. Through the IDEA, Congress has sought to ensure that all children with disabilities are offered a FAPE that meets their individual needs. These needs include academic, social, health, emotional, communicative, physical, and vocational needs. To do this, school districts are required to identify and evaluate all children within their geographical boundaries who may be in need of special education and services. The IDEA sets forth requirements for the identification, assessment and placement of students who need special education, and seeks to ensure that they receive a free appropriate public education. A FAPE consists of "personalized"

language. See Transcript, page 67.

<sup>&</sup>lt;sup>93</sup> She also indicated that parents were involved in IEP meetings but were not involved in informal meetings regarding scheduling and curriculum programs to be implemented. Transcript, page 113.

<sup>&</sup>lt;sup>94</sup> Transcript, pages 75-77.

<sup>&</sup>lt;sup>95</sup> Exhibit X contains a general description of the ALC program; *see also* Transcript, page 976-978.

<sup>&</sup>lt;sup>96</sup> Transcript, pages 643-660, 665 and 734, School Psychologist testimony. Transcript, pages 818-851, Lead Psychologist testimony. Transcript, pages 929-949, Special Education Coordinator testimony.

<sup>&</sup>lt;sup>97</sup> Transcript, pages 661-662 and 721, School Psychologist testimony. Transcript, pages 850-851, Lead Psychologist testimony. Transcript, pages 947-948, Special Education Coordinator testimony.

<sup>98</sup> See 20 U.S.C. §1400(d); 34 C.F.R. § 300.1.

<sup>&</sup>lt;sup>99</sup> Seattle Sch. Dist. No. 1 v. B.S., 82 F.3d 1493, 1500 (9<sup>th</sup> Cir. 1996) (quoting H.R. Rep. No. 410, 1983 U.S.C.C.A.N. 2088, 2106).

instruction with sufficient support services to permit the child to benefit educationally from that instruction." 100

- 2. Pursuant to IDEA, the District is required to annually review a student's IEP to determine whether the annual goals are being achieved <u>and</u> to revise the IEP as appropriate to address the lack of expected progress, the results of any reevaluations, information about the student provided by parents, the student's anticipated needs and any other unique matters. These IEP determinations, and the requisite educational placement decisions, are made by a group of people, an IEP Team, which includes the parents, who are knowledgeable about the student, about the available evaluations and about the placement options. One other mandate is that a school district ensure that a child's placement is determined annually, is based on the IEP, and is as close as possible to the child's home.
- 3. A petitioner who files for a due process hearing alleging non-compliance with the IDEA must bear the burden of proving that claim. The standard of proof is "preponderance of the evidence," meaning evidence showing that a particular fact is "more probable than not." Therefore, Petitioners bear the burden of proving by a preponderance of evidence the allegations, claims and arguments raised.
- 4. Pursuant to 34 C.F.R. § 300.507(a)(2), Parents' claims and requests for remedies regarding the alleged failures are time barred unless they spring from actions that took place *after* August 18, 2009. The IEP Team convened on August 10, 2009 and reconvened on August 20, 2009. At the August 20, 2009 meeting, after review and consideration of the two evaluations, and after a two-hour discussion, District offered Student a District self-contained educational placement at the ALC program although Parents had requested a private day school placement at NWLA. Two issues remain in Petitioners' Complaint. First, Petitioners disagree that the Hoffman and Werner

<sup>&</sup>lt;sup>100</sup> Hendrick Hudson Central Sch. Dist. Bd. of Educ. v. Rowley, 458 U.S. 176, 204 (1982).

<sup>&</sup>lt;sup>101</sup> See 34 C.F.R. § 300.324(b).

<sup>&</sup>lt;sup>102</sup> See 34 C.F.R. §§ 300.116(a) and 300.501(c).

<sup>&</sup>lt;sup>103</sup> See 34 C.F.R. § 300.116(b).

