

SMS/SIS Incident Data Submission Requirements

Version: 2.1
Last updated: 6/18/2009
Amitesh Kasera
Phone: 602-364-0331
Email: Amitesh.Kasera@azed.gov
Arizona Department of Education
Information Technologies Department
Business Analysis

Prepared by:	Amitesh Kasera
Ticket #:	
Stakeholders:	SMS/SIS Vendors
	School Districts

Revision Log

All revisions to this Software Requirements Specification (SRS) will be documented in this log.

Revision	Date	Description	Name
V1.0	10/14/08	Version 1 Document Release	Amitesh Kasera
V2.0	03/05/09	See Appendix 3A	Amitesh Kasera
V2.1	6/18/2009	See Appendix 3B	Amitesh Kasera

Table of Contents

1	PURPOSE	4
2	SMS SUBMISSION OPTIONS.....	5
3	INCIDENT DATA SUBMISSION REQUIREMENTS	6
3.1	INCIDENTS REQUIRED TO BE SUBMITTED TO ADE	6
3.2	UNIQUE SMS PERSON ID	7
3.3	SUBMISSION TRANSACTION STRUCTURE	7
3.4	XML SCHEMA	8
3.5	SUBMISSION OPTION CODES	21
3.6	SUBMISSION TRANSACTION TYPE.....	21
3.7	PROCESSING SUBMITTED FILES	23
4	MANDATORY DATA ELEMENTS FOR SUBMISSION	24
4.1	INCIDENT ATTRIBUTES – MANDATORY DATA ELEMENTS	24
4.2	INVOLVED PEOPLE – MANDATORY DATA ELEMENTS.....	25
4.2.1	<i>Students</i>	25
4.2.2	<i>All Other People</i>	26
4.2.3	<i>Race/Ethnicity</i>	26
4.2.4	<i>Role in Incident</i>	27
4.2.5	<i>Relationship to School</i>	28
4.2.6	<i>Special Education Codes</i>	30
4.3	VIOLATIONS - MANDATORY DATA ELEMENTS	31
4.4	ACTIONS - MANDATORY DATA ELEMENTS.....	32
5	PROCESS FLOW	33
5.1	INCIDENT DATA COMPONENTS	33
5.2	MANDATORY VIOLATIONS	34
5.3	MANDATORY ACTIONS.....	37
6	DATA SUBMISSION REFERENCES	38
6.1	AZ SAFE COLLECTION OF STUDENT LEVEL DATA.....	38
6.2	ADE OBLIGATION TO PRIVACY AND IDENTITY SECURITY - 2008 SENATE BILL 1218	39
	APPENDIX 1 – PRIMARY VIOLATION RANKING	40
	APPENDIX 2 – SAMPLE SUBMISSION FILES	42
	APPENDIX 3 – DOCUMENT REVISIONS	81

1 PURPOSE

The purpose of this document is to describe the new incident data submission procedures. All LEAs must submit incident level data at least once a year, for certain incidents that occurred during the school year, to meet state and federal reporting requirements. Preferred submission mode is determined by 3 factors –

- Frequency
- Data Scope
- Student Identity Type

Regarding frequency, the choice is between Year End Submission and Periodical Submission, where the LEA decides how often to submit the data. In regards to data scope the LEA may choose to submit all data elements or only mandatory data elements. Regarding Student Identity the LEA may choose between submitting Student SAIS ID or a Unique SMS Person ID assigned by the SMS incident module. It is important to assign the same Person ID for multiple incidents the student was involved in otherwise the statistics of the percent of students involved in incidents will be inflated.

2 SMS SUBMISSION OPTIONS

SMS Submission Options							
Periodical Transaction Submission	Year End Transaction Submission	ALL Data Elements Submitted	Mandatory Data Elements Submitted	Unique SMS Person ID Submitted	SAIS ID Submitted	Available *Report Categories	Reports Usability
X		X		X		2, 3	Periodical
X		X			X	1, 2, 3	Periodical
X			X	X		3	Periodical
	X	X		X		2, 3	Annual
	X	X			X	1, 2, 3	Annual
	X		X	X		3	Annual
*Reports Categories							
1. Reports with identifiable Students							
2. School/District Reports - aggregated data (must submit all data)							
3. Federal/State Mandatory Reports							

3 INCIDENT DATA SUBMISSION REQUIREMENTS

3.1 Incidents Required to be Submitted to ADE

At the end of the school year, LEAs are required to submit incident level data for all incidents that occurred during the school year that must be reported to ADE for federal requirements. Any incident that includes one of the following aspects must be submitted with all 4 data components – Incident, Person, Violation and Action:

- **Mandatory violations** -

Example:

Person Involved: Student

Violation: Bullying

Action Taken: Behavior Contract - not a Mandatory Action but must be submitted for this violation.

- **Mandatory Actions** - Any violations that prompted a mandatory action must be submitted.

Example:

Person Involved: Student

Violation: Theft - not a Mandatory Violation but must be submitted due to action taken.

Action Taken: In School Suspension-short term with service

- **Bodily (Physical) Injury** - Any incident that involves bodily injury and any action taken, not just mandatory violations and actions, must be submitted. Victims' information must be submitted as well.

Note: Bystanders/witness information submission is always optional.

3.2 Unique SMS Person Id

A unique SMS Person Id shall be assigned and submitted to ADE for each individual (Student and Non student) involved in the incident.

LEAs who wish to submit incident data without SAIS IDs shall only submit SMS Person Id for the students. It is necessary to have a Unique SMS Person Id per student involved in the incident for some state and federal reports, to count a student offender only once regardless of the number of incidents or violations the student was involved in.

3.3 Submission Transaction Structure

- Incident transactions will be submitted in XML format (see Section 3.4 below).
- Each transaction type (Add, Change and Delete) will constitute a separate file.
- Two file submission options will be provided:
 - Manual upload through ADE Common Logon system – will be available for FY 08/09.
 - SMS to Az SAFE automated submission using web service – will be available for FY 09/10.
- The submission transaction structure follows the component hierarchy:
 - Incident ID
 - Person ID, (Incident ID)
 - Violation ID, (Person ID, Incident ID)
 - Action ID, (Violation ID, Person ID, Incident ID)

3.4 XML Schema

```
1) <?xml version="1.0" encoding="UTF-8"?>
2) <xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema" elementFormDefault="qualified"
   attributeFormDefault="unqualified">
3) <xsd:element name="AZSafeData" type="AZSafeType"/>
4) <xsd:complexType name="AZSafeType">
5) <xsd:sequence>
6) <xsd:element name="incident" type="AZSafeIncident" minOccurs="1" maxOccurs="unbounded"/>
7) </xsd:sequence>
8) <xsd:attribute name="TransactionType" use="required">
9) <xsd:simpleType>
10)   <xsd:restriction base="xsd:string">
11)     <xsd:enumeration value="Add"/>
12)     <xsd:enumeration value="Delete"/>
13)     <xsd:enumeration value="ReplaceAll"/>
14)   </xsd:restriction>
15) </xsd:simpleType>
16) </xsd:attribute>
17) <xsd:attribute name="SubmissionOption" use="required">
18) <xsd:simpleType>
19)   <xsd:restriction base="xsd:string">
20)     <xsd:enumeration value="MANDWSID"/>
21)     <xsd:enumeration value="MANDWUQID"/>
22)     <xsd:enumeration value="ALLWSID"/>
23)     <xsd:enumeration value="ALLWUQID"/>
24)   </xsd:restriction>
25) </xsd:simpleType>
26) </xsd:attribute>
27) <xsd:attribute name="date" type="xsd:dateTime" use="required"/>
28) <xsd:attribute name="ownerCTDS" type="CTDSType" use="required"/>
29) <xsd:attribute name="schoolCTDS" type="CTDSType" use="required"/>
30) <xsd:attribute name="FiscalYear" type="FYType" use="required"/>
31) </xsd:complexType>
32) <xsd:complexType name="AZSafeIncident">
33) <xsd:sequence>
34) <xsd:element name="incdate" type="xsd:date" minOccurs="0"/>
```

```

35) <xsd:element name="inctime" type="xsd:time" minOccurs="0"/>
36) <xsd:element name="refdate" type="xsd:date" minOccurs="0"/>
37) <xsd:element name="reffname" type="xsd:string" minOccurs="0"/>
38) <xsd:element name="reflname" type="xsd:string" minOccurs="0"/>
39) <xsd:element name="timedescription" minOccurs="0">
40) <xsd:simpleType>
41) <xsd:restriction base="xsd:unsignedInt">
42) <xsd:enumeration value="2"/>
43) <xsd:enumeration value="3"/>
44) <xsd:enumeration value="4"/>
45) <xsd:enumeration value="9"/>
46) <xsd:enumeration value="10"/>
47) <xsd:enumeration value="11"/>
48) <xsd:enumeration value="12"/>
49) <xsd:enumeration value="13"/>
50) <xsd:enumeration value="14"/>
51) <xsd:enumeration value="15"/>
52) </xsd:restriction>
53) </xsd:simpleType>
54) </xsd:element>
55) <xsd:element name="timedescription_Other" type="xsd:string" minOccurs="0"/>
56) <xsd:element name="location" minOccurs="0">
57) <xsd:simpleType>
58) <xsd:restriction base="xsd:unsignedInt">
59) <xsd:enumeration value="1"/>
60) <xsd:enumeration value="2"/>
61) <xsd:enumeration value="3"/>
62) <xsd:enumeration value="4"/>
63) <xsd:enumeration value="5"/>
64) <xsd:enumeration value="6"/>
65) <xsd:enumeration value="7"/>
66) <xsd:enumeration value="8"/>
67) <xsd:enumeration value="9"/>
68) <xsd:enumeration value="10"/>
69) <xsd:enumeration value="11"/>
70) <xsd:enumeration value="12"/>
71) <xsd:enumeration value="13"/>
72) <xsd:enumeration value="14"/>
73) <xsd:enumeration value="15"/>

```

```

74)      <xsd:enumeration value="16"/>
75)      <xsd:enumeration value="17"/>
76)      <xsd:enumeration value="18"/>
77)      <xsd:enumeration value="19"/>
78)      <xsd:enumeration value="20"/>
79)      <xsd:enumeration value="21"/>
80)      <xsd:enumeration value="22"/>
81)      </xsd:restriction>
82)      </xsd:simpleType>
83)      </xsd:element>
84)      <xsd:element name="location_other" type="xsd:string" minOccurs="0"/>
85)      <xsd:element name="gangrelated" type="xsd:boolean" minOccurs="0"/>
86)      <xsd:element name="haterrelated" type="xsd:boolean" minOccurs="0"/>
87)      <xsd:element name="cost" type="xsd:decimal" minOccurs="0"/>
88)      <xsd:element name="comment" type="xsd:string" minOccurs="0"/>
89)      <xsd:element name="person" type="IncidentPerson" minOccurs="0" maxOccurs="unbounded"/>
90)      </xsd:sequence>
91)      <xsd:attribute name="SMSIncidentID" type="xsd:positiveInteger"/>
92)      </xsd:complexType>
93)      <xsd:complexType name="IncidentPerson">
94)      <xsd:sequence>
95)      <xsd:element name="persontype" minOccurs="0">
96)      <xsd:simpleType>
97)      <xsd:restriction base="xsd:unsignedInt">
98)      <xsd:enumeration value="1"/>
99)      <xsd:enumeration value="2"/>
100)     </xsd:restriction>
101)     </xsd:simpleType>
102)     </xsd:element>
103)     <xsd:element name="role" minOccurs="0">
104)     <xsd:simpleType>
105)     <xsd:restriction base="xsd:unsignedInt">
106)     <xsd:enumeration value="1"/>
107)     <xsd:enumeration value="2"/>
108)     <xsd:enumeration value="3"/>
109)     </xsd:restriction>
110)     </xsd:simpleType>
111)     </xsd:element>
112)     <xsd:element name="SaisID" type="xsd:unsignedInt" minOccurs="0"/>

```

```

113) <xsd:element name="grade" minOccurs="0">
114) <xsd:simpleType>
115) <xsd:restriction base="xsd:string">
116) <xsd:enumeration value="PS"/>
117) <xsd:enumeration value="KG"/>
118) <xsd:enumeration value="1"/>
119) <xsd:enumeration value="2"/>
120) <xsd:enumeration value="3"/>
121) <xsd:enumeration value="4"/>
122) <xsd:enumeration value="5"/>
123) <xsd:enumeration value="6"/>
124) <xsd:enumeration value="7"/>
125) <xsd:enumeration value="8"/>
126) <xsd:enumeration value="9"/>
127) <xsd:enumeration value="10"/>
128) <xsd:enumeration value="11"/>
129) <xsd:enumeration value="12"/>
130) <xsd:enumeration value="US"/>
131) <xsd:enumeration value="UE"/>
132) </xsd:restriction>
133) </xsd:simpleType>
134) </xsd:element>
135) <xsd:element name="ethnicity" minOccurs="0">
136) <xsd:simpleType>
137) <xsd:restriction base="xsd:string">
138) <xsd:enumeration value="A"/>
139) <xsd:enumeration value="B"/>
140) <xsd:enumeration value="H"/>
141) <xsd:enumeration value="I"/>
142) <xsd:enumeration value="W"/>
143) </xsd:restriction>
144) </xsd:simpleType>
145) </xsd:element>
146) <xsd:element name="SPEDCode" minOccurs="0">
147) <xsd:simpleType>
148) <xsd:restriction base="xsd:string">
149) <xsd:enumeration value=""/>
150) <xsd:enumeration value="A"/>
151) <xsd:enumeration value="ED"/>

