#### STATE OF ARIZONA

#### OFFICE OF ADMINISTRATIVE HEARINGS

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	Student,	by and	through	<b>Parents</b>
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No. 12C-DP-003-ADE

Petitioners.

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Cottonwood-Oak Creek Elementary School District,

ADMINISTRATIVE LAW JUDGE DECISION

Respondent.

**HEARING:** October 5, 6, 7 and 14, 2011

APPEARANCES: Attorney Amy Langerman, AMY G. LANGERMAN, PC, appeared on behalf of Petitioners, accompanied by Parents; attorney Kellie Petersen, MANGUM, WALL, STOOPS & WARDEN PLLC, appeared on behalf of the Cottonwood-Oak Creek School District ("COCSD"), accompanied by district representative Patricia Osborne, COCSD Educational Services Director. Certified Court Reporters Carole Whipple, Debra Riggs Torres, Marta Johnson, and Doreen Borgmann, of GRIFFIN & ASSOCIATES COURT REPORTERS, were present and recorded the four days of proceedings as the official record of the hearing.

WITNESSES: Dianne Frazier, COCSD Director of Special Education Services; Tammy Catalano, Special Education Teacher, COCSD ("COCSD Special Education Teacher"); Marti Baio, M.A., CCC-SLP, Speech Pathology Evaluator; Susan Golubock, M.Ed., OTR/L, Occupational Therapy Evaluator; Suzanne Oliver, MT-BC, Founder and Executive Director of Neurological Music Therapy Services of Arizona, Director of ACT School; Patty McCartney, Ph.D., CCC-SLP, co-Director of Chrysalis Academy; Kim Yamamoto, Parent Advocate; Debra Sims, Teacher, COCSD ("General Education Teacher"); Jennifer McConnell, B.A., Behavior Consultant, Counseling & Consulting Services; Joseph Gentry, Ph.D., BCBA-D, Gentry Pediatric Behavioral Services; Lisa Larez, OT/L, Occupational Therapist; Phillip Tanner, Ph.D., School Psychologist, COCSD; Michael Viotti, Ph.D., School Psychologist, COCSD; and Petitioner ("Parent").

# ADMINISTRATIVE LAW JUDGE: Eric A. Bryant

Office of Administrative Hearings 1400 West Washington, Suite 101 Phoenix, Arizona 85007 (602) 542-9826

<sup>&</sup>lt;sup>1</sup> Throughout this Decision, proper names of parents and Student's teachers are not used in order to protect confidentiality of Student and to promote ease of redaction. Pseudonyms (appearing above in bold type) will be used instead. Proper names of administrative personnel, service providers, and expert witnesses are used.

 Parent brings this due process action, on behalf of Student, challenging several individualized educational programs ("IEPs") adopted by Respondent School District, seeking reimbursement for parental placements in special private schools, and seeking compensatory education for failure to properly educate Student, who is disabled. The law governing these proceedings is the Individuals with Disabilities Education Act ("IDEA"), 20 United States Code ("U.S.C.") §§ 1400-1482 (aś re-authorized and amended in 2004),<sup>2</sup> and its implementing regulations, 34 Code of Federal Regulations ("C.F.R.") Part 300, as well as the Arizona Special Education statutes, Arizona Revised Statutes (A.R.S.) §§ 15-761 through 15-774, and implementing rules, Arizona Administrative Code ("A.A.C.") R7-2-401 through R7-2-406.

# Procedural History

Petitioners filed a due process complaint on August 15, 2011. The complaint claims that Respondent School District did not offer Student a free appropriate public education ("FAPE") during her and grades, making claims of both substantive and procedural violations of the IDEA. Petitioners seek reimbursement of expenses for unilateral parental placements (part-time during grade and full-time for grade) and an order awarding further compensatory education.

Respondent School District denies the claims. The parties waived a Resolution Session and the matter proceeded to hearing.

#### Evidence and Issues at Hearing

The parties presented testimony and exhibits at a formal evidentiary hearing held October 4-7, 2011, and completed on October 14, 2011.<sup>3</sup> The parties presented

<sup>&</sup>lt;sup>2</sup> By Public Law 108-446, known as the "Individuals with Disabilities Education Improvement Act of 2004," IDEA 2004 became effective on July 1, 2005.

<sup>&</sup>lt;sup>3</sup> At the agreement of the parties, the first day of hearing, October 5, 2011, was held at a location in Cottonwood, Arizona. The remaining days of hearing were held at the Office of Administrative Hearings in Phoenix, Arizona.

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testimony from the witnesses listed above<sup>4</sup> and offered into evidence Petitioners' Exhibits A through W<sup>5</sup> and Respondent School District's Exhibits 1 through 41.<sup>6</sup>

After Exhibits and testimony were admitted, the parties argued to the tribunal, in written memoranda, the following issues:

- (1) Whether a FAPE was denied Student from August 2009 to February 2011 when Respondent School District changed Student's placement without consulting Student's IEP team or issuing a Prior Written Notice?
- (2) Whether Student's February 2010 IEP was created without parental input?
- (3) Whether Student's February 2010 IEP was reasonably calculated to provide meaningful educational benefit (a FAPE) if it:
  - (a) lacked an appropriate behavior plan,
  - (b) failed to provide Student a full-time one-to-one aide,
  - (c) failed to provide Student an appropriate augmentative communication system, or
  - (d) placed Student in a general education classroom rather than a self-contained classroom?
- (4) Whether Student's May 2011 IEP was reasonably calculated to provide meaningful educational benefit (a FAPE) if it:
  - (a) lacked an appropriate behavior plan,
  - (b) failed to provide Student a full-time one-to-one aide,
  - (c) failed to provide Student an appropriate augmentative communication system, or
  - (d) placed Student in a general education classroom rather than a self-contained classroom?
- (5) If a violation is found, whether Student is entitled to compensatory education and, if so, in what amount?
- (6) If Respondent School District denied Student a FAPE in February 2010, whether Parents are entitled to reimbursement for expenses incurred when they placed Student for three days a week at ACT school for the 2010-2011 school year?
  - (a) Whether ACT school is an appropriate placement for Student?