<sup>&</sup>lt;sup>104</sup> Schaffer v. Weast, 546 U.S. 49, 126 S. Ct. 528 (2005).

<sup>&</sup>lt;sup>105</sup> Concrete Pipe & Prods. v. Constr. Laborers Pension Trust, 508 U.S. 602, 622, 113 S. Ct. 2264, 2279 (1993) quoting *In re Winship*, 397 U.S. 358, 371-372 (1970); see also Culpepper v. State, 187 Ariz. 431, 437, 930 P.2d 508, 514 (Ct. App. 1996); *In the Matter of the Appeal in Maricopa County Juvenile Action No. J-84984*, 138 Ariz. 282, 283, 674 P.2d 836, 837 (1983).

evaluations and Student's individual needs were fully considered by the IEP Team at the August 20, 2009 IEP meeting and argued that the offered ALC placement was predetermined as a procedural violation of the IDEA. Second, Petitioners disagree, and argued, that the District's self-contained ALC placement failed to offer or provide FAPE for Student's individual needs in violation of the IDEA.

- 5. Regarding the predetermination arguments, it must be concluded that the hearing record demonstrated that at, and/or as a result of, both the August 10, 2009 and August 20, 2009 IEP meetings, (a) the IEP Team members discussed and considered the Hoffman and Werner evaluations and Student's individual needs stated therein, and (b) that Parents and their Advocate were present at each of these two IEP meetings and that they participated in the discussion and considerations of the evaluations and of Student's individual needs. Therefore, any argument with regard to an alleged procedural failure preventing or significantly impeding parental participation in the decision making process regarding Student's special education services must fail.
- 6. Regarding the other portion of Petitioners' predetermination argument, Petitioners initially appeared to be taking the position that District employees had discussed Student and her needs before the IEP meeting and had decided on her placement and, thus, were not open to consider the evaluation and recommendations and, therefore, did not consider that information at the IEP meeting. However, at hearing, Petitioners focused the argument to be that, District employees did not have any communication with each other about Student because they did not need to, for the reason that some District employees, who are IEP Team members, had secretly decided on Student's placement at a secret DPRC meeting and, thus, the District had predetermined its offered placement of the ALC program. Petitioners further argued that the DPRC meeting was really an IEP meeting to which Parents were not, but should have been, invited.
- 7. The Administrative Law Judge will approach these predetermination arguments in three ways. First, the IDEA requires that parents be afforded the opportunity to participate in meetings that deal with identification, evaluation and educational placement, and the provision of FAPE to the child. See 34 C.F.R. § 300.501(b)(1). However, the IDEA specifically carves out an exception to meetings that

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require parental participation. The IDEA permits the educational agency to engage in "preparatory activities" for the purposes of developing proposals or developing responses to parental proposals that will be discussed at subsequent IEP meetings. See 34 C.F.R. § 300.501(b)(3). Based on the hearing evidence, the Administrative Law Judge concludes that the District's DPRC meetings fall within allowable "preparatory activities" permitted under the IDEA. 106 Second, with regard to parental participation in placement determinations, the IDEA requires that parents be a "member of any group that makes decisions on the educational placement of the parent's child." See 34 C.F.R. § 300.501(c). Petitioners' position, and argument, was that the District did decide on Student's educational placement at the DPRC meeting. However, the hearing testimony of each DPRC participant refutes that because each DPRC participant testified that, at the DPRC meetings, they discuss the District's various selfcontained programs in terms of availability, such as whether the various programs are full (i.e., how many students were currently in those self-contained programs), the make-up of the students in the programs (i.e., the ages and disabilities of the students) and the staffing in the programs, and that no placement decision for any student is made at the DPRC meetings. School Psychologist testified that she gathers the current information about the various programs to determine which District programs could be appropriate for a particular student and could be visited by parents<sup>107</sup> and that she can then provide information to the IEP Team regarding available District programs to be considered for a student. Former Special Education Director, who did not recall specifics from the DPRC meeting being questioned by Parents, testified that the DPRC committee has been in existence since before she became a special education coordinator (in 1998) and was designed to look at staffing and availability of specialized programs and available space in those specialized programs for a student closest to their home school. 108 Additionally, the hearing record demonstrated that, at the August 20, 2009 IEP meeting, multiple possible environments for educational placement,