```

```

152) <xsd:enumeration value="EDP"/>
153) <xsd:enumeration value="HI"/>
154) <xsd:enumeration value="MD"/>
155) <xsd:enumeration value="MDSSI"/>
156) <xsd:enumeration value="MIMR"/>
157) <xsd:enumeration value="MOMR"/>
158) <xsd:enumeration value="OHI"/>
159) <xsd:enumeration value="OI"/>
160) <xsd:enumeration value="PSL"/>
161) <xsd:enumeration value="PMD"/>
162) <xsd:enumeration value="PSD"/>
163) <xsd:enumeration value="SLD"/>
164) <xsd:enumeration value="SLI"/>
165) <xsd:enumeration value="SMR"/>
166) <xsd:enumeration value="TBI"/>
167) <xsd:enumeration value="VI"/>
168) </xsd:restriction>
169) </xsd:simpleType>
170) </xsd:element>
171) <xsd:element name="ELL" type="xsd:boolean" minOccurs="0"/>
172) <xsd:element name="disabled504" type="xsd:boolean" minOccurs="0"/>
173) <xsd:element name="enrolldate" type="xsd:date" minOccurs="0"/>
174) <xsd:element name="gender" minOccurs="0">
175) <xsd:simpleType>
176) <xsd:restriction base="xsd:string">
177) <xsd:enumeration value="M"/>
178) <xsd:enumeration value="F"/>
179) </xsd:restriction>
180) </xsd:simpleType>
181) </xsd:element>
182) <xsd:element name="dob" type="xsd:date" minOccurs="0"/>
183) <xsd:element name="fname" type="xsd:string" minOccurs="0"/>
184) <xsd:element name="lname" type="xsd:string" minOccurs="0"/>
185) <xsd:element name="mname" type="xsd:string" minOccurs="0"/>
186) <xsd:element name="suffix" type="xsd:string" minOccurs="0"/>
187) <xsd:element name="reltoschool" minOccurs="0">
188) <xsd:simpleType>
189) <xsd:restriction base="xsd:unsignedInt">
190) <xsd:enumeration value="3"/>

```

```

191)    <xsd:enumeration value="15"/>
192)    <xsd:enumeration value="16"/>
193)    <xsd:enumeration value="17"/>
194)    <xsd:enumeration value="18"/>
195)    <xsd:enumeration value="19"/>
196)    <xsd:enumeration value="20"/>
197)    <xsd:enumeration value="21"/>
198)    <xsd:enumeration value="22"/>
199)    <xsd:enumeration value="23"/>
200)    <xsd:enumeration value="24"/>
201)    <xsd:enumeration value="25"/>
202)    <xsd:enumeration value="26"/>
203)    <xsd:enumeration value="27"/>
204)    <xsd:enumeration value="28"/>
205)    <xsd:enumeration value="29"/>
206)    <xsd:enumeration value="30"/>
207)    <xsd:enumeration value="31"/>
208)    <xsd:enumeration value="32"/>
209)    <xsd:enumeration value="33"/>
210)    <xsd:enumeration value="34"/>
211)    <xsd:enumeration value="35"/>
212)  </xsd:restriction>
213) </xsd:simpleType>
214) </xsd:element>
215) <xsd:element name="reertoschoolother" type="xsd:string" minOccurs="0"/>
216) <xsd:element name="employmenttype" minOccurs="0">
217)   <xsd:simpleType>
218)     <xsd:restriction base="xsd:string">
219)       <xsd:enumeration value="C"/>
220)       <xsd:enumeration value="R"/>
221)     </xsd:restriction>
222)   </xsd:simpleType>
223) </xsd:element>
224) <xsd:element name="injurysustained" type="xsd:boolean" minOccurs="0"/>
225) <xsd:element name="injuryserious" type="xsd:boolean" minOccurs="0"/>
226) <xsd:element name="injurydescription" type="xsd:string" minOccurs="0"/>
227) <xsd:element name="violation" type="IncidentViolation" minOccurs="0" maxOccurs="unbounded"/>
228) </xsd:sequence>
229) <xsd:attribute name="SMSPersonID" type="xsd:positiveInteger"/>

```

```

230)      </xsd:complexType>
231)      <xsd:complexType name="IncidentViolation">
232)      <xsd:sequence>
233)      <xsd:element name="violationcode" minOccurs="0">
234)      <xsd:simpleType>
235)      <xsd:restriction base="xsd:unsignedInt">
236)      <xsd:enumeration value="17"/>
237)      <xsd:enumeration value="18"/>
238)      <xsd:enumeration value="19"/>
239)      <xsd:enumeration value="20"/>
240)      <xsd:enumeration value="21"/>
241)      <xsd:enumeration value="22"/>
242)      <xsd:enumeration value="23"/>
243)      <xsd:enumeration value="24"/>
244)      <xsd:enumeration value="25"/>
245)      <xsd:enumeration value="26"/>
246)      <xsd:enumeration value="27"/>
247)      <xsd:enumeration value="28"/>
248)      <xsd:enumeration value="29"/>
249)      <xsd:enumeration value="30"/>
250)      <xsd:enumeration value="31"/>
251)      <xsd:enumeration value="32"/>
252)      <xsd:enumeration value="33"/>
253)      <xsd:enumeration value="34"/>
254)      <xsd:enumeration value="35"/>
255)      <xsd:enumeration value="36"/>
256)      <xsd:enumeration value="37"/>
257)      <xsd:enumeration value="38"/>
258)      <xsd:enumeration value="39"/>
259)      <xsd:enumeration value="40"/>
260)      <xsd:enumeration value="41"/>
261)      <xsd:enumeration value="42"/>
262)      <xsd:enumeration value="43"/>
263)      <xsd:enumeration value="44"/>
264)      <xsd:enumeration value="45"/>
265)      <xsd:enumeration value="46"/>
266)      <xsd:enumeration value="47"/>
267)      <xsd:enumeration value="48"/>
268)      <xsd:enumeration value="49"/>

```

```

269)    <xsd:enumeration value="50"/>
270)    <xsd:enumeration value="52"/>
271)    <xsd:enumeration value="53"/>
272)    <xsd:enumeration value="54"/>
273)    <xsd:enumeration value="55"/>
274)    <xsd:enumeration value="56"/>
275)    <xsd:enumeration value="57"/>
276)    <xsd:enumeration value="64"/>
277)    <xsd:enumeration value="65"/>
278)    <xsd:enumeration value="66"/>
279)    <xsd:enumeration value="67"/>
280)    <xsd:enumeration value="68"/>
281)    <xsd:enumeration value="69"/>
282)    <xsd:enumeration value="70"/>
283)    <xsd:enumeration value="71"/>
284)    <xsd:enumeration value="72"/>
285)    <xsd:enumeration value="73"/>
286)    <xsd:enumeration value="74"/>
287)    <xsd:enumeration value="75"/>
288)    <xsd:enumeration value="76"/>
289)    <xsd:enumeration value="77"/>
290)    <xsd:enumeration value="78"/>
291)    <xsd:enumeration value="79"/>
292)    <xsd:enumeration value="80"/>
293)    <xsd:enumeration value="82"/>
294)    <xsd:enumeration value="83"/>
295)    <xsd:enumeration value="84"/>
296)    <xsd:enumeration value="85"/>
297)    <xsd:enumeration value="86"/>
298)    <xsd:enumeration value="87"/>
299)    <xsd:enumeration value="88"/>
300)    <xsd:enumeration value="89"/>
301)    <xsd:enumeration value="90"/>
302)    <xsd:enumeration value="92"/>
303)    <xsd:enumeration value="93"/>
304)    <xsd:enumeration value="94"/>
305)    </xsd:restriction>
306)    </xsd:simpleType>
307)    </xsd:element>

```

```

308) <xsd:element name="primaryviolationind" type="xsd:boolean" minOccurs="0"/>
309) <xsd:element name="violationdetail1" minOccurs="0">
310) <xsd:simpleType>
311) <xsd:restriction base="xsd:unsignedInt">
312) <xsd:enumeration value="1"/>
313) <xsd:enumeration value="2"/>
314) <xsd:enumeration value="11"/>
315) <xsd:enumeration value="12"/>
316) <xsd:enumeration value="15"/>
317) <xsd:enumeration value="16"/>
318) <xsd:enumeration value="17"/>
319) <xsd:enumeration value="18"/>
320) <xsd:enumeration value="19"/>
321) <xsd:enumeration value="21"/>
322) <xsd:enumeration value="22"/>
323) <xsd:enumeration value="23"/>
324) <xsd:enumeration value="24"/>
325) <xsd:enumeration value="25"/>
326) <xsd:enumeration value="26"/>
327) <xsd:enumeration value="27"/>
328) <xsd:enumeration value="28"/>
329) <xsd:enumeration value="29"/>
330) <xsd:enumeration value="30"/>
331) <xsd:enumeration value="31"/>
332) <xsd:enumeration value="32"/>
333) <xsd:enumeration value="33"/>
334) <xsd:enumeration value="34"/>
335) <xsd:enumeration value="37"/>
336) <xsd:enumeration value="38"/>
337) <xsd:enumeration value="40"/>
338) <xsd:enumeration value="41"/>
339) <xsd:enumeration value="42"/>
340) <xsd:enumeration value="43"/>
341) <xsd:enumeration value="44"/>
342) <xsd:enumeration value="45"/>
343) </xsd:restriction>
344) </xsd:simpleType>
345) </xsd:element>
346) <xsd:element name="violationdetail2" minOccurs="0" maxOccurs="4">

```

```

347) <xsd:simpleType>
348) <xsd:restriction base="xsd:unsignedInt">
349) <xsd:enumeration value="1"/>
350) <xsd:enumeration value="2"/>
351) <xsd:enumeration value="3"/>
352) <xsd:enumeration value="4"/>
353) </xsd:restriction>
354) </xsd:simpleType>
355) </xsd:element>
356) <xsd:element name="violationdetail3" minOccurs="0" maxOccurs="7">
357) <xsd:simpleType>
358) <xsd:restriction base="xsd:unsignedInt">
359) <xsd:enumeration value="1"/>
360) <xsd:enumeration value="2"/>
361) <xsd:enumeration value="6"/>
362) <xsd:enumeration value="7"/>
363) <xsd:enumeration value="8"/>
364) <xsd:enumeration value="9"/>
365) <xsd:enumeration value="13"/>
366) </xsd:restriction>
367) </xsd:simpleType>
368) </xsd:element>
369) <xsd:element name="freeformtext" type="xsd:string" minOccurs="0"/>
370) <xsd:element name="action" type="IncidentAction" minOccurs="0" maxOccurs="unbounded"/>
371) </xsd:sequence>
372) <xsd:attribute name="SMSViolationID" type="xsd:nonNegativeInteger"/>
373) </xsd:complexType>
374) <xsd:complexType name="IncidentAction">
375) <xsd:sequence>
376) <xsd:element name="actioncode" minOccurs="0">
377) <xsd:simpleType>
378) <xsd:restriction base="xsd:unsignedInt">
379) <xsd:enumeration value="51"/>
380) <xsd:enumeration value="52"/>
381) <xsd:enumeration value="53"/>
382) <xsd:enumeration value="54"/>
383) <xsd:enumeration value="55"/>
384) <xsd:enumeration value="56"/>
385) <xsd:enumeration value="57"/>