<sup>&</sup>lt;sup>4</sup> Transcripts of the testimony have been added to the record.

<sup>&</sup>lt;sup>5</sup> These exhibits include over 900 pages of documentation. Exhibit N-520a was admitted only for impeachment purposes.

<sup>&</sup>lt;sup>6</sup> Like Petitioners' Exhibits, some of these Exhibits contain multiple parts. Many of the pages are not numbered. In total, they include about 500 pages.

 (7) If Respondent School District denied Student a FAPE in May 2011, whether Parents are entitled to reimbursement for expenses incurred when they placed Student full-time at Chrysalis Academy in August 2011 for the 2011-2012 school year?
(a) Whether Chrysalis is an appropriate placement for Student?

Parents argue that both procedural and substantive violations of the IDEA by Respondent School District denied Student a FAPE. Their main contention is that Respondent School District has not offered Student a FAPE beginning August 2009. Respondent School District defends its actions, arguing that a FAPE has been offered to Student at all times. Respondent School District does admit that, with regard to issue (1) above, it did not follow IDEA procedures.

# Post-Hearing Motion to Strike

In its written closing argument, Respondent School District raises a procedural issue that affects the scope of this Decision. Respondent School District moves to strike "allegations" made by Petitioners based on evidence presented at hearing regarding two assertions: (1) failure to provide Parents sufficient and accurate information when developing the February 2010 IEP, resulting in insufficient parental participation; and (2) failure to accurately monitor the goals of the February 2009 IEP and/or rewrite them. These assertions were not spelled-out in the due process complaint and were presented first at the hearing in the evidence and testimony of witnesses and then argued explicitly for the first time during Petitioners' written closing argument. In its response brief, Respondent School District urges that Petitioners be barred from making these assertions because they are amendments to the complaint in violation of the procedural rules for IDEA.

<sup>&</sup>lt;sup>7</sup> Because Respondent School District does not cite to PETITIONERS' POST-HEARING BRIEF and does not clearly characterize the arguments to which objection is made, it is difficult to interpret what arguments the motion is referring to. The "allegations" paraphrased above are the Administrative Law Judge's best guess.

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<sup>&</sup>lt;sup>8</sup> Counsel for Petitioners has explained that much of the information involved with those assertions was not disclosed to Parents until after the complaint was filed and when preparation for the hearing had begun. PETITIONER'S [Sic] REPLY [BRIEF]... at 2.

Under the IDEA statutes and regulations, a party may amend its complaint only if (a) the other party consents in writing and is given an opportunity to resolve the complaint through a resolution meeting, or (b) permission of the presiding officer is obtained, except that the presiding officer cannot give permission to amend less than five days before hearing.<sup>9</sup> Thus, a school district has a right to advance notice of claims and the factual and legal bases for those claims.

However, Respondent School District did not object or raise a claim of surprise at the hearing. Indeed, Respondent School District addressed the evidence. No objection was made until the response brief. This is much too late. Had Respondent School District raised an objection at hearing, the issue could have been addressed in a timely manner. But, the objection was not raised and now the record is closed. Thus, Respondent School District failed to timely object and has waived its rights.<sup>10</sup>

Therefore, Respondent School District's Motion to Strike is denied.

# Respondent School District's Motion to Bar Issue (1) Due to IDEA Statute of Limitations

Respondent School District argues that Issue (1) above, concerning Student's change of placement without an IEP modification or Prior Written Notice ("PWN"), is barred by the IDEA's "statute of limitations," which allows parents two years to bring a claim. Respondent School District bases its argument on the undisputed facts that (a) Student began second grade (under a February 2009 IEP) on August 4, 2009, and (b) Petitioners' due process complaint was filed on August 15, 2011, more than two years later. Petitioners deny that Issue (1) is barred, arguing that one of the exceptions to the two-year rule applies to them due to the failure of Respondent School District to issue a PWN for the change in placement.

The IDEA sets a timeline for requesting a hearing: "A parent or agency shall request an impartial due process hearing within 2 years of the date the parent or

<sup>&</sup>lt;sup>9</sup> 20 U.S.C. § 1415(c)(2)(E); 34 C.F.R. § 300.508(d)(3). In addition, an amended complaint starts the resolution and hearing timelines over again. *Id.* 

<sup>&</sup>lt;sup>10</sup> The rule applicable to amending complaints is intended to protect the non-amending party from lack of notice or surprise. The non-amending party has a right to reasonable notice of the claims that will be addressed at hearing. In the circumstances here, Respondent School District sat on that right and participated in four days of hearing without objection.

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agency knew or should have known about the alleged action that forms the basis of the complaint. . . . "11 There are two exceptions to the timeline. 12 Under one of the exceptions, a claim may be brought based on actions older than two years when a school district has withheld "information from the parent that was required under this part [20 U.S.C. §§ 1411-1419] to be provided to the parent." School districts are required to issue a PWN to parents whenever the school district proposes to change the educational placement of a disabled student. 4 A PWN informs parents about what action is being taken, explains why it is being taken, explains what other options were considered, informs parents that they have procedural rights, and provides sources for assistance in understanding those rights. 15

This tribunal finds that the lack of a PWN informing Parents of the change in Student's placement falls within the time-limit exception. The PWN is an essential document in the IDEA process and the failure to issue one when placement is being changed is a substantial violation of the IDEA and a significant failure to provide Parents information that was required to be sent to them. The claim made in Issue (1), even to the extent that it is based on actions that occurred before August 15, 2009, is not barred. 16

Therefore, Respondent School District's motion to bar Issue (1) is denied.

# **Introduction**

The Administrative Law Judge has considered the entire record, including the testimony and Exhibits, and now makes the following Findings of Fact, Conclusions of Law, and Order finding substantive violations of the IDEA and awarding reimbursement and compensatory education.

#### **FINDINGS OF FACT**

<sup>&</sup>lt;sup>11</sup> 20 U.S.C. § 1415(f)(3)(C). The omitted portion of the quote allows State's to set their own time limit. Arizona has not changed the two years provided in the IDEA. Anzona has not changed the two years provided in the IDEA.