<sup>&</sup>lt;sup>106</sup> Petitioners provided no citations to any case law regarding any "preparatory activities" having been considered to be more than "preparatory" and, thus, having been determined by the courts to be a procedural violation of the IDEA.

<sup>&</sup>lt;sup>07</sup> See Transcript, pages 422 and 426.

<sup>&</sup>lt;sup>108</sup> See Transcript, page 629, where School Psychologist indicates that she would not want to have

including NWLA, were discussed and considered by the IEP Team. Based on the hearing evidence, the Administrative Law Judge concludes that the DPRC meeting in question in this matter was *not* an IEP meeting to which parents were required to be invited for participation, and further concludes that, in this case, District did not make any placement decision regarding Student at the DPRC meeting in question. Finally, pursuant to 34 C.F.R. § 300.513(a)(2), parties alleging a procedural violation of the IDEA must demonstrate the existence of a procedural violation, and that the procedural deficiencies (a) impeded the child's right to a FAPE, (b) significantly impeded the parent's opportunity to participate in the decision-making process for providing FAPE to the child, or (c) caused a deprivation to the child of educational benefit. The hearing record fails to demonstrate any of these elements and, therefore, the Administrative Law Judge concludes that District did not commit a procedural violation of IDEA by conducting a DPRC meeting.

8. Petitioners argued that at the August 20, 2009 IEP meeting, at the time of the offer, or before the offer, the School Psychologist did not poll the IEP Team and/or take a verbal indication of a recommendation from each IEP Team participant. Taken in its most favorable light, this appears to be another of Petitioners' arguments alleging a procedural violation of the IDEA. Petitioners cited no legal support regarding any IDEA requirement to poll the IEP Team members when decision-making is taking place at the IEP Team meetings. The IEP Team is the final decision maker, typically by consensus, with regard to the IEP, or any necessary changes in an existing IEP, to continue to provide the unique and specialized services that a child with a disability requires. A reasonable person would conclude that, if a parent disagreed with a decision made at an IEP meeting, then there was not a full consensus or full agreement at the IEP Team meeting. However, full consensus is not required and the IDEA provides various opportunities for a parent who disagrees with the local education agency in regard to special education services or changes in services. Parents selected one of those opportunities in this case by the filing a due process complaint notice. Parents also selected one of those opportunities by unilaterally placing Student in NWLA.

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9. In order to demonstrate any entitlement to reimbursement for their unilateral placement of Student in private day school, Parents must demonstrate that, at that time, Respondent's offer of Student's educational placement in the ALC program, failed to provide FAPE to Student and that the private day placement in New Way was appropriate. 109 See 34 C.F.R. § 300.148. The standard to be met for consideration in this matter is whether the District's special education placement, i.e., the services and the environment offered at the August 20, 2009 IEP meeting, consisted of specialized instruction and services reasonably calculated to enable Student to receive educational benefit. 110 Based on the hearing record, the Administrative Law Judge concludes that, of the District's available special education programs, the ALC program appeared to fit each of Student's identified educational needs: small classroom environment; small group and individualized instruction; emphasis on specialized academic instruction in reading and math; opportunities for social interaction; and, the noted related special services, including speech therapy, physical therapy, occupational therapy and adaptive physical education. The ALC program accommodated recommendations contained in both the Hoffman and Werner reports. 111 Additionally, the District's offer of FAPE was made for the least restrictive environment in which District calculated it could provide specialized instruction to Student. Petitioners elicited lengthy testimony about Student's progress at NWLA over her two years at NWLA, and also emphasized that Student had multiple socialization opportunities and successes outside of the academic school setting. However, Student's outside social successes and academic progress or status nearly two years later are not factors when considering the issue of whether an offer of FAPE was reasonably calculated to provide FAPE at the time it was offered. The IDEA contains no particular substantive educational standard and no mandate to maximize the potential of a child. In this case, the hearing record demonstrates that the Parents'