```

386) <xsd:enumeration value="60"/>
387) <xsd:enumeration value="61"/>
388) <xsd:enumeration value="62"/>
389) <xsd:enumeration value="63"/>
390) <xsd:enumeration value="64"/>
391) <xsd:enumeration value="65"/>
392) <xsd:enumeration value="66"/>
393) <xsd:enumeration value="67"/>
394) <xsd:enumeration value="68"/>
395) <xsd:enumeration value="69"/>
396) <xsd:enumeration value="70"/>
397) <xsd:enumeration value="71"/>
398) <xsd:enumeration value="72"/>
399) <xsd:enumeration value="74"/>
400) <xsd:enumeration value="75"/>
401) <xsd:enumeration value="76"/>
402) <xsd:enumeration value="78"/>
403) <xsd:enumeration value="79"/>
404) <xsd:enumeration value="80"/>
405) <xsd:enumeration value="81"/>
406) <xsd:enumeration value="82"/>
407) <xsd:enumeration value="83"/>
408) <xsd:enumeration value="84"/>
409) <xsd:enumeration value="86"/>
410) <xsd:enumeration value="89"/>
411) <xsd:enumeration value="91"/>
412) <xsd:enumeration value="92"/>
413) <xsd:enumeration value="94"/>
414) <xsd:enumeration value="95"/>
415) <xsd:enumeration value="96"/>
416) <xsd:enumeration value="97"/>
417) <xsd:enumeration value="100"/>
418) <xsd:enumeration value="101"/>
419) <xsd:enumeration value="102"/>
420) <xsd:enumeration value="103"/>
421) <xsd:enumeration value="104"/>
422) <xsd:enumeration value="105"/>
423) <xsd:enumeration value="106"/>
424) <xsd:enumeration value="116"/>

```

425) <xsd:enumeration value="121"/>
426) <xsd:enumeration value="122"/>
427) <xsd:enumeration value="123"/>
428) <xsd:enumeration value="85"/>
429) <xsd:enumeration value="117"/>
430) <xsd:enumeration value="118"/>
431) <xsd:enumeration value="120"/>
432) </xsd:restriction>
433) </xsd:simpleType>
434) </xsd:element>
435) <xsd:element name="removaldays" type="xsd:decimal" minOccurs="0"/>
436) <xsd:element name="startdate" type="xsd:date" minOccurs="0"/>
437) <xsd:element name="enddate" type="xsd:date" minOccurs="0"/>
438) <xsd:element name="reviewdate" type="xsd:date" minOccurs="0"/>
439) <xsd:element name="scheduledate" type="xsd:date" minOccurs="0"/>
440) <xsd:element name="actiondecidedate" type="xsd:date" minOccurs="0"/>
441) <xsd:element name="placemovedto" type="xsd:string" minOccurs="0"/>
442) <xsd:element name="iscompleted" type="xsd:boolean" minOccurs="0"/>
443) <xsd:element name="actioncomments" type="xsd:string" minOccurs="0"/>
444) <xsd:element name="otheractiondescription" type="xsd:string" minOccurs="0"/>
445) <xsd:element name="policereportnumber" type="xsd:string" minOccurs="0"/>
446) <xsd:element name="lawenforcementresult" minOccurs="0">
447) <xsd:simpleType>
448) <xsd:restriction base="xsd:unsignedInt">
449) <xsd:enumeration value="1"/>
450) <xsd:enumeration value="2"/>
451) <xsd:enumeration value="3"/>
452) <xsd:enumeration value="4"/>
453) <xsd:enumeration value="5"/>
454) </xsd:restriction>
455) </xsd:simpleType>
456) </xsd:element>
457) </xsd:sequence>
458) <xsd:attribute name="SMSActionID" type="xsd:positiveInteger"/>
459) </xsd:complexType>
460) <xsd:simpleType name="CTDSType">
461) <xsd:restriction base="xsd:positiveInteger">
462) <xsd:pattern value="[0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]"/>
463) </xsd:restriction>

```

```
464) </xsd:simpleType>
465) <xsd:simpleType name="FYType">
466) <xsd:restriction base="xsd:positiveInteger">
467) <xsd:pattern value="[0-9][0-9][0-9][0-9]" />
468) </xsd:restriction>
469) </xsd:simpleType>
470) </xsd:schema>
```

3.5 Submission Option Codes

There are four options for data submission. The option chosen by a LEA has to be specified in the header portion of the XML file under <Submission Option>. The options are:

- 1) Mandatory Data with SAIS ID – XML enumeration value = MANDWSID.
- 2) Mandatory Data with Unique SMS Person ID - XML enumeration value = MANDWUQID.
- 3) All Data with SAIS ID - XML enumeration value = ALLWSID.
- 4) All Data with Unique SMS Person ID - XML enumeration value = ALLWUQID.

The frequency of submission is to be determined by the LEA with minimal submission of once a year at the end of the fiscal year, June 30th.

3.6 Submission Transaction Type

A submission file should include incidents of a single school and single transaction type – Add and Delete (for deletion of previously submitted incidents). A “Replace All” submission file can also be submitted which will delete all existing incidents of the fiscal year and will save submitted incidents as new incidents, in the system.

The SMS generated XML file should indicate in the file header the CTDS of the reporting school, the CTDS of the owning district and the type of transaction. The file should also contain fiscal year, file creation Date and Time.

Transaction Types:

- 1) Add: To add new incidents of a single school in ADE system.
- 2) Delete: To delete existing incidents of a single school in ADE system.
- 3) Replace All: To delete all existing incidents of the fiscal year and add new incidents of a single school in ADE system.

Note: “Edit” transaction type has been removed after reviewing the process with district users and third party vendors.

3.6.1 New Incident Transaction Submission

A new incident transaction should be submitted only after all 4 components have been captured with at least one offender, one violation and one action.

If all 4 components of an incident transaction are not included in a submission file, the ADE system will reject that file.

3.6.2 Additions and Changes to Previously Submitted Incidents

This transaction type has been removed after reviewing the process with district users and third party vendors.

3.6.3 Deletions of Previously Submitted Incidents or INCIDENT COMPONENT(s)

A delete transaction type shall have the Incident Id(s) of the incident(s) that needs to be deleted. A delete incident transaction will delete all 4 components of the incident.

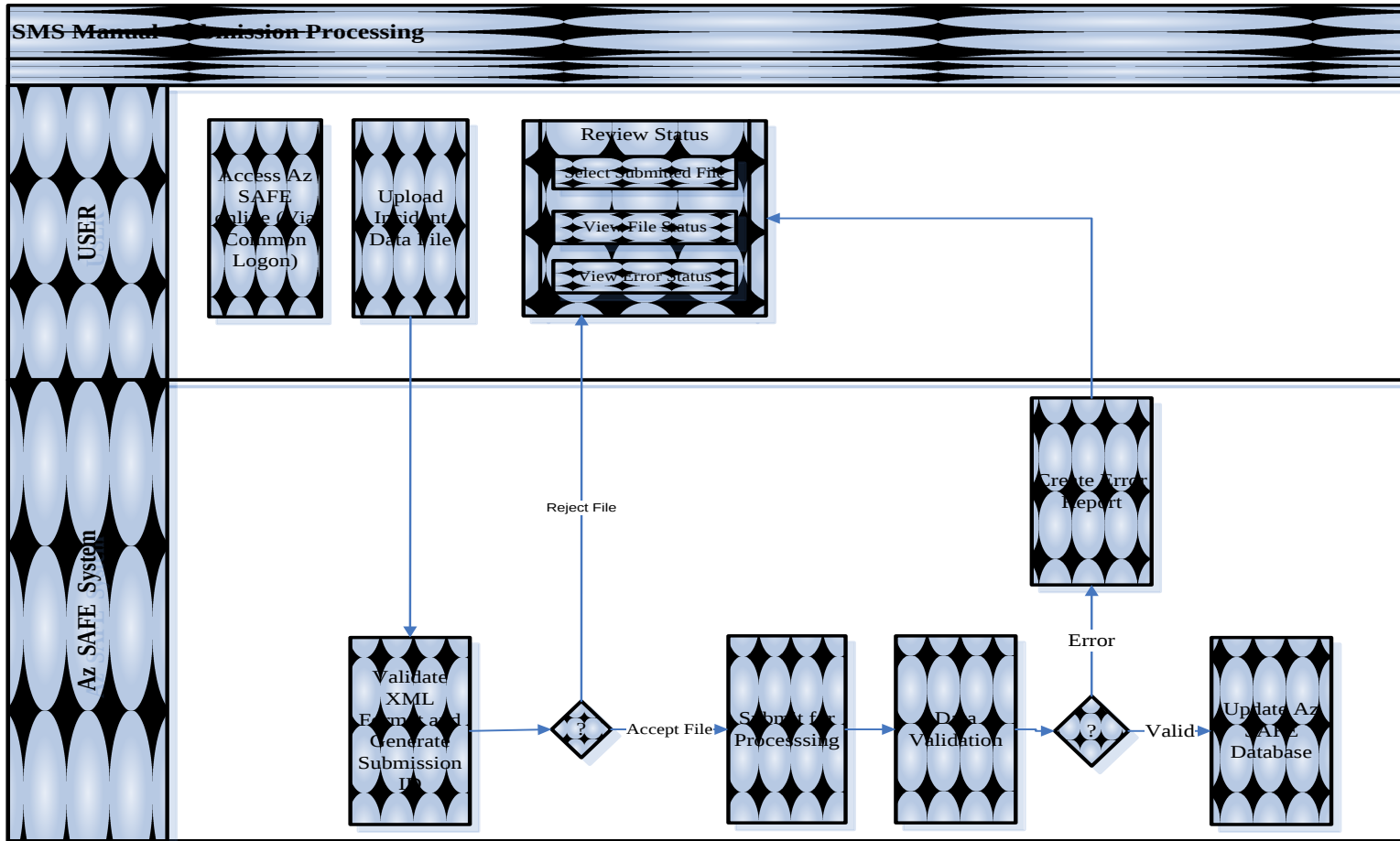
Note: Part (or a component) of an incident CANNOT be deleted with delete transaction.

3.6.4 “Replace All” Submission File

“Replace All” submission file will delete all existing incidents of the fiscal year and will save all submitted incidents as new incidents. Incidents that need to be saved shall have all 4 incident components.

3.7 Processing Submitted Files

The diagram below describes the conceptual processing steps of a submitted file at the Az SAFE system. Details of error reports will be distributed shortly.



4 MANDATORY DATA ELEMENTS FOR SUBMISSION

4.1 Incident Attributes – Mandatory Data Elements

Field	XML Field	Format	Description
District Identifier	ownerCTDS	Integer, 9	This will be the CTDS as assigned by ADE Enterprise system.
School Identifier	schoolCTDS	Integer, 9	This will be the CTDS as assigned by ADE Enterprise system.
Incident ID	SMSIncidentID	Integer, 10	Unique value automatically generated and assigned to the incident by SMS Incident Module.
Fiscal Year	FiscalYear	FYType	Year the incidents are reported for. School fiscal year begins on July 1 and ends on June 30. Example: Fiscal Year for incidents occurred from July 1, 2009 to June 30, 2010 would be “2010”.
Incident Date	incdate	yyyy-mm-dd	Date the incident was brought to disciplinary authority’s attention. Expressed as Year/month/day.
Gang Related	gangrelated	true/false	Expressed as a 1/0 value
Hate Related	haterelated	true/false	Expressed as a 1/0 value

4.2 Involved People – Mandatory Data Elements

4.2.1 Students

Field	XML Field	Format	Description
Incident ID	SMSIncidentID	Integer, 10	As generated by the SMS Incident Module.
Person type	persontype	Integer,1	1= Student; 2= All other people involved.
SAIS Student ID	SaisID	Integer, Max. 10, Value Less than <2147483647>	As assigned by SAIS system to a student.
SMS Person ID	SMSPersonId	Integer 18	A unique Person ID generated by the SMS Incident Module for all person types (students and all other people involved).
Grade	grade	As defined in SMS Student Module	Grade as it appears in records on the date of the incident.
Gender	gender	As defined in SMS to reflect male, female	Gender of student.
Ethnicity	ethnicity	As defined in SMS Student Module	Race/Ethnicity as it appears in records on the date of the incident. See Section 4.2.3 Ethnicity Table Below.
ELL	ELL	True/false	True/false selection if student is in ELL program. Expressed as 1/0.
SPED Code	SPEDCode	String	See Section 4.2.6 SPED Table Below.
Disability as defined by Office of Civil Rights 504 (504disabled)	disabled504	True/false	Used to identify student with special accommodations as per the Office of Civil Rights 504. <u>Capture situation as it was on incident date. – Reference.</u>
Role In Incident	role	Integer,2	Student role in the incident - Victim, Bystander/ Witness, Offender. See Section 4.2.4 Role in Incident Table Below.
Physical Injury	injurysustained	True/false	True/false selection if an injury has occurred. Expressed as 1/0.
Serious Bodily(Physical) Injury as defined by U.S.C. 18 Section 1365(3)(h)	injuryserious	True/false	True/false selection if Serious Bodily Injury has occurred. Expressed as 1/0.

4.2.2 All Other People

Field	XML Field	Format	Description
Incident ID	SMSIncidentID	Integer 10	As generated by the SMS Incident Module.
Person type	persontype	Integer,1	1= Student; 2= All other people involved.
SMS Person ID	SMSPersonId	Integer 18	A unique Person ID generated by the SMS Incident Module for all person types (students and all other people involved).
Gender	gender	Text, 1	Gender of individual. M = male; F= female.
Relationship to School	reltoschool	Integer, 2	Used to define what relationship the individual has to the school. See Section 4.2.5 Relationship to School Table Below.
Other Relationship	reltoschoolother	Text , 20	Use with code 35.
Role in Incident	role	Integer, 2	People identified in an incident as Victim, Witness/Bystander, Offender. See Section 4.2.4 Role in Incident Table Below.
Injury	injurysustained	True/false	True/false selection if an injury has occurred. Expressed as 1/0.
Serious Bodily Injury as defined by U.S.C. 18 Section 1365(3)(h)	injuryserious	True/false	True/false selection if Serious Bodily Injury has occurred. Expressed as 1/0.