12 20 U.S.C. § 1415(f)(3)(D)(i) and (ii). Paragraph (i) is not applicable here.

13 20 U.S.C. § 1415(f)(3)(D)(ii).

14 20 U.S.C. § 1415(b)(3).

15 20 U.S.C. § 1415(c)(1).

<sup>16</sup> Because of this ruling, it is not necessary to address when Parents knew or should have known of the violation.

 1. Student is an elementary school student who is eligible for special education under the categorical eligibilities of Autism (primary), Moderate Intellectual Disability, and Speech Language Impairment.<sup>17</sup> She has received related services in the areas of speech/language therapy, physical therapy, and occupational therapy. She has deficits in communication skills, adaptive functioning skills, academic skills, and social/emotional/behavioral skills.

# February 2009 IEP

- 2. In August 2009, Student began grade at Respondent School District. Her IEP called for her to be in a self-contained classroom setting full-time with individual and small group instruction in functional academics. Instead, due to an "inclusion program," Respondent School District placed Student in a regular education classroom with supports and some instruction from the special education teacher, who was called her "Case Manager." Respondent School District had not consulted Student's IEP team for this change of placement and had not issued a PWN for it. 19 The COCSD Special Education Teacher had met with Parents over the summer and had informally informed them of the change.
- 3. Student remained in that setting for the entire grade. Her IEP was reviewed and renewed in February 2010. That IEP called for placement in the regular education classroom, the same placement Student had been in since August 2009. The IEP team made the placement in February 2010. Therefore, from August 2009 to February 2010, Respondent School District was not implementing Student's IEP as written. The failure was a material one, since Respondent School District radically changed her placement from the one that was found by her IEP team in February 2009 to enable her to obtain meaningful educational benefit. Respondent School District's improper change of placement was a significant and substantial failure to follow Student's IEP.

#### February 2010 IEP

<sup>&</sup>lt;sup>17</sup> Exhibit 3 (using the term "Moderate Mental Retardation" instead of newly-implemented terminology Moderate Intellectual Disability). Student also has <sup>18</sup> Exhibit 7.

<sup>&</sup>lt;sup>19</sup> Dianne Frazier, COCSD Director of Special Services, admitted this in her testimony.

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- 4. In January 2010, Jennifer McConnell, a Behavior Consultant with Counseling & Consulting Services, observed Student at school as part of a Functional Behavioral Analysis ("FBA").<sup>20</sup> The FBA was requested by Parents partly because Student had jumped off the school playground slide in November 2009, severely damaging her arm.<sup>21</sup> The FBA was to focus on Student's "challenging behaviors" and "poor safety skills" at home, with a non-parent caregiver, and at school. Ms. McConnell found that "[t]he primary function of [Student's] behaviors appears to be to communicate her needs or desires, address sensory needs, to avoid undesirable tasks or environments and to seek individual attention."<sup>22</sup> Ms. McConnell made detailed recommendations for Student, which included creating a sensory diet and training workers at school, and to coordinate across environments to create consistency for Student. The FBA also included specific techniques for addressing Student's problem behaviors. At hearing, Ms. McConnell testified that Student's behaviors were greatly impeding her learning in the general education classroom.<sup>23</sup>
- 5. In February 2010, Student's IEP team met to review her progress and create a new IEP. The evidence shows that, at that meeting, the IEP team was given erroneous information about Student's progress. Her problematic behaviors were hardly discussed and were minimized.<sup>24</sup> In addition, the IEP team was told by the COCSD Special Education Teacher that Student had met several of her goals from the February 2009 IEP: one concerning attending/listening skills and another concerning decoding letters.
- 6. The first February 2009 IEP goal that the team was told that Student had met was an attending/listening goal: "[Student] will correctly follow functional, multi-step (i.e. 2-3 step) directions during structured classroom activities." Although, the COCSD Special Education Teacher reported that Student had met that goal, <sup>26</sup> the evidence

<sup>&</sup>lt;sup>20</sup> Exhibit 37.

Under the February 2009 IEP, Student was to have an aide for behavioral management. Exhibit 7 at 6.

<sup>&</sup>lt;sup>22</sup> Id. (pages are not numbered).

<sup>&</sup>lt;sup>23</sup> Reporter's Transcript of Proceedings ("RTP") Vol. 3 (October 7, 2011) at 729.

Exhibit 8 (2009 Goals sheet with handwritten notes).

 shows that Student had not met the goal. The school recorded no data about Student's progress on the actual goal.<sup>27</sup> Rather, the goal was rewritten to include cues and prompts, and even then the data that was collected did not show meaningful progress.<sup>28</sup> Significantly, the speech language pathologist reported that Student still struggled with following only 2-step directions.<sup>29</sup> The representation that Student had met the attending/listening goal was not accurate.

- 7. Student also had a decoding goal, her only academic goal, in the February 2009 IEP.<sup>30</sup> Again, it was reported that she had met that goal.<sup>31</sup> However, the evidence does not support that report. Instead, the evidence shows that the goal was rewritten by the COCSD Special Education Teacher, and the sparse data collected shows that Student did not meet the goal as written.<sup>32</sup> Nevertheless, it was reported to the February 2010 IEP team that Student had met the goal.
- 8. The 2010 IEP team, based in part on this erroneous information, concluded that Student was doing well in her the regular education inclusion placement and continued that placement.<sup>33</sup> The team did not provide for a one-to-one aide to help Student with her behaviors, nor did the team create a Behavioral Intervention Plan ("BIP") to tell staff how to address disruptive behaviors. There is no evidence that the team weighed the educational and non-academic benefits of keeping Student in the regular classroom versus a more restrictive setting, or the disruption that Student might cause other students in the regular education classroom. Although Student had sensory needs according to Ms. McConnell, the February 2010 IEP failed to address those needs.
- 9. Finally, the evidence shows that in February 2010, Student needed a supplementary, functional communication system<sup>34</sup> and that the IEP did not provide one.

<sup>&</sup>lt;sup>27</sup> Exhibit C-040.

<sup>28</sup> Exhibit C-051.

<sup>29</sup> Exhibit 3E.