<sup>&</sup>lt;sup>109</sup> Parents recognized a possibility of reimbursement for unilateral placement when they gave the ten-day notice of withdrawal. See Exhibit U. <sup>110</sup> See Hendrick Hudson Central Sch. Dist. Bd. of Educ. v. Rowley, 458 U.S. 176 (1982).

<sup>111</sup> It is the role of the IEP Team to determine which of an evaluator's recommendations are to be implemented through integration into a student's IEP goals and the requisite instructional accommodations based on the unique needs of the particular student. There is no IDEA mandate to adopt each and every recommendation made by an evaluator with regard to a child with a disability. NWLA acknowledged that not every recommendation from an evaluator is incorporated into their

preference for NWLA as an education environment for Student was considered by the IEP Team, and was weighed against Student's unique and individual needs for educational instruction in the least restrictive environment when the District offered the ALC program for Student's educational placement. Therefore, the Administrative Law Judge concludes that the District's offer of the ALC program for Student's special education placement offered FAPE to Student, and Petitioners have failed to demonstrate otherwise. As a result, the Administrative Law Judge concludes that Parents are *not* entitled to reimbursement for their unilateral private placement of Student at NWLA for the academic year 2009-2010, summer session 2010, academic year 2010-2011, summer session 2011 or their related transportation costs.

10. The Administrative Law Judge concludes that Petitioners have *not* demonstrated that District violated the IDEA or denied FAPE with its offer of ALC program placement for Student. For the reasons stated herein, the Administrative Law Judge concludes that Petitioners have not met their burden to demonstrate any of the allegations and, therefore, Petitioners' claims in the Complaint must be denied and the Complaint must be dismissed. Petitioners are not entitled to reimbursement. District is the prevailing party.

# <u>ORDER</u>

Based on the findings and conclusions above,
IT IS ORDERED that Petitioners' Complaint is dismissed.
ORDERED this 1st day of May, 2012.

OFFICE OF ADMINISTRATIVE HEARINGS

Kay A. Abramsohn

Administrative Law Judge

recommendations to implement." See Transcript, pages 184-185.

112 See 34 C.F.R. § 300.117.

<sup>&</sup>lt;sup>113</sup> Thus, the Administrative Law Judge need not determine whether the NWLA program was appropriate.

#### **RIGHT TO SEEK JUDICIAL REVIEW**

Pursuant to 20 U.S.C. § 1415(i) and A.R.S. § 15-766(E)(3), this Decision and/or Order is the final decision at the administrative level. Furthermore, any party aggrieved by the findings and decisions made herein has the right to bring a civil action, with respect to the complaint presented, in any State court of competent jurisdiction or in a district court of the United States. While the federal law sets forth a time frame for an action for judicial review of a Decision, the federal law also allows that a State may have a different time frame.

Pursuant to Arizona rule A.A.C. R7-2-405(H)(8), any appeal of a Hearing Officer's decision must be filed within 35 calendar days after receipt of the Hearing Officer's decision. 114

Copies distributed this  $\frac{|S|}{|S|}$  day of May, 2012, to:



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<sup>&</sup>lt;sup>114</sup> A.A.C. R7-2-405, Due Process Standards Relating to Special Education, was amended and, as amended, was adopted by the State Board of Education on January 26, 2006 for purposes of comporting Arizona's due process hearing rules with IDEA 2004. Those rules contained a new provision regarding an appeal time frame. Those amendments have been published, and the Code updated, by the Arizona Secretary of State in A.A.C. Supp. 09-1.