4.2.3 Race/Ethnicity

Code	Short Description	Description
A	Asian or Pacific Islander	A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent. This area includes for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand and Vietnam. This also includes a person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
B	Black or African American (Not Hispanic)	A person having origins in any of the black racial groups of Africa.

Code	Short Description	Description
H	Hispanic or Latino	A person of Cuban, Mexican, Puerto Rican, South or Central America, or other Spanish culture or origin, regardless of race.
I	American Indian or Alaskan Native	A person having origins in any of the original peoples of North and South America (Including Central America), and who maintains a cultural identification through tribal affiliation or community recognition.
W	White (Not Hispanic)	A person having origins in any of the original peoples of Europe, North Africa, or the Middle East.

4.2.4 Role in Incident

Roles ID	Role	Description
1	Offender	Person who has exhibited behavior determined to violate Federal, State, County, City laws or District, School, or School Board policies.
2	Victim	Person who has suffered from an unlawful act, whether a personal or property crime.
3	Bystander or witness	<u>Bystander</u> : Someone that is present but not taking part in a situation or event, a chance spectator (Paraphrased from Merriam Webster On-Line Dictionary). <u>Witness</u> : Someone who has firsthand knowledge about an incident through their senses (e.g. seeing, hearing, smelling, touching), and can help certify important considerations to the incident or event (Paraphrased from Wikipedia).

4.2.5 Relationship to School

Relation To School-Code	Description	Detail
3	Inactive Student	A student who has previously attended and graduated, dropped out, withdrawn or was expelled from the school where the incident occurred.
15	Other Student	A student not enrolled at the school where the incident occurred (Includes students currently enrolled at a different school).
16	Administrator	Administration Staff (Includes Administrators from the school and district where the incident occurred).
17	Teacher	A teacher employed by the school or district, to provide instruction to students where the incident occurred.
18	Substitute Teacher	A teacher employed by the school or district, to temporarily provide instruction to students where the incident occurred.
19	Teacher's Aide	A school or district employee that assists the instructor in the classroom.
20	Student Teacher	A person that assist with the instruction in a classroom to fulfill their educational requirements (Includes Interns).
21	Nurse or other Health Care Professional	Medical staff (includes RN's, LPN's, CAN's and EMT's).
22	School Counselor	A school or district employee that assists students with academic achievement, personal/social development and career development.
23	Social Worker	A school or district employee that can assist with assessing and diagnosing students for special education programs, individual counseling with students with mental health difficulties and meeting with parents, teachers and school support staff.
24	Office Staff	School or district staff that provides clerical or office support.
25	Cafeteria Staff	School or district staff working in the preparation and serving of meals at the school.
26	Maintenance Staff	School or district staff responsible for the upkeep and repair of school/district property and grounds.
27	Transportation Staff	School or district staff responsible for the delivery of students to and from school and school related events.

Relation To School-Code	Description	Detail
28	SRO	A law enforcement officer with sworn authority to maintain public order and make arrests with an assignment to a school or schools within that district.
29	JPO	A court appointed juvenile probation officer assigned to the school or schools within that district
30	Security Staff	People who protect the safety and security of the school and district buildings and occupants.
31	Parent/Guardian	Parent or guardian of a student enrolled in the school where the incident occurred.
32	Vendor	A business representative conducting business on the school site where the incident occurred.
33	Visitor	A person authorized to be present on school grounds, for legitimate purposed, where the incident occurred.
34	Trespasser	Any person present on school property where the incident occurred during business or non-business school hours without permission from the school administration or district.
35	Other	Free form text to describe the person's relationship to the school.

4.2.6 Special Education Codes

SPED Need Code	SPED Need Description
A	Autism
ED	Emotional Disability
EDP	Emotional Disability (separate facility, private school)
HI	Hearing Impairment
MD	Multiple Disabilities
MDSSI	Multiple Disabilities - Severe Sensory Impairment
MIMR	Mild Mental Retardation
MOMR	Moderate Mental Retardation
OHI	Other Health Impairment
OI	Orthopedic Impairment
PSL	Preschool - Speech/Language Delay
PMD	Preschool - Moderate Delay
PSD	Preschool - Severe Delay
SLD	Specific Learning Disability
SLI	Speech/Language Impairment
SMR	Severe Mental Retardation
TBI	Traumatic Brain Injury
VI	Visual Impairment

4.3 Violations - Mandatory Data Elements

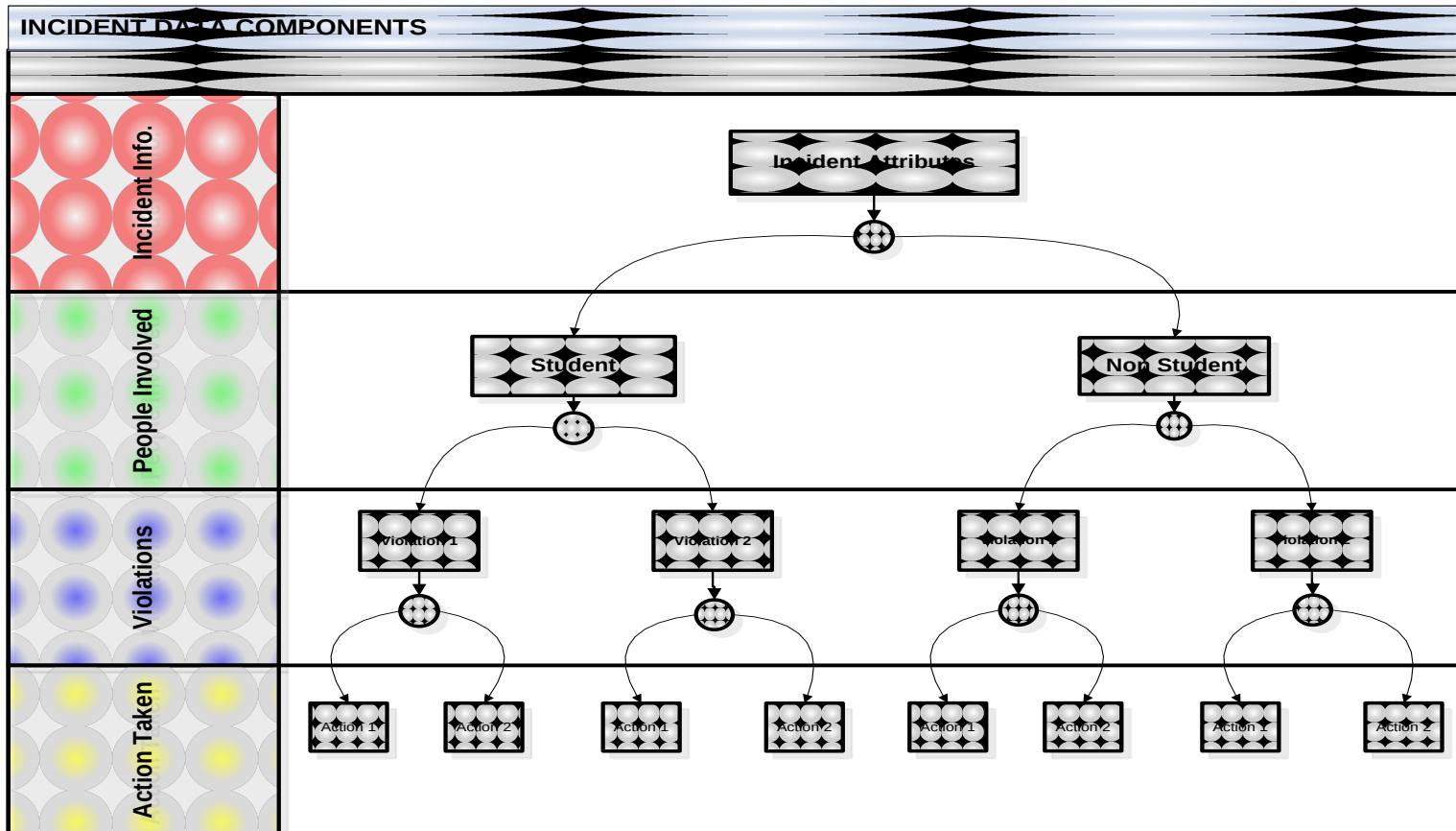
Field	XML Field	Format	Submission	Description
Incident ID	SMSIncidentID	Integer, 10	Mandatory	As generated by the SMS Incident Module.
SMS Person ID	SMSPersonId	Integer 18	Mandatory	A unique Person ID generated by the SMS Incident Module for all person types (students and all other people involved).
Violation ID	SMSViolationid	Integer, 10	Mandatory	As generated by the SMS Incident Module.
Violation Code	violationcode	Integer 3	Mandatory	See SMS Data Capture Requirements.
Primary Violation Indicator	primaryviolationind	Integer 1	Mandatory	1= Primary; 0= Secondary. In one incident there should be only one primary violation and rest should be secondary. See Appendix 6 Primary Violation Ranking for instructions.
Violation Detail Level 1	violationdetail1	Integer 3	Mandatory as the violation entails.	See SMS Data Capture Requirements.
Violation Detail Level 2	violationdetail2	Integer 3	Mandatory as the violation entails.	See SMS Data Capture Requirements.
Violation Detail Level 3	violationdetail3	Integer 3	Mandatory as the violation entails.	See SMS Data Capture Requirements.

4.4 Actions - Mandatory Data Elements

Field	XML Field	Format	Submission	Description
Incident ID	SMSIncidentID	Integer, 10	Mandatory	As generated by the SMS Incident Module.
SMS Person ID	SMSPersonId	Integer 18	Mandatory	A unique Person ID generated by the SMS Incident Module for all person types (students and all other people involved).
Violation ID	SMSViolationId	Integer, 10	Mandatory	As generated by the SMS Incident Module.
Action ID	SMSActionid	Integer, 10	Mandatory	SMS Generated Action ID, for the action taken in response to the violation (Note: There can be multiple actions in response to a violation). See Appendix B for action descriptions.
Action Code	actioncode	Integer 3	Mandatory	Code of action taken. See SMS Data Capture Requirements.
Number of Removal/ Reassignment Days	removaldays	Decimal(4,2)	Mandatory where required	Number of days the student is suspended/ removed/ reassigned on actions under Due Process. Expressed by ##. ##
Assigned Action Start Date	startdate	yyyy/mm/dd	Mandatory where required	Date the action is to begin.
Assigned Action End Date	enddate	yyyy/mm/dd	Mandatory where required	Date that action is to end.