<sup>30</sup> Exhibit 7 at 8

<sup>&</sup>lt;sup>31</sup> Exhibit 8 (2009 Goals sheet with handwritten notes).

<sup>32</sup> Exhibit C-052.

<sup>33</sup> Exhibit 8 at 11.

<sup>&</sup>lt;sup>34</sup> Exhibit 37 (McConnell report).

10. Late in the 2009-2010 school year, Parents began taking Student to private music therapy in Phoenix. The music therapy Student attended is associated with the ACT School ("Assuming Competence Today"), which specializes in educating children with autism. Student also visited ACT school for a day. When Parents saw how much better Student did in these experiences, where she was assisted by highly trained aides who addressed her sensory needs, Parents began requesting that the school district provide Student with a highly trained one-to-one aide. IEP meetings were held, but the request was denied. Parents persisted, vigorously contending that Student could do well with "a sensory-integration trained one on one aide." Parents also began to understand that the setting that Student was in might be a problem: "She needs the general education curriculum not necessarily a general education setting." Members of the IEP team were not in agreement, and Respondent School District declined to make any changes to the IEP in May 2010<sup>37</sup> and August 2010, but did agree to re-evaluate Student.

# Part-time Placement at ACT School

- 11. Beginning in August 2010, Parents placed Student at ACT school for three days a week (Tuesday, Wednesday, and Thursday). Student attended school in COCSD on Mondays and Fridays. This lasted throughout the 2010-2011 school year. Student did well at ACT, but continued to struggle at COCSD.
- 12. Suzanne Oliver, founder and Executive Director of ACT school, testified at the hearing about ACT and Student's education there. Ms. Oliver is a board-certified music therapist with advanced training in neurologic music therapy.<sup>41</sup> She has been working with autistic children for thirty years and is considered to be an expert in

<sup>35</sup> Exhibit N-488.

<sup>36</sup> Exhibit N-489.

<sup>37</sup> Exhibit 10.

<sup>38</sup> Exhibit 11.

<sup>&</sup>lt;sup>39</sup> Exhibit 11E

<sup>&</sup>lt;sup>40</sup> Parents notified Respondent School District that they were going to make that placement. Respondent School District stipulated that proper notification was made. Lack of notification for the parental placement is not an issue in this case.

RTP Vol. 2 (October 6, 2011) at 419.

autism.<sup>42</sup> She testified that ACT is a private day school, approved by the Arizona Department of Education, that serves children with autism, especially those with severe sensory dysregulation and behavior issues.

- 13. At ACT, Student had a full-time one-on-one aide to give her sensory support and help her regulate her behaviors. Ms. Oliver described the autism treatment methodology used at ACT as the "Antecedent Package," a methodology that has been established through research as an effective form of treatment for autism, according to The National Autism Center's *National Standards Report*. The Antecedent Package uses a variety of interventions to modify "situational events that typically precede a targeted behavior." As such, it is an appropriate methodology to use with Student.
- 14. In addition, ACT uses a "total communication" approach that is research-based and is a recognized communication methodology for children with autism. <sup>46</sup> It provides a variety of modes for communication. Marti Baio, a Speech-Language Pathologist who observed Student at ACT for an evaluation, testified that this approach was appropriate for Student. <sup>47</sup> Suzanne Oliver testified that "total communication" was Student's primary mode of communication. <sup>48</sup>
- 15. The evidence shows that one method used at ACT is Facilitated Communication ("FC"). FC is a controversial and non-established form of communication that has been criticized by this tribunal in the past.<sup>49</sup> However, Ms. Oliver credibly testified that Student used FC at ACT only minimally and that it was not a primary mode of communication for her.<sup>50</sup> Therefore, this tribunal finds that Student's use of FC while at ACT did not render ACT an inappropriate placement.
  - 16. ACT was an appropriate school for Student.

<sup>42</sup> Id. at 422.

<sup>43</sup> Id. at 439.

<sup>44</sup> Exhibit M

<sup>45</sup> Exhibit M-372.

<sup>&</sup>lt;sup>46</sup> Testimony of M. Baio, RTP Vol. 2 at 336.

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<sup>\*\*</sup> Id. at 436.

<sup>&</sup>lt;sup>49</sup> M.H. v. Avondale Elem. Sch. Dist., No. 08C-DP-08030-ADE (Nov. 14, 2008).

<sup>&</sup>lt;sup>50</sup> RTP Vol. 2 at 436.

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17. During the first months of 2011, Joseph Gentry, Ph.D., BCBA-D, performed a comprehensive evaluation and functional behavioral assessment of Student.<sup>51</sup> Dr. Gentry has impressive credentials. He is a licensed psychologist, a certified school psychologist, and a Board-Certified Behavioral Analyst ("BCBA") with a Ph.D. in School Psvchology.<sup>52</sup> He works exclusively with children with autism, and has done so for 11 vears.<sup>53</sup> He is in private practice now, but for many years was the Director of School Consultation at the Southwest Autism Research and Resource Center, performing functional behavioral analyses and writing behavior plans, and training teachers and school staff.<sup>54</sup> This tribunal finds that he is an expert in the field of treating children with autism.

18. Dr. Gentry<sup>55</sup> performed interviews with Parents and Student's teachers, reviewed the school records he was given, conducted testing and assessments on Student, and, most importantly, observed Student at both COCSD and ACT for many hours over three days.<sup>56</sup> It is evident from his report and his testimony that he obtained a very good picture of Student and her behaviors in a school environment. He wrote a lengthy and detailed comprehensive evaluation and behavioral assessment that made specific findings and detailed recommendations for Student. His report and his consultation are important pieces, perhaps the most important, of information about how to educate Student.

19. At hearing, Dr. Gentry offered lengthy testimony in support of his findings and recommendations. The most compelling part of his testimony was his explanation of "instructional control." He noted that both testing and observations showed that Student has significant problem behaviors that impede her learning.<sup>57</sup> At ACT, his

Exhibit O-522.

<sup>&</sup>lt;sup>52</sup> RTP Vol. 3 at 761.

<sup>&</sup>lt;sup>53</sup> Id. at 761-62. 54 Id. at 762-63.