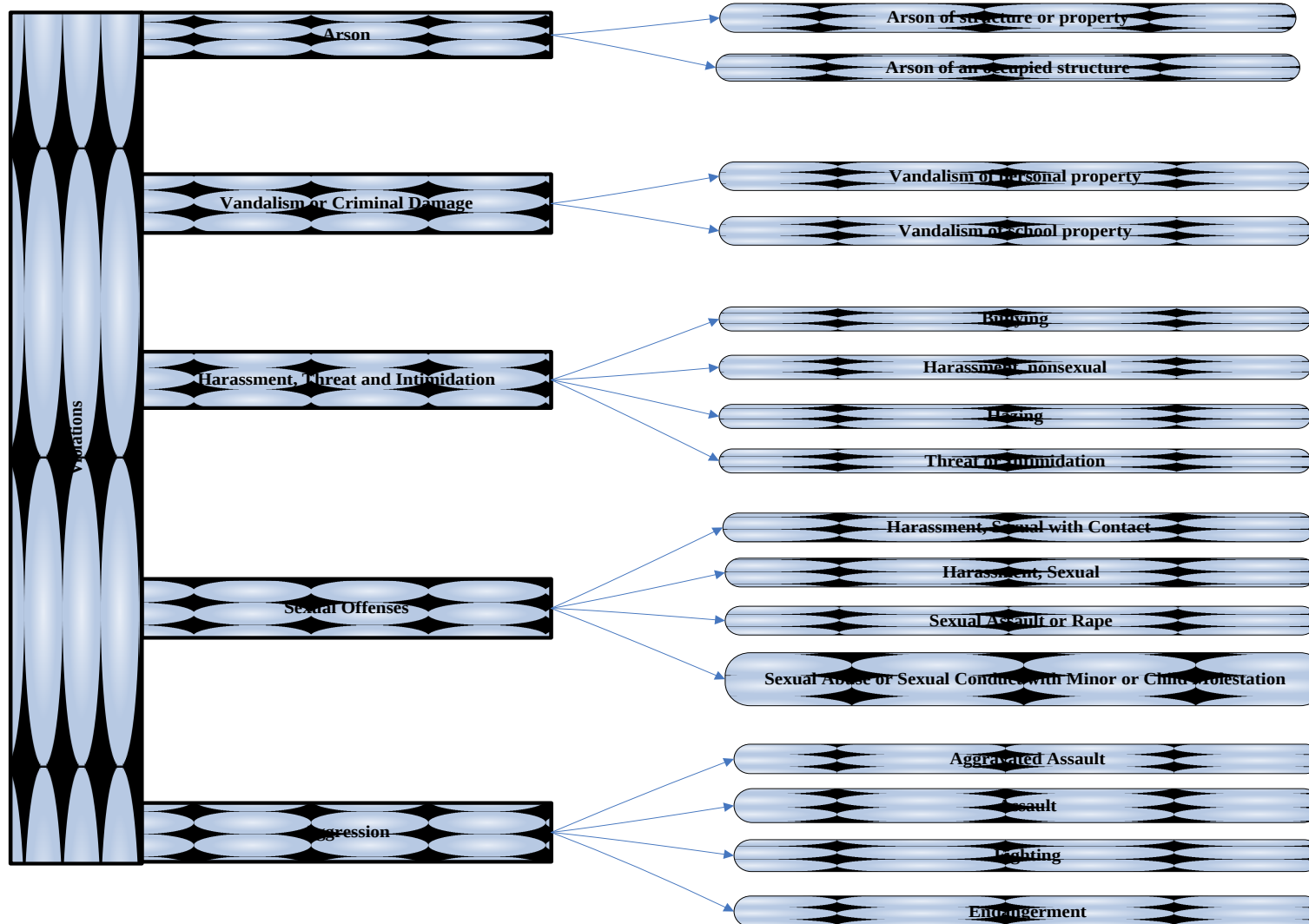
5 PROCESS FLOW

5.1 Incident Data Components

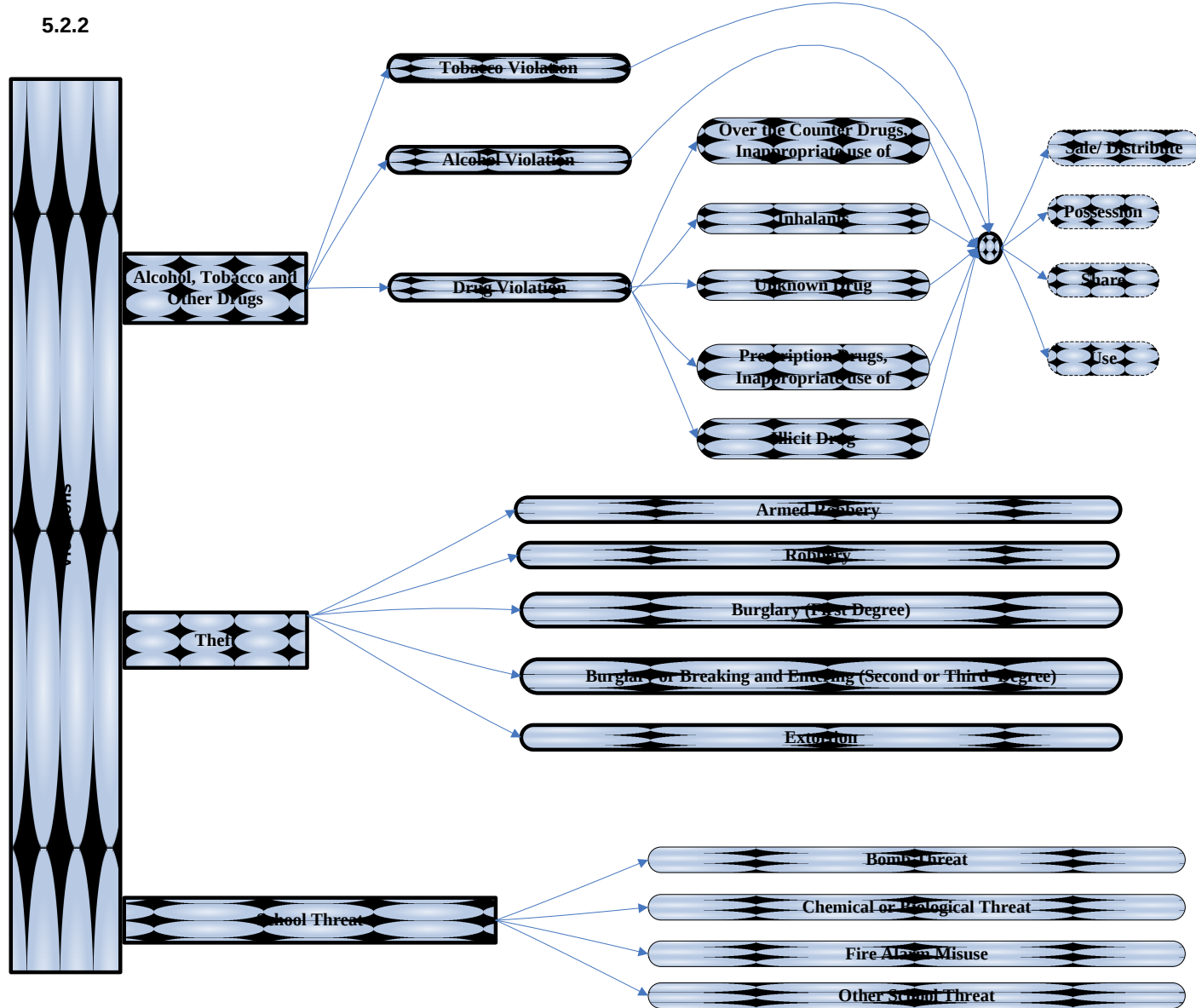


5.2 Mandatory Violations

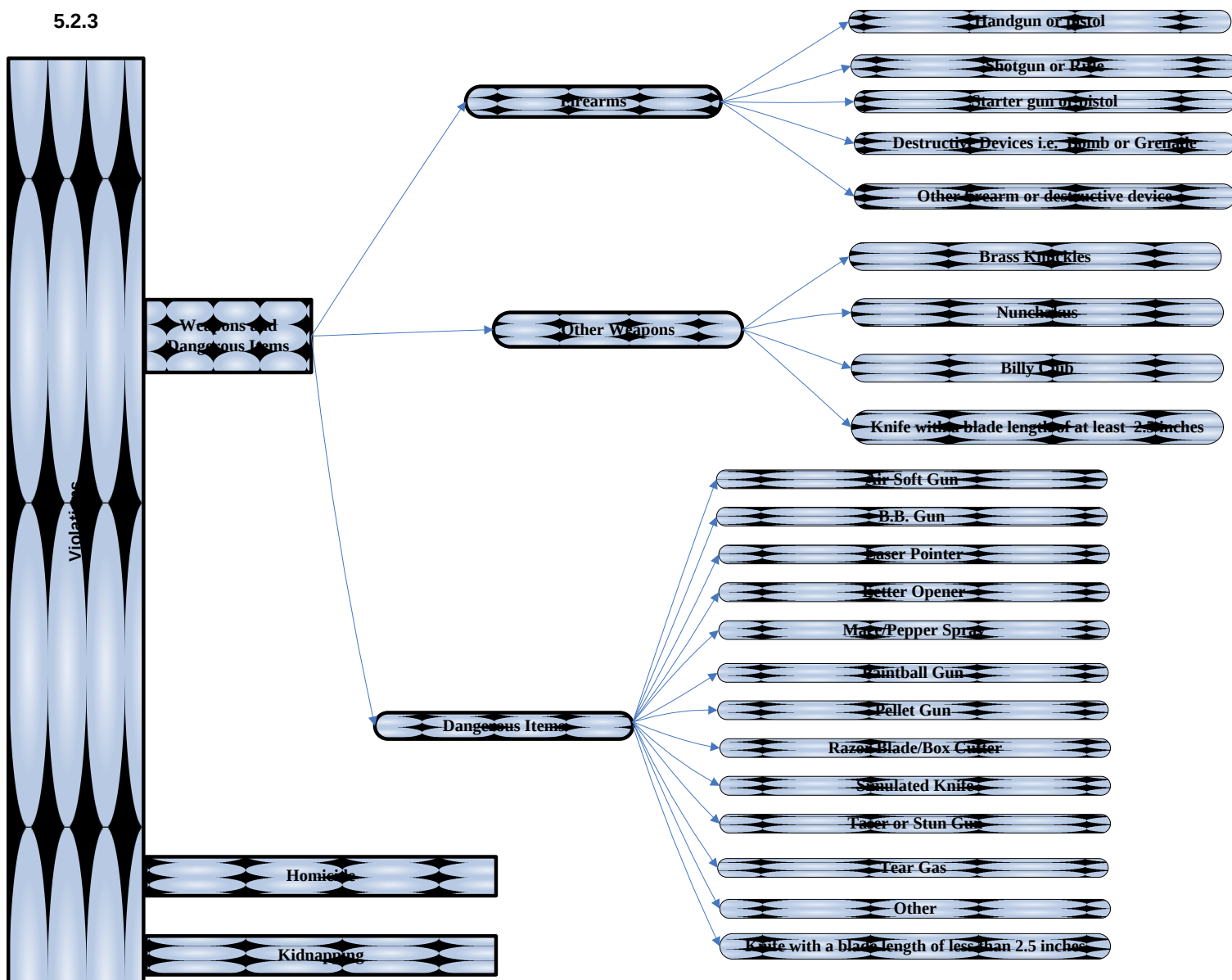
5.2.1



5.2.2

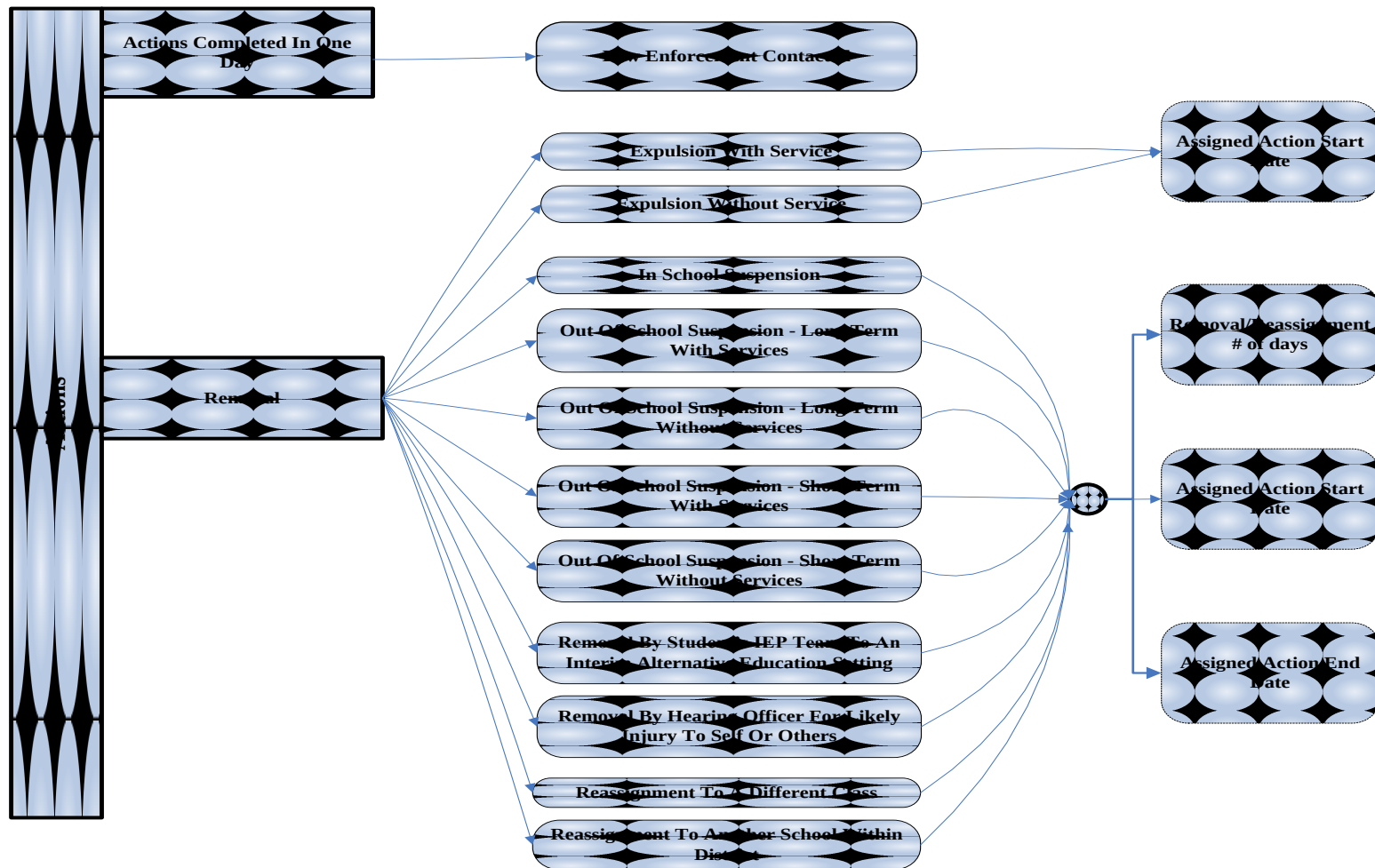


5.2.3



5.3 Mandatory Actions

5.3.1



6 DATA SUBMISSION REFERENCES

6.1 Az SAFE Collection of Student Level Data

The following information supports ADE collection of safety and discipline related student level data through Az SAFE (on-line and local SMS).

Requirement to Collect Safety and Discipline Information

The ADE is required by federal statute (IDEA, NCLB Title IV and NCLB Gun-Free Schools Act) to collect data relative to safety and discipline incidents and corresponding student descriptive information, including SPED disability, ELL status, race/ethnicity, gender and grade level.

[P.L. 108-446 Sections 618 \(a\)\(1\)\(A\)\(v\), 618\(a\)\(1\)\(D\), 618\(a\)\(1\)\(E\), 618\(a\)\(3\), and 618\(d\)\(1\)\(C\) and 34 CFR Parts 300.640, 300.641\(b\) through \(d\), 300.644, 300.645](#)
[PL 107-110 Section 4112 \(c\) \(3\) and PL 107-110 Section 4141\(d\) and \(e\)](#)

Student Level Data

Federal statute does not specify that ADE collect this information at the student level, but the complexity of the reporting requirements dictate that student level data with a unique identifier be utilized for accurate aggregation of data.

U.S. Department of Education, Office of Special Education, *Table 5 – Report of Children with Disabilities Subject to Disciplinary Removal*.

U.S. Department of Education, Office of Safe and Drug-Free Schools, *The Uniform Data Set – A Guide to Measures for the Uniform Management Information and Reporting System (UMIRS)*, September, 2007.

Safety and discipline incident records are education records. FERPA allows the ADE to collect this data in order to audit, evaluate, or enforce educational programs.

34 CFR Parts 99.3, 99.31 and 99.35

The federal government funded the ADE to develop a web based system for the collection of safety and disciplinary incident data at the student level.

Grants to States to Improve Management of Drug and Violence Prevention Programs, CFDA # 84.184R

Transfer of Records

FERPA allows schools to transfer disciplinary records to the new school when a student transfers. The ADE is required to have “a procedure in place to facilitate the transfer of disciplinary records, with respect to a suspension or expulsion, by local education agencies to any private or public elementary school or secondary school for any student who is enrolled or seeks, intends, or is instructed to enroll, on a full-or part-time basis, in the school.” Arizona does not currently have a procedure, but implementation of Az SAFE with SAIS ID numbers would allow for this.

[34 CFR Part 99.31](#)

[PL 107-110 Section 4155](#)

6.2 ADE Obligation to Privacy and Identity Security - 2008 SENATE BILL 1218

Be it enacted by the Legislature of the State of Arizona:

Section 1. Title 15, chapter 9, article 8, Arizona Revised Statutes, is amended by adding Section 15-1043.01, to read:

15-1043.01. Education database; pupil privacy

A. Any collection, maintenance or disclosure of pupil educational records compiled by the department of education in an education database of pupil records shall comply with the family educational and privacy rights act (20 United States Code Section 1232g).

B. The department of education shall maintain the database in the following manner:

1. The use of the information is limited to comply with statutory obligations.
2. Personally identifiable information is confidential and is not public record.
3. Proper security measures are employed to ensure the confidentiality and integrity of the education database.
4. Data is secured from breaches and identity theft through implementation of protections and standards.

C. The pupil identifier in the education database is unique, not identifiable by anyone other than officials maintaining the education database and shall not be the pupil's social security number or any variation of the pupil's social security number.

APPENDIX 1 – PRIMARY VIOLATION RANKING

The following ranking of violations will be used for federal reporting in case “Primary Violation Indicator” (See 3.3.1 Violation Data Capture - Primary Violation Indicator) is not provided by LEA.

e.g.-

If an incident had Robbery and Aggravated Assault; and Primary Violation Indicator was not provided, the system will define “Robbery” as Primary Violation due to its higher rank.

ViolationID	Violation	Rank
83	Homicide	1
45	Sexual Assault or Rape	2
27	Armed Robbery	3
26	Robbery	4
36	Aggravated Assault	5
25	Extortion	6
35	Assault	7
50	Firearms	8
52	Other Weapons	10
44	Sexual Abuse or Sexual Conduct with a Minor or Child Molestation	11
57	Drug Violation	12
24	Burglary (First Degree)	13
23	Burglary or Breaking and Entering (Second or Third Degree)	14
82	Kidnapping	15
18	Arson of an Occupied Structure	16
17	Arson of Structure or Property	17
53	Dangerous Items	18
54	Simulated Firearm	19
40	Chemical or Biological Threat	20
39	Bomb Threat	21
41	Other School Threat	22
30	Threat or Intimidation	23
43	Harassment, Sexual	24

28	Harassment, nonsexual	25
46	Vandalism of school property	26
47	Vandalism of personal property	27
55	Alcohol Violation	28
56	Tobacco Violation	29
34	Fighting	30
31	Hazing	31
29	Bullying	32
20	Truancy	33

A. Mandatory Data with SAIS ID –MANDWSID

4) <!--

When SubmissionOption = MANDWUQID/MANDWSID, system checks Mandatory Data Elements based on "SMS Incident Data Submission Regs-LEA Distribution V2 0" document

<actioncomments> for Actions (4.4 Actions - Mandatory Data Elements). If XML has this element when SubmissionOption = MANDWUQID/MANDWSID, system will ignore this <actioncomments> and update null value of this corresponding field in DB.

[illegible]

```
<persontype>1</persontype>
```

Page: 42 of 85

```
<person SMSPersonID="3001">
    <persontype>2</persontype>
```

Above both Ex are valid. and system will create 2 seperate records in DB

Note: SMSViolationID is Unique per SMSIncidentID. But it has one exception. When role is 2 (Victim) then SMSViolationID will have value 0. In this case this unique condition will not apply to SMSViolationID. So SMSViolationID with value 0 may exists more than one if role is 2 (Victim).

Note: SMSActionID is unique per SMSIncidentID and Person's role

```
5) <AZSafeData TransactionType="ReplaceAll" SubmissionOption="MANDWSID" date="2009-05-11T09:03:00.00"
ownerCTDS="012345000" schoolCTDS="012345678" FiscalYear="2009"
xsi:noNamespaceSchemaLocation="AZSafe_Schema.xsd" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance">
```

15) <SaisID>22398345</SaisID>

```

16)          <disabled504>1</disabled504>
17)          <injurysustained>1</injurysustained>
18)          <injuryserious>0</injuryserious>
19)          <!--Note: <injurydescription> is not a Mandatory Data Element when
SubmissionOption = MANDWUQID/MANDWSID, system will ignore this element and update null value of this
corresponding field in DB. -->
20)          <injurydescription>injurydescription</injurydescription>
21)          <!--This persion injurysustained is true (1). No need to check Mandatory
violations and Mandatory Actions based on SMS Incident Data Submission Reqs-LEA Distribution V2 0 -
3.1 Incidents Required to be Submitted to ADE validations-->
22)          <violation SMSViolationID="4001">
23)              <!--violationcode:Other Technology (71). This violationcode requires
freeformtext-->
24)              <violationcode>71</violationcode>
25)              <primaryviolationind>0</primaryviolationind>
26)              <!--Note: <freeformtext> is not a Mandatory Data Element when
SubmissionOption = MANDWUQID/MANDWSID, system will ignore this element and update null value of this
corresponding field in DB. -->
27)              <freeformtext>Other Technology</freeformtext>
28)              <action SMSActionID="5001">
29)                  <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
30)                  <!--Note: <actiondecidedate> and <actioncomments> are not a
Mandatory Data Elements when SubmissionOption = MANDWUQID/MANDWSID, system will ignore these elements
and update null value of this corresponding field in DB. -->
31)                  <actioncode>51</actioncode>
32)                  <actiondecidedate>2008-08-18</actiondecidedate>
33)                  <actioncomments>actioncomments</actioncomments>
34)              </action>
35)              <action SMSActionID="5002">
36)                  <!--actioncode:Actions within Due Process - Disciplinary Hearing
(52). PanelName: panelWithDueProsess-->
37)                  <!--Note: <reviewdate>, <actiondecidedate>, <iscompleted> and
<actioncomments> are not a Mandatory Data Elements when SubmissionOption = MANDWUQID/MANDWSID, system
will ignore these elements and update null value of this corresponding field in DB. -->
38)                  <actioncode>52</actioncode>
39)                  <reviewdate>2008-10-20</reviewdate>
40)                  <actiondecidedate>2008-08-18</actiondecidedate>
41)                  <iscompleted>0</iscompleted>

```

```

42)                <actioncomments>actioncomments</actioncomments>
43)            </action>
44)            <action SMSActionID="5003">
45)                <!--actioncode:Actions completed in one day - Withdrawal (60).
PanelName: panelOneDayAction-->
46)                <actioncode>60</actioncode>
47)            </action>
48)            <action SMSActionID="5004">
49)                <!--actioncode:Actions completed in one or more days - Detention
(62). PanelName: panelOneOrMoreDaysAction-->
50)                <actioncode>62</actioncode>
51)                <startdate>2008-08-20</startdate>
52)                <enddate>2008-10-20</enddate>
53)            </action>
54)            <action SMSActionID="5005">
55)                <!--actioncode:Actions completed in one day - Local Law
Enforcement Contacted (83). PanelName: panelOneDayAction-->
56)                <!--Note: <actiondecidedate>, <iscompleted>, <actioncomments>,
<policereportnumber> and <lawenforcementresult> are not a Mandatory Data Elements when
SubmissionOption = MANDWUQID/MANDWSID, system will ignore these elements and update null value of
this corresponding field in DB. -->
57)                <actioncode>83</actioncode>
58)                <actiondecidedate>2008-08-18</actiondecidedate>
59)                <iscompleted>0</iscompleted>
60)                <actioncomments>actioncomments</actioncomments>
61)                <policereportnumber>Police Report #</policereportnumber>
62)                <lawenforcementresult>2</lawenforcementresult>
63)            </action>
64)            <action SMSActionID="5006">
65)                <!--actioncode:Actions completed in one day - Referred To Outside
Agency (84). PanelName: panelOneDayAction-->
66)                <actioncode>84</actioncode>
67)            </action>
68)            <action SMSActionID="5007">
69)                <!--actioncode:Other Action - Other Action (86). PanelName:
panelOneOrMoreDaysAction-->
70)                <actioncode>86</actioncode>
71)                <startdate>2008-08-20</startdate>
72)                <enddate>2008-10-20</enddate>

```

```

73)                                     </action>
74)                                     <action SMSActionID="5008">
75)                                     <!--actioncode:Removal - Removal by Student's IEP Team to an
Interim Alternative Educational Setting (89). PanelName: panelWithRemoval-->
76)                                     <actioncode>89</actioncode>
77)                                     <removaldays>79.50</removaldays>
78)                                     <startdate>2008-08-20</startdate>
79)                                     <enddate>2008-10-20</enddate>
80)                                     </action>
81)                                     <action SMSActionID="5009">
82)                                     <!--actioncode:Removal - Reassignment to a Different Class (92).
PanelName: panelWithRemoval-->
83)                                     <actioncode>92</actioncode>
84)                                     <removaldays>79.50</removaldays>
85)                                     <startdate>2008-08-20</startdate>
86)                                     <enddate>2008-10-20</enddate>
87)                                     </action>
88)                                     <action SMSActionID="5010">
89)                                     <!--actioncode:Removal - Reassignment to Another School Within
District (94). PanelName: panelWithRemoval-->
90)                                     <actioncode>94</actioncode>
91)                                     <removaldays>79.50</removaldays>
92)                                     <startdate>2008-08-20</startdate>
93)                                     <enddate>2008-10-20</enddate>
94)                                     </action>
95)                                     <action SMSActionID="5011">
96)                                     <!--actioncode:Actions completed in one or more days - Other
Group (97). PanelName: panelOneOrMoreDaysAction-->
97)                                     <actioncode>97</actioncode>
98)                                     <startdate>2008-08-20</startdate>
99)                                     <enddate>2008-10-20</enddate>
100)                                    </action>
101)                                    </violation>
102)                                    <violation SMSViolationID="4002">
103)                                    <!--violationcode:Drug Violation (57). This violationcode requires
Violation Add Info 1 violationdetail1 (34,37,38,40,41,42,43) and requires Violation Add Info 2
violationdetail2 (1, 2, 3, 4)-->
104)                                    <violationcode>57</violationcode>
105)                                    <primaryviolationind>1</primaryviolationind>

```

```

106)          <!--violationdetail1:Illicit Drug (41). This violationdetail1 requires
Drug List violationdetail3 (1, 2, 6, 7, 8, 9, 13 ) and requires freeformtext-->
107)          <violationdetail1>41</violationdetail1>
108)          <violationdetail2>1</violationdetail2>
109)          <violationdetail2>4</violationdetail2>
110)          <violationdetail3>1</violationdetail3>
111)          <violationdetail3>9</violationdetail3>
112)          <!--Note: <freeformtext> is not a Mandatory Data Element when
SubmissionOption = MANDWUQID/MANDWSID, system will ignore this element and update null value of this
corresponding field in DB. -->
113)          <freeformtext>Other Illicit Drug</freeformtext>
114)          <action SMSActionID="5001">
115)              <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
116)              <!--Note: <actiondecidedate> and <actioncomments> are not a
Mandatory Data Elements when SubmissionOption = MANDWUQID/MANDWSID, system will ignore these elements
and update null value of this corresponding field in DB. -->
117)              <actioncode>51</actioncode>
118)              <actiondecidedate>2008-08-18</actiondecidedate>
119)              <actioncomments>actioncomments</actioncomments>
120)          </action>
121)        </violation>
122)        <violation SMSViolationID="4003">
123)            <!--violationcode:Alcohol Violation(55). This violationcode requires
Violation Add Info 2 violationdetail2 (1, 2, 3, 4)-->
124)            <violationcode>55</violationcode>
125)            <primaryviolationind>0</primaryviolationind>
126)            <violationdetail2>1</violationdetail2>
127)            <violationdetail2>4</violationdetail2>
128)            <action SMSActionID="5001">
129)                <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
130)                <actioncode>51</actioncode>
131)            </action>
132)        </violation>
133)        <violation SMSViolationID="4004">
134)            <!--violationcode:Arson of Structure or Property (17)-->
135)            <violationcode>17</violationcode>
136)            <primaryviolationind>0</primaryviolationind>

```

```

137)                <action SMSActionID="5001">
138)                <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
139)                <actioncode>51</actioncode>
140)                </action>
141)                </violation>
142)            </person>
143)            <!-- Non Student With Offender Role -->
144)            <!--Note: Non Student will not <SaisID>. -->
145)            <person SMSPersonID="3002">
146)                <persontype>2</persontype>
147)                <role>1</role>
148)                <gender>M</gender>
149)                <dob>1999-01-01</dob>
150)                <reltoschool>15</reltoschool>
151)                <reltoschoolother>reltoschoolother</reltoschoolother>
152)                <injurysustained>0</injurysustained>
153)            <!--

```

Note: This Person role = 1 (Offender) and Person injurysustained is false (0) and SubmissionOption = MANDWUQID/MANDWSID. In this case system checks Mandatory Violations and Mandatory Actions based on 3.1 Incidents Required to be Submitted to ADE validations.