<sup>&</sup>lt;sup>55</sup> Along with his partner Dr. Lori Long. <sup>56</sup> Id. at 769.

<sup>&</sup>lt;sup>57</sup> Id. at 792, 832.

observations showed that those behaviors were being well-managed<sup>58</sup> and that the teachers and staff had instructional control.<sup>59</sup> He defined "instructional control" as when "the demand giver, the person providing the instruction, has a high probability of – of receiving an answer in return." ACT had it; 61 COCSD did not. 62

20. At ACT, Dr. Gentry opined, Student had an opportunity to learn and engage in academic activities because her behaviors were being regulated. He testified that ACT was appropriate and that Student was receiving educational benefit there. At COCSD, Student's teacher did not have instructional control and Student did not attend to her environment because she had only intermittent aide support. In addition, COCSD staff did not appear to be appropriately trained because when Student engaged in attention-seeking behaviors the aides would give Student attention, which maintained those behaviors. Student was in control of the learning environment at COCSD. The was also disruptive to the class. Dr. Gentry did not believe that a general education environment was appropriate for Student. He testified that it was not reasonably likely that Student was receiving any meaningful educational benefit at COCSD. In fact, he characterized Student's education at COCSD as follows: "She's going to school for so many hours a day, and 90 percent of it she's being taught negative behaviors, and . . . 9 percent she's engaging in activities that she finds amusing. And the other 1 percent she's actively learning."

<sup>&</sup>lt;sup>58</sup> *Id*. at 807.

<sup>&</sup>lt;sup>59</sup> *Id.* at 813.

<sup>&</sup>lt;sup>60</sup> *Id.* at 811.

<sup>&</sup>lt;sup>61</sup> Dr. Gentry testified that "ACT did a great job of teaching her how to regulate her behavior." *Id.* at 816. <sup>62</sup> *Id.* at 812.

<sup>&</sup>lt;sup>63</sup> *Id.* at 816-18.

*ld.* at 819.

*Id.* at 821.

<sup>66</sup> Id. at 821, 826, 827.

<sup>&</sup>lt;sup>67</sup> *Id.* at 828.

<sup>&</sup>lt;sup>68</sup> *Id*. at 832. <sup>69</sup> *Id*.

<sup>&</sup>lt;sup>70</sup> *Id.* at 845.

<sup>&</sup>lt;sup>71</sup> Id. at 856.

<sup>79</sup> Exhibit O-543. <sup>80</sup> Exhibit O-547.

- 21. Dr. Gentry also observed that Student had no effective communication system at COCSD.<sup>72</sup> This is consistent with the report and testimony of the speech-language pathologist discussed below.
- 22. Dr. Gentry also observed Student at Chrysalis Academy, which Student began attending full-time in August 2011 on the basis of Dr. Gentry's recommendation.<sup>73</sup> He observed that Chrysalis had instructional control of Student within her first 30 days there.<sup>74</sup> He attributed that to the Applied Behavioral Analysis ("ABA") program there that is supervised by a BCBA.<sup>75</sup> Obviously, since he recommended it, Dr. Gentry believes that Chrysalis Academy is an appropriate placement for Student.
- 23. Finally, Dr. Gentry testified about the type of remediation Student needs to make up the ground he believes she lost at COCSD. He believes that Student needs an intensive ABA program like the one at Chrysalis, as well as supplemental individualized instruction using various research-based methodologies.<sup>76</sup> He testified that she would need at least 3 hours per day of individualized instruction.<sup>77</sup>
- 24. Dr. Gentry's testimony is found to be consistent with the credible evidence in this matter and is given a great deal of weight.<sup>78</sup>

#### Augmentative Communication

25. One of Student's substantial needs is assistance with communication. Marti Baio, Speech-Language Pathologist, evaluated Student in December 2010.<sup>79</sup> She found that Student was unable to express her wants and needs, comprehend directions, express herself in an emergency, and relate to her peers.<sup>80</sup> She concluded that Student would not be able to improve her learning and communication skills if she is not in a classroom with "ongoing sensory input, a 1:1 aid, and opportunities to

<sup>&</sup>lt;sup>72</sup> *Id.* at 863.

<sup>73</sup> Exhibit N-520.

<sup>74</sup> RTP Vol. 3 at 889.

<sup>&</sup>lt;sup>75</sup> *Id.* at 890.

<sup>&</sup>lt;sup>78</sup> Respondent School District has not mounted a serious challenge to Dr. Gentry's report or opinion.

communicate throughout the entire day."<sup>81</sup> She recommended that Student be evaluated for an "augmentative communication system with speech output" because during the evaluation Student was introduced to "the Alexicom Tech system . . . and needed only a few minutes to figure out how to use the system."<sup>82</sup> Thus, such a system would benefit Student's ability to communicate.

### May 2011 IEP

- 26. In May 2011, Student's IEP team met to finalize her next IEP.<sup>83</sup> Although presented with the evaluations that had been performed in the prior Fall and Winter,<sup>84</sup> including Dr. Gentry's combined comprehensive evaluation and functional behavioral assessment, and although Dr. Gentry attended an IEP team meeting and presented his findings and recommendations to the team personally,<sup>85</sup> the district members of the team declined to provide Student a formal written behavior plan, declined to move student out of the general education environment, and declined to provide a one-to-one aide to support Student's sensory and behavior needs.<sup>86</sup> The IEP acknowledged that the use of an appropriate augmentative communication device should be explored,<sup>87</sup> but did not provide for it.
- 27. The appropriateness of the May 2011 IEP is not supported by the evidence because it lacked a formal behavior plan, a one-to-one aide, an appropriate augmentative communication device, and a change of placement to a more restrictive setting. It was not calculated to provide meaningful educational benefit to Student. Therefore, it did not offer Student a FAPE.

#### Placement at Chrysalis Academy

28. In response to the May 2011 IEP, Parents placed Student full-time at Chrysalis Academy. Chrysalis is approved by the Arizona Department of Education as a private day school for children with autism.<sup>88</sup> Patty McCartney, Ph.D., CCC-SLP, co-

<sup>&</sup>lt;sup>81</sup> *Id*.