For Victim Actions, no need to check above validations (When Person role = 2 (Victim))

-->

<!--

Ex: When SMSViolationID="4004" already inserted into DB for this Incident. System will again check violationcode of this SMSViolationID.
If this SMSViolationID="4004" has different violationcode. We are using following solution for this.

Solution: System gets violationcode of saved SMSViolationID="4004" from DB and compare with present violationcode. If these not matched, system will raise the error.

(This checking will be done for all SubmissionOption. Same thing will be for actioncode)

If matched, then system will check is this present violationcode/actioncode belongs to mandatory / not.

If not belongs to mandatory, then system raise the error

-->

```

154)                <violation SMSViolationID="4004">
155)                <!--violationcode:Arson of Structure or Property (17)*-->
156)                <violationcode>17</violationcode>
157)                <primaryviolationind>0</primaryviolationind>
158)                <action SMSActionID="5001">

```

```

159)                                <!--actioncode:No Action - No Action Taken (51). PanelName:
    panelOneDayAction-->
160)                                <actioncode>51</actioncode>
161)                                </action>
162)                                </violation>
163)                                </person>
164)                                </incident>
165)                                <incident SMSIncidentID="2002">
166)                                    <incdate>2008-07-10</incdate>
167)                                    <gangrelated>1</gangrelated>
168)                                    <haterelated>0</haterelated>
169)                                    <!-- Student By SMS Person ID With Offender Role -->
170)                                    <person SMSPersonID="3001">
171)                                        <persontype>1</persontype>
172)                                        <role>1</role>
173)                                        <SaisID>22398345</SaisID>
174)                                        <disabled504>1</disabled504>
175)                                        <injurysustained>0</injurysustained>
176)                                        <violation SMSViolationID="4001">
177)                                            <!--violationcode:Aggravated Assault (36)** -->
178)                                            <violationcode>36</violationcode>
179)                                            <primaryviolationind>1</primaryviolationind>
180)                                            <action SMSActionID="5001">
181)                                                <!--actioncode:No Action - No Action Taken (51). PanelName:
    panelOneDayAction-->
182)                                <actioncode>51</actioncode>
183)                                </action>
184)                                </violation>
185)                                <violation SMSViolationID="4002">
186)                                    <!--violationcode:Alcohol Violation (55)*. This violationcode requires
    Violation Add Info 2 violationdetail2 (1, 2, 3, 4)-->
187)                                    <violationcode>55</violationcode>
188)                                    <primaryviolationind>0</primaryviolationind>
189)                                    <violationdetail2>1</violationdetail2>
190)                                    <violationdetail2>4</violationdetail2>
191)                                    <action SMSActionID="5001">
192)                                <!--actioncode:No Action - No Action Taken (51). PanelName:
    panelOneDayAction-->
193)                                <actioncode>51</actioncode>

```

```

194)                </action>
195)            </violation>
196)            <violation SMSViolationID="4003">
197)                <!--violationcode:Other Technology (71). This violationcode requires
freeformtext-->
198)                <violationcode>71</violationcode>
199)                <primaryviolationind>0</primaryviolationind>
200)                <action SMSActionID="5005">
201)                    <!--actioncode:Actions completed in one day - Local Law
Enforcement Contacted (83)*. PanelName: panelOneDayAction-->
202)                    <actioncode>83</actioncode>
203)                </action>
204)            </violation>
205)        </person>
206)        <!-- Non Student with Victim Role-->
207)        <person SMSPersonID="3010">
208)            <persontype>2</persontype>
209)            <role>2</role>
210)            <gender>M</gender>
211)            <dob>1999-01-01</dob>
212)            <reltoschool>15</reltoschool>
213)            <reltoschoolother>string</reltoschoolother>
214)            <injurysustained>0</injurysustained>
215)        <!--
Note: This Person role = 2 (Victim) and Person injurysustained is false (0) and SubmissionOption =
MANDWUQID/MANDWSID. But in this case system will not checks Mandatory Violations and Mandatory Actions
based on 3.1 Incidents Required to be Submitted to ADE validations.
For Victim Actions, no need to check above validations (When Person role = 2 (Victim))
-->
216)            <violation SMSViolationID="0">
217)                <!--Note:Victim Actions unique to Person (In one Incident) insted of
Incident-->
218)                <action SMSActionID="5001">
219)                    <!-- - Meeting with School Counselor (118). PanelName:
panelOneDayAction-->
220)                    <actioncode>118</actioncode>
221)                </action>
222)                <action SMSActionID="5002">
223)                    <!-- - Other Action (120). PanelName: panelOneDayAction-->

```

```

224)             <actioncode>120</actioncode>
225)             </action>
226)         </violation>
227)     </person>
228)     <!-- Non Student with Victim Role-->
229)     <person SMSPersonID="3020">
230)         <persontype>2</persontype>
231)         <role>2</role>
232)         <gender>F</gender>
233)         <dob>1995-10-25</dob>
234)         <reltoschool>16</reltoschool>
235)         <reltoschoolother>string</reltoschoolother>
236)         <injurysustained>1</injurysustained>
237)         <!--Note: When injurysustained is true(1), injuryserious is an Mandatory Data
Element. -->
238)         <injuryserious>1</injuryserious>
239)         <violation SMSViolationID="0">
240)             <!--Note:Victim Actions unique to Person (In one Incident) insted of
Incident-->
241)             <action SMSActionID="5001">
242)                 <!-- - Meeting with School Counselor (118). PanelName:
panelOneDayAction-->
243)                 <actioncode>118</actioncode>
244)                 </action>
245)                 <action SMSActionID="5002">
246)                     <!-- - Other Action (120). PanelName: panelOneDayAction-->
247)                     <actioncode>120</actioncode>
248)                 </action>
249)             </violation>
250)         </person>
251)     </incident>
252) </AZSafeData>
253)
254)

```

B. Mandatory Data with Unique SMS Person ID - MANDWUQID

```
1) <?xml version="1.0" encoding="UTF-8"?>
2) <!-- Created by Pruthvi 18-June-2009. Version 2.1-->
3) <!-- School : Sample-->
4) <!--
```

When SubmissionOption = MANDWUQID/MANDWSID, If XML has valid non mandatory data, system will not update this into DB.

When SubmissionOption = MANDWUQID/MANDWSID, system checks Mandatory Data Elements based on "SMS Incident Data Submission Regs-LEA Distribution V2 0" document

Example:

<fname> for Persons (4.2 Involved People - Mandatory Data Elements). If XML has this element when SubmissionOption = MANDWUQID/MANDWSID, system will ignore this <fname> and update null value of this corresponding field in DB.

<freeformtext> for Violations (4.3 Violations - Mandatory Data Elements). If XML has this element when SubmissionOption = MANDWUQID/MANDWSID, system will ignore this <freeformtext> and update null value of this corresponding field in DB.

<actioncomments> for Actions (4.4 Actions - Mandatory Data Elements). If XML has this element when SubmissionOption = MANDWUQID/MANDWSID, system will ignore this <actioncomments> and update null value of this corresponding field in DB.

[illegible]

Note: SMSIncidentID is Unique per schoolCTDS for all FiscalYear.

[illegible]

Note: SMSPersonID is Unique per schoolCTDS and Person's persontype for all FiscalYear

Ex: When Person as a Student,

```
<person SMSPersonID="3001">
  <persontype>1</persontype>
```

In this case system will create new Person record in DB

Ex: When Person as a Non Student (If school change above Person to Non Student from Student after some time, School can send data like)

```
<person SMSPersonID="3001">
```

```
<persontype>2</persontype>
```

In this case system will create new Person record in DB. Becuae here <persontype> is different

Above both Ex are valid. and system will create 2 seperate records in DB

[illegible]

Note: SMSViolationID is Unique per SMSIncidentID. But it has one exception. When role is 2 (Victim) then SMSViolationID will have value 0. In this case this unique condition will not apply to SMSViolationID. So SMSViolationID with value 0 may exists more than one if role is 2 (Victim).

[illegible]

Note: SMSActionID is unique per SMSIncidentID and Person's role

[illegible]

-->

```
5) <AZSafeData TransactionType="ReplaceAll" SubmissionOption="MANDWUQID" date="2009-05-11T09:03:00.00"
ownerCTDS="012345000" schoolCTDS="012345678" FiscalYear="2009"
xsi:noNamespaceSchemaLocation="AZSafe_Schema.xsd" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance">
```

6) `<incident SMSIncidentID="2001">`

```
7)      <incdate>2008-08-16</incdate>
```

8) `<gangrelated>0</gangrelated>`

9) `<haterelated>1</haterelated>`

```
10)      <!-- Student By SMS Person ID With Offender Role -->
```

```
11) <person SMSPersonID="3001">
```

12) `<persontype>1</persontype>`

```
13) <role>1</role>
```

14) <grade>PS</grade>

15) `<ethnicity>A</ethnicity>`

```
16)      <!--Note: For Non Sped Student, don't send <SPEDCode> element or send empty
```

```
<SPEDCode> element (Ex:<SPEDCode></SPEDCode>) -->
```

17) <SPEDCode>EDP</SPEDCode>

18) <ELL>1</ELL>

19) `<disabled504>1</disabled504>`

20) <gender>M</gender>

21) `<injurysustained>1</injurysustained>`

```

22)                <injuryserious>0</injuryserious>
23)                <!--Note: <injurydescription> is not a Mandatory Data Element when
SubmissionOption = MANDWUQID/MANDWSID, system will ignore this element and update null value of this
corresponding field in DB. -->
24)                <injurydescription>injurydescription</injurydescription>
25)                <!--This persion injurysustained is true (1). No need to check Mandatory
violations and Mandatory Actions based on SMS Incident Data Submission Reqs-LEA Distribution V2 0 -
3.1 Incidents Required to be Submitted to ADE validations-->
26)                <violation SMSViolationID="4001">
27)                    <!--violationcode:Other Technology (71). This violationcode requires
freeformtext-->
28)                    <violationcode>71</violationcode>
29)                    <primaryviolationind>0</primaryviolationind>
30)                <!--Note: <freeformtext> is not a Mandatory Data Element when
SubmissionOption = MANDWUQID/MANDWSID, system will ignore this element and update null value of this
corresponding field in DB. -->
31)                <freeformtext>Other Technology</freeformtext>
32)                <action SMSActionID="5001">
33)                    <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
34)                    <!--Note: <actiondecidedate> and <actioncomments> are not a
Mandatory Data Elements when SubmissionOption = MANDWUQID/MANDWSID, system will ignore these elements
and update null value of this corresponding field in DB. -->
35)                    <actioncode>51</actioncode>
36)                    <actiondecidedate>2008-08-18</actiondecidedate>
37)                    <actioncomments>actioncomments</actioncomments>
38)                </action>
39)                <action SMSActionID="5002">
40)                    <!--actioncode:Actions within Due Process - Disciplinary Hearing
(52). PanelName: panelWithDueProsess-->
41)                    <!--Note: <reviewdate>, <actiondecidedate>, <iscompleted> and
<actioncomments> are not a Mandatory Data Elements when SubmissionOption = MANDWUQID/MANDWSID, system
will ignore these elements and update null value of this corresponding field in DB. -->
42)                    <actioncode>52</actioncode>
43)                    <reviewdate>2008-10-20</reviewdate>
44)                    <actiondecidedate>2008-08-18</actiondecidedate>
45)                    <iscompleted>0</iscompleted>
46)                    <actioncomments>actioncomments</actioncomments>
47)                </action>