<sup>82</sup> Exhibit O-548.

<sup>&</sup>lt;sup>63</sup> The February 2010 IEP's expiration in February 2011 had been extended by consensus.

<sup>&</sup>lt;sup>84</sup> Exhibit 13.

<sup>&</sup>lt;sup>86</sup> Exhibits 13 and 14.

<sup>&</sup>lt;sup>87</sup> Exhibit 14B at 6.

<sup>&</sup>lt;sup>88</sup> RTP Vol. 2 at 510.

model.<sup>89</sup> She testified that during Student's first 30 days at Chrysalis, they worked to assess her behaviors and to establish instructional control.<sup>90</sup> This led to the creation of a Behavior Support Plan for Student.<sup>91</sup> It also led to assigning a one-to-one highly trained aide to work with Student.<sup>92</sup> The aide is supervised by a BCBA.<sup>93</sup>
29. Dr. McCartney testified that Chrysalis was an appropriate placement for

Director of Chrysalis, testified that it is an ABA school that follows a verbal behavior

- 29. Dr. McCartney testified that Chrysalis was an appropriate placement for Student and that Student had already gained some educational benefit.<sup>94</sup> Records from Chrysalis support that testimony.<sup>95</sup>
- 30. The evidence shows that Chrysalis Academy is an appropriate placement for Student.

# Expenses Incurred by Parents

- 31. Both ACT and Chrysalis Academy are located in Phoenix, Arizona.

  Petitioners do not live in Phoenix. Parents presented evidence of the expenses they have incurred for tuition, transportation, and lodging associated with placing Student at those private schools. Respondent School District has not challenged the content or amount of the expenses. If calculated through November 2011, those expenses amount to \$60,267.14. 97
- 32. This tribunal finds the requested expenses to be reasonable and equitable. 98

# CONCLUSIONS OF LAW APPLICABLE LAW

#### FAPE

Id. at 564.

<sup>&</sup>lt;sup>89</sup> *Id.* at 514. <sup>90</sup> *Id.* at 532-33. <sup>91</sup> *Id.* at 540-41. Exhibit S-771.

<sup>&</sup>lt;sup>92</sup> RTP Vol. 2 at 548. <sup>93</sup> *Id.* at 553.

<sup>&</sup>lt;sup>∌5</sup> Exhìbit S. <sup>∌6</sup> Exhibit T.

<sup>&</sup>lt;sup>7</sup> Combine Exhibit T-778 with PETITIONER'S [sic] POST-HEARING BRIEF at 64-66.

<sup>&</sup>lt;sup>98</sup> Conspicuously absent from these Findings of Fact is an evaluation of the testimony of the COCSD Special Education Teacher, who this tribunal did not find to be a credible witness. Respondent School District relied almost exclusively on her to support its defense. Her testimony was defensive, evasive,

# The IEP

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2. Once a child is determined eligible for special education services, a team composed of the child's parents, teachers, and others formulate an Individualized Education Program ("IEP") that, generally, sets forth the child's current levels of educational performance and sets annual goals that the IEP team believes will enable the child to make progress in the general education curriculum. 105 The IEP tells how the child will be educated, especially with regard to the child's needs that result from the child's disability, and what services will be provided to aid the child. The child's

and was not supported by documentation. As such, although the testimony was considered, the Administrative Law Judge chooses to simply make this footnote and move on. 20 U.S.C. §1400(d); 34 C.F.R. § 300.1.

<sup>&</sup>lt;sup>100</sup> Seattle Sch. Dist. No. 1 v. B.S., 82 F.3d 1493, 1500 (9<sup>th</sup> Cir. 1996) (quoting H.R. Rep. No. 410, 1983 U.S.C.C.A.N. 2088, 2106).

Hendrick Hudson Central Sch. Dist. Bd. of Educ. v. Rowley, 458 U.S. 176, 204 (1982).

<sup>&</sup>lt;sup>102</sup> Id., 458 U.S. at 200.

<sup>&</sup>lt;sup>103</sup> *Id.* at 198.

<sup>&</sup>lt;sup>104</sup> Park v. Anaheim Union High Sch. Dist., 464 F.3d 1025, 1033 (9th Cir. 2006) (citing Capistrano Unified Sch. Dist. v. Wartenberg, 59 F.3d 884, 893 (9th Cir. 1995).

<sup>20</sup> U.S.C. § 1414(d); 34 C.F.R. §§ 300.320 to 300,324.

117 Id. at 1026.

parents have a right to participate in the formulation of an IEP.<sup>106</sup> The IEP team must consider the strengths of the child, concerns of the parents, evaluation results, and the academic, developmental, and functional needs of the child.<sup>107</sup> To foster full parent participation, in addition to being a required member of the team making educational decisions about the child, school districts are required to give parents written notice when proposing any changes to the IEP,<sup>108</sup> and are required to give parents, at least once a year, a copy of the parents' "procedural safeguards," informing them of their rights as parents of a child with a disability.<sup>109</sup>

3. IEP teams must consider the communication needs of a child. The team must also consider the concerns of a child's parents when developing an IEP. In fact, the IDEA requires that parents be members of any group that makes decisions about the educational placement of a child. It

# Behavioral Plan

- 4. If a child's behavior impedes the child's learning or the learning of others, the IEP team must also consider "the use of positive behavioral interventions and supports, and other strategies, to address that behavior." This is typically done by means of a written behavioral plan that is attached to the IEP.
- 5. In the *Neosho*<sup>114</sup> case, an autistic student who had behavioral issues that impeded his learning did not have a written behavior management plan attached to his IEP.<sup>115</sup> The student's teacher and aide attempted to manage the behaviors to the best of their ability but failed.<sup>116</sup> An expert witness testified that the student needed a cohesive behavior management plan.<sup>117</sup> The appellate court upheld the state

<sup>106</sup> 20 U.S.C. § 1414(d)(1)(B); 34 C.F.R. §§ 300.321(a)(1).

<sup>107 20</sup> U.S.C. § 1414(d)(3)(A); 34 C.F.R. §§ 300.324(a).
108 20 U.S.C. § 1415(b)(3); 34 C.F.R. § 300.503.
109 20 U.S.C. § 1415(d); 34 C.F.R. § 300.503. Safeguards may also be posted on the Internet.
20 U.S.C. § 1415(d)(B).
110 20 U.S.C. § 1414(d)(3)(B)(iv); 34 C.F.R. §§ 300.324(a)(2)(iv).
111 20 U.S.C. § 1414(d)(3)(A)(ii); 34 C.F.R. §§ 300.324(a)(1)(ii).
112 20 U.S.C. § 1414(e); 34 C.F.R. §§ 300.327 and 300.501(c)(1).
113 20 U.S.C. § 1414(d)(3)(B)(i); 34 C.F.R. §§ 300.324(a)(2)(i).
114 Neosho R-V Sch. Dist. v. Clark, 315 F.3d 1022 (8<sup>th</sup> Cir. 2003).
115 315 F.3d at 1025.

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administrative tribunal and the district court by finding that the lack of a cohesive behavior management plan in the IEP meant that it was not reasonably calculated to provide an educational benefit for that student. 118

# Prior Written Notice

6. The IDEA process for making changes to an IEP, including changing educational placements, requires a school district to give parents written notice before taking the proposed action. 119 That notice (often called Prior Written Notice or PWN) must contain certain information specified by the IDEA, such as an explanation of why a decision is being made, the documentation used to make the decision, and a reminder of parents' procedural rights. Of particular note is the requirement that the PWN contain '[a] description of other options that the IEP Team considered and the reasons why those options were rejected. . . . "120 Thus, the PWN is issued after an IEP team decision has been made, not before.

# Reimbursement for Private School Placement

7. Parents who dispute whether an IEP provides a FAPE to a child, and who as a result enroll that child in a private school, may receive reimbursement for the costs of that private-school enrollment under certain circumstances. 121 The program offered by the school district must fail to provide a FAPE to the child and the private school must be an "appropriate" placement. 122 A private school placement may be appropriate even if it does not operate under public school standards. 123 Under these circumstances, parents may "enroll the child in a private preschool, elementary school, or secondary school without the consent of or referral by the [school district]. . ." and seek reimbursement from the school district for the expense of that enrollment from a court or hearing officer. 124 Indeed, parents have "an equitable right to reimbursement for the cost of providing an appropriate [private] education when a school district has failed to

<sup>&</sup>lt;sup>118</sup> Id. at 1030.

<sup>&</sup>lt;sup>119</sup> 20 U.S.C. § 1415(b)(3); 34 C.F.R. §§ 300.503(a). <sup>120</sup> 20 U.S.C. § 1415(c)(1)(E); 34 C.F.R. §§ 300.503(b)(6).

<sup>121 34</sup> C.F.R. § 300.148.

<sup>&</sup>lt;sup>122</sup> *Id*.

<sup>&</sup>lt;sup>123</sup> *Id.* 

<sup>124 34</sup> C.F.R. § 300.148(b) and (c).

offer a child a [free appropriate public education]." Furthermore, the placement does not have to meet IDEA requirements for a FAPE. 126

8. However, an award for reimbursement can be reduced or denied in various circumstances. 127 An award may be reduced or denied if the parents have not given adequate notice as set forth in the IDEA. 128 There is no claim of inadequate parental notice in this case. Therefore, reimbursement, if warranted, will not be reduced or denied in this case.

#### **DECISION**

- 9. A parent who requests a due process hearing alleging non-compliance with the IDEA must bear the burden of proving that claim. 129 The standard of proof is "preponderance of the evidence," meaning evidence showing that a particular fact is "more probable than not." Here, Parents seek compensatory education for lost educational benefits and reimbursement for unilateral placements of Student at ACT and Chrysalis Academy. Therefore, Petitioners bear the burden of proving by a preponderance of evidence that Respondent School District failed to provide Student a FAPE by failing to follow the February 2009 IEP, and failed to provide a FAPE in the February 2010 IEP and May 2011 IEP, and that placements at ACT and Chrysalis Academy were appropriate.
- 10. Furthermore, this tribunal's determination of whether or not Student received a FAPE must be based on substantive grounds. 131 If a procedural violation is alleged and found, it must be determined whether the procedural violation either (1) impeded the child's right to a FAPE; (2) significantly impeded the parents' opportunity to participate in the decision-making process; or (3) caused a deprivation of educational

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<sup>&</sup>lt;sup>125</sup> Union School Dist. v. Smith, 15 F.3d 1519, 1524 (9th Cir. 1994) (quoting W.G. v. Bd. of Trustees, 960 F.2d 1479, 1485 (9th Cir. 1992)).

<sup>&</sup>lt;sup>126</sup> Florence County. Sch. Dist. Four v. Carter, 510 U.S. 7, 13 (1993).

<sup>&</sup>lt;sup>127</sup> 34 C.F.R. § 300.148(d). <sup>128</sup> 34 C.F.R. § 300.148(d)(1).

<sup>&</sup>lt;sup>129</sup> Schaffer v. Weast, 546 U.S. 49, 126 S. Ct. 528 (2005).

<sup>&</sup>lt;sup>130</sup> Concrete Pipe & Prods. v. Constr. Laborers Pension Trust, 508 U.S. 602, 622, 113 S. Ct. 2264, 2279 (1993) quoting In re Winship, 397 U.S. 358, 371-372 (1970); see also Culpepper v. State, 187 Ariz. 431, 437, 930 P.2d 508, 514 (Ct. App. 1996); In the Matter of the Appeal in Maricopa County Juvenile Action No. J-84984, 138 Ariz. 282, 283, 674 P.2d 836, 837 (1983).

<sup>&</sup>lt;sup>131</sup> 20 U.S.C. § 1415(f)(3)(E)(i); 34 C.F.R. §§ 300.513(a)(1).

11. This tribunal finds that Petitioners have met their burden by showing substantive violations of the IDEA. This tribunal also finds, for the reasons stated below, that parent's unilateral private placements are appropriate and must remain the placement for Student.

# Substantive Violations

# (A) Failure to substantially implement an IEP

12. First, Student's February 2009 IEP determined what would provide her meaningful educational benefit. It required placement in a self-contained classroom with full-time instruction from a special education teacher. From August 2009 through February 2010, Respondent School District did not follow the requirements of the IEP because Respondent School District placed her in a regular education setting. This was a substantial deviation from the IEP. Thus, Respondent School District violated the IDEA each school day that Student was present for that period. Student did not receive meaningful educational benefit each of those days.<sup>133</sup> Student is, therefore, in need of compensatory education.

# (B) February 2010 IEP did not offer a FAPE

- 13. This tribunal's review of the IEPs is limited to the contents of the document. Therefore, the question of whether the IEPs were reasonably calculated to provide educational benefit to Student must be decided on the basis of the contents of the IEPs themselves.
- 14. The February 2010 IEP denied Student a FAPE because it did not have a comprehensive behavior plan that provided positive behavioral management. It also

<sup>132 20</sup> U.S.C. § 1415(f)(3)(E)(ii); 34 C.F.R. §§ 300.513(a)(2).

lt is also true, of course, that Respondent School District failed to follow mandated procedure by calling an IEP team meeting to consider a change of placement and issuing a PWN. However, by regulation substantive violations have preference over procedural ones, so only the substantive will be addressed here, where there are both.

<sup>134</sup> Knable v. Bexley City Sch. Dist., 238 F.3d 755, 768 (6<sup>th</sup> Cir. 2001), see also Union Sch. Dist. v. Smith, 15 F.3d 1519, 1526 (9<sup>th</sup> Cir. 1994) (IDEA requirement of a formal, written offer should be enforced rigorously: "only those services identified or described in the . . . IEP should have been considered in evaluating the appropriateness of the program offered.").

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did not adequately address Student's sensory needs. In addition, it did not provide for a full-time one-to-one aide to support Student with academic, sensory, communication, and behavioral needs. Finally, it did not provide a supplemental communication system to help Student overcome her inability to adequately communicate. Student needed all those elements to be able to obtain meaningful educational benefit.

15. Moreover, the placement of Student in a general education inclusion setting with supports was not appropriate. Student had too many and too significant challenges to be educated in that setting. Although that setting would be an appropriate goal for Student to strive for, the evidence shows that she could not obtain meaningful educational benefit in that setting at that time.

# (C) May 2011 IEP did not offer a FAPE

- 16. Because the May 2011 IEP retained the same components as the prior IEP, it too did not offer Student a FAPE. It should have been clear to Respondent School District by then, given the evaluations that they were presented with (especially that of Dr. Gentry), that significant changes needed to be made in the areas noted above in Conclusion of Law 14. By failing to make those changes, the IEP did not offer a FAPE. Appropriate Placements
- 17. The evidence shows that both ACT and Chrysalis Academy are appropriate placements for Student. They are both state-approved schools that specialize in educating students with autism. Furthermore, several credible experts testified that both schools were appropriate for Student.
- 18. Because this tribunal agrees with Parent that Chrysalis is appropriate, this creates an agreement between the State and the parent and constitutes Student's current educational placement. Student shall remain at Chrysalis at Respondent School District's expense at least through the end of the current school year.

#### Compensatory Education

19. As noted, Student is in need of compensatory education. It is hoped that she will make great strides at Chrysalis Academy, but Dr. Gentry offered credible testimony that Student will need, in addition to Chrysalis, intensive instruction to recoup

20. Parents have calculated a range of 338 to 429 hours of compensatory education based on the days Student was at school in the district. However, compensatory education is an equitable remedy and does not necessarily have to correspond one-to-one. 400 hours of extra instruction is a large amount of extra school for a little girl who is going to school all day. This tribunal finds that, because the instructor will be highly-trained and supervised by a BCBA, recoupment can be gained in 300 hours. Therefore, Student is awarded 300 hours of compensatory education as prescribed by Dr. Gentry, to include intensive one-to-one instruction supervised by a BCBA.

# Reimbursement of Expenses

21. As found above, Parents have calculated their tuition, lodging, and transportation expenses through November 30, 2011. Those expenses, totaling \$60,267.14 are to be reimbursed by Respondent School District.

# Conclusion

22. Respondent School District denied Student a FAPE. In response, Parents placed Student in appropriate private placements. Parents are entitled to reimbursement of the expenses for those placements. Furthermore, Student shall remain at her current placement at Respondent School District's expense for at least the remainder of the current school year. Finally, Student is awarded compensatory education of 300 hours of specialized instruction as provided above.

#### **ORDER**

Based on the findings and conclusions above, IT IS HEREBY ORDERED that that the relief requested in the due process complaint is **granted**. Respondent School District must reimburse Parents \$60,267.14 for past expenses at both ACT school and Chrysalis and fund Student at Chrysalis at Respondent School District's expense. In

<sup>&</sup>lt;sup>135</sup> See *Union Sch. Dist. v. Smith*, 15 F.3d 1519, 1527 (9<sup>th</sup> Cir. 1994)("If a child's appropriate special education placement is at a non-residential program not within daily commuting distance of the family residence, transportation costs and lodging near the school are related services that are required to assist that child to benefit from special education.").

addition, Respondent School District must provide Student 300 hours of compensatory education as described above.

Done this 9<sup>th</sup> day of December 2011.

OFFICE OF ADMINISTRATIVE HEARINGS

Eric A. Bryant
Administrative Law Judge

RIGHT TO SEEK JUDICIAL REVIEW

Pursuant to 20 U.S.C. § 1415(i) and A.R.S. § 15-766(E)(3), this

Pursuant to 20 U.S.C. § 1415(i) and A.R.S. § 15-766(E)(3), this Decision and Order is the final decision at the administrative level. Furthermore, any party aggrieved by the findings and decisions made herein has the right to bring a civil action, with respect to the complaint presented, in any State court of competent jurisdiction or in a district court of the United States. Pursuant to Arizona Administrative Code § R7-2-405(H)(8), any party may appeal the decision to a court of competent jurisdiction within thirty-five (35) days of receipt of the decision.

Copy sent by electronic mail and regular mail this \_\_\_\_ day of December 2011, to:

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