```

```

48)          <action SMSActionID="5003">
49)          <!--actioncode:Actions completed in one day - Withdrawal (60).
PanelName: panelOneDayAction-->
50)          <actioncode>60</actioncode>
51)          </action>
52)          <action SMSActionID="5004">
53)          <!--actioncode:Actions completed in one or more days - Detention
(62). PanelName: panelOneOrMoreDaysAction-->
54)          <actioncode>62</actioncode>
55)          <startdate>2008-08-20</startdate>
56)          <enddate>2008-10-20</enddate>
57)          </action>
58)          <action SMSActionID="5005">
59)          <!--actioncode:Actions completed in one day - Local Law
Enforcement Contacted (83). PanelName: panelOneDayAction-->
60)          <!--Note: <actiondecidedate>, <iscompleted>, <actioncomments>,
<policereportnumber> and <lawenforcementresult> are not a Mandatory Data Elements when
SubmissionOption = MANDWUQID/MANDWSID, system will ignore these elements and update null value of
this corresponding field in DB. -->
61)          <actioncode>83</actioncode>
62)          <actiondecidedate>2008-08-18</actiondecidedate>
63)          <iscompleted>0</iscompleted>
64)          <actioncomments>actioncomments</actioncomments>
65)          <policereportnumber>Police Report #</policereportnumber>
66)          <lawenforcementresult>2</lawenforcementresult>
67)          </action>
68)          <action SMSActionID="5006">
69)          <!--actioncode:Actions completed in one day - Referred To Outside
Agency (84). PanelName: panelOneDayAction-->
70)          <actioncode>84</actioncode>
71)          </action>
72)          <action SMSActionID="5007">
73)          <!--actioncode:Other Action - Other Action (86). PanelName:
panelOneOrMoreDaysAction-->
74)          <actioncode>86</actioncode>
75)          <startdate>2008-08-20</startdate>
76)          <enddate>2008-10-20</enddate>
77)          </action>
78)          <action SMSActionID="5008">

```

```

79)          <!--actioncode:Removal - Removal by Student's IEP Team to an
Interim Alternative Educational Setting (89). PanelName: panelWithRemoval-->
80)          <actioncode>89</actioncode>
81)          <removaldays>79.50</removaldays>
82)          <startdate>2008-08-20</startdate>
83)          <enddate>2008-10-20</enddate>
84)          </action>
85)          <action SMSActionID="5009">
86)          <!--actioncode:Removal - Reassignment to a Different Class (92).
PanelName: panelWithRemoval-->
87)          <actioncode>92</actioncode>
88)          <removaldays>79.50</removaldays>
89)          <startdate>2008-08-20</startdate>
90)          <enddate>2008-10-20</enddate>
91)          </action>
92)          <action SMSActionID="5010">
93)          <!--actioncode:Removal - Reassignment to Another School Within
District (94). PanelName: panelWithRemoval-->
94)          <actioncode>94</actioncode>
95)          <removaldays>79.50</removaldays>
96)          <startdate>2008-08-20</startdate>
97)          <enddate>2008-10-20</enddate>
98)          </action>
99)          <action SMSActionID="5011">
100)         <!--actioncode:Actions completed in one or more days - Other
Group (97). PanelName: panelOneOrMoreDaysAction-->
101)         <actioncode>97</actioncode>
102)         <startdate>2008-08-20</startdate>
103)         <enddate>2008-10-20</enddate>
104)         </action>
105)         </violation>
106)         <violation SMSViolationID="4002">
107)         <!--violationcode:Drug Violation (57). This violationcode requires
Violation Add Info 1 violationdetail1 (34,37,38,40,41,42,43) and requires Violation Add Info 2
violationdetail2 (1, 2, 3, 4)-->
108)         <violationcode>57</violationcode>
109)         <primaryviolationind>1</primaryviolationind>
110)         <!--violationdetail1:Illicit Drug (41). This violationdetail1 requires
Drug List violationdetail3 (1, 2, 6, 7, 8, 9, 13 ) and requires freeformtext-->

```

```

111)          <violationdetail1>41</violationdetail1>
112)          <violationdetail2>1</violationdetail2>
113)          <violationdetail2>4</violationdetail2>
114)          <violationdetail3>1</violationdetail3>
115)          <violationdetail3>9</violationdetail3>
116)          <!--Note: <freeformtext> is not a Mandatory Data Element when
SubmissionOption = MANDWUQID/MANDWSID, system will ignore this element and update null value of this
corresponding field in DB. -->
117)          <freeformtext>Other Illicit Drug</freeformtext>
118)          <action SMSActionID="5001">
119)              <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
120)              <!--Note: <actiondecidedate> and <actioncomments> are not a
Mandatory Data Elements when SubmissionOption = MANDWUQID/MANDWSID, system will ignore these elements
and update null value of this corresponding field in DB. -->
121)              <actioncode>51</actioncode>
122)              <actiondecidedate>2008-08-18</actiondecidedate>
123)              <actioncomments>actioncomments</actioncomments>
124)          </action>
125)        </violation>
126)        <violation SMSViolationID="4003">
127)            <!--violationcode:Alcohol Violation(55). This violationcode requires
Violation Add Info 2 violationdetail2 (1, 2, 3, 4)-->
128)            <violationcode>55</violationcode>
129)            <primaryviolationind>0</primaryviolationind>
130)            <violationdetail2>1</violationdetail2>
131)            <violationdetail2>4</violationdetail2>
132)            <action SMSActionID="5001">
133)                <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
134)                <actioncode>51</actioncode>
135)            </action>
136)        </violation>
137)        <violation SMSViolationID="4004">
138)            <!--violationcode:Arson of Structure or Property (17)-->
139)            <violationcode>17</violationcode>
140)            <primaryviolationind>0</primaryviolationind>
141)            <action SMSActionID="5001">

```

```

142)                                <!--actioncode:No Action - No Action Taken (51). PanelName:
    panelOneDayAction-->
143)                                <actioncode>51</actioncode>
144)                                </action>
145)                                </violation>
146)                                </person>
147)                                <!-- Non Student With Offender Role -->
148)                                <person SMSPersonID="3002">
149)                                    <persontype>2</persontype>
150)                                    <role>1</role>
151)                                    <gender>M</gender>
152)                                    <dob>1999-01-01</dob>
153)                                    <reltoschool>15</reltoschool>
154)                                    <reltoschoolother>reltoschoolother</reltoschoolother>
155)                                    <injurysustained>0</injurysustained>
156)                                <!--

```

Note: This Person role = 1 (Offender) and Person injurysustained is false (0) and SubmissionOption = MANDWUQID/MANDWSID. In this case system checks Mandatory Violations and Mandatory Actions based on 3.1 Incidents Required to be Submitted to ADE validations.

For Victim Actions, no need to check above validations (When Person role = 2 (Victim))

-->

<!--

Ex: When SMSViolationID="4004" already inserted into DB for this Incident. System will again check violationcode of this SMSViolationID.

If this SMSViolationID="4004" has different violationcode. We are using following solution for this.

Solution: System gets violationcode of saved SMSViolationID="4004" from DB and compare with present violationcode. If these not matched, system will raise the error.

(This checking will be done for all SubmissionOption. Same thing will be for actioncode)

If matched, then system will check is this present violationcode/actioncode belongs to mandatory / not.

If not belongs to mandatory, then system raise the error

-->

```

157)                                <violation SMSViolationID="4004">
158)                                    <!--violationcode:Arson of Structure or Property (17)*-->
159)                                    <violationcode>17</violationcode>
160)                                    <primaryviolationind>0</primaryviolationind>
161)                                    <action SMSActionID="5001">
162)                                <!--actioncode:No Action - No Action Taken (51). PanelName:
    panelOneDayAction-->

```

```

163)             <actioncode>51</actioncode>
164)             </action>
165)         </violation>
166)     </person>
167) </incident>
168) <incident SMSIncidentID="2002">
169)     <incdate>2008-07-10</incdate>
170)     <gangrelated>1</gangrelated>
171)     <haterrelated>0</haterrelated>
172)     <!-- Student By SMS Person ID With Offender Role -->
173)     <person SMSPersonID="3001">
174)         <persontype>1</persontype>
175)         <role>1</role>
176)         <grade>PS</grade>
177)         <ethnicity>A</ethnicity>
178)         <SPEDCode>EDP</SPEDCode>
179)         <ELL>1</ELL>
180)         <disabled504>1</disabled504>
181)         <gender>M</gender>
182)         <injurysustained>0</injurysustained>
183)         <violation SMSViolationID="4001">
184)             <!--violationcode:Aggravated Assault (36)** -->
185)             <violationcode>36</violationcode>
186)             <primaryviolationind>1</primaryviolationind>
187)             <action SMSActionID="5001">
188)                 <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
189)                 <actioncode>51</actioncode>
190)                 </action>
191)             </violation>
192)         <violation SMSViolationID="4002">
193)             <!--violationcode:Alcohol Violation (55)*. This violationcode requires
Violation Add Info 2 violationdetail2 (1, 2, 3, 4)-->
194)             <violationcode>55</violationcode>
195)             <primaryviolationind>0</primaryviolationind>
196)             <violationdetail2>1</violationdetail2>
197)             <violationdetail2>4</violationdetail2>
198)             <action SMSActionID="5001">

```

```

199)                                <!--actioncode:No Action - No Action Taken (51). PanelName:
    panelOneDayAction-->
200)                                <actioncode>51</actioncode>
201)                                </action>
202)                                </violation>
203)                                <violation SMSViolationID="4003">
204)                                <!--violationcode:Other Technology (71). This violationcode requires
    freeformtext-->
205)                                <violationcode>71</violationcode>
206)                                <primaryviolationind>0</primaryviolationind>
207)                                <action SMSActionID="5005">
208)                                <!--actioncode:Actions completed in one day - Local Law
    Enforcement Contacted (83)*. PanelName: panelOneDayAction-->
209)                                <actioncode>83</actioncode>
210)                                </action>
211)                                </violation>
212)                                </person>
213)                                <!-- Non Student with Victim Role-->
214)                                <person SMSPersonID="3010">
215)                                    <persontype>2</persontype>
216)                                    <role>2</role>
217)                                    <gender>M</gender>
218)                                    <dob>1999-01-01</dob>
219)                                    <reltoschool>15</reltoschool>
220)                                    <reltoschoolother>string</reltoschoolother>
221)                                    <injurysustained>0</injurysustained>
222)                                    <!--
    Note: This Person role = 2 (Victim) and Person injurysustained is false (0) and SubmissionOption =
    MANDWUQID/MANDWSID. But in this case system will not checks Mandatory Violations and Mandatory
    Actions based on 3.1 Incidents Required to be Submitted to ADE validations.
    For Victim Actions, no need to check above validations (When Person role = 2 (Victim))
    -->
223)                                <violation SMSViolationID="0">
224)                                <!--Note:Victim Actions unique to Person (In one Incident) insted of
    Incident-->
225)                                <action SMSActionID="5001">
226)                                <!-- - Meeting with School Counselor (118). PanelName:
    panelOneDayAction-->
227)                                <actioncode>118</actioncode>

```

```

228)             </action>
229)             <action SMSActionID="5002">
230)                 <!-- - Other Action (120). PanelName: panelOneDayAction-->
231)                 <actioncode>120</actioncode>
232)             </action>
233)         </violation>
234)     </person>
235)     <!-- Non Student with Victim Role-->
236)     <person SMSPersonID="3020">
237)         <persontype>2</persontype>
238)         <role>2</role>
239)         <gender>F</gender>
240)         <dob>1995-10-25</dob>
241)         <reltoschool>16</reltoschool>
242)         <reltoschoolother>string</reltoschoolother>
243)         <injurysustained>1</injurysustained>
244)         <!--Note: When injurysustained is true(1), injuryserious is an Mandatory Data
Element. -->
245)         <injuryserious>1</injuryserious>
246)         <violation SMSViolationID="0">
247)             <!--Note:Victim Actions unique to Person (In one Incident) insted of
Incident-->
248)             <action SMSActionID="5001">
249)                 <!-- - Meeting with School Counselor (118). PanelName:
panelOneDayAction-->
250)                 <actioncode>118</actioncode>
251)             </action>
252)             <action SMSActionID="5002">
253)                 <!-- - Other Action (120). PanelName: panelOneDayAction-->
254)                 <actioncode>120</actioncode>
255)             </action>
256)         </violation>
257)     </person>
258) </incident>
259) </AZSafeData>

```

C. All Data with SAIS ID - ALLWSID

```
1) <?xml version="1.0" encoding="UTF-8"?>
2) <!-- Created by Pruthvi 18-June-2009. Version 2.1-->
3) <!-- School : Sample-->
4) <!--
When SubmissionOption = ALLWUQID/ALLWSID, system checks Mandatory Data Elements based on "SMS Incident
Data Capture Reqs-LEA Distribution" document.
-->
5) <AZSafeData TransactionType="ReplaceAll" SubmissionOption="ALLWSID" date="2009-05-11T09:03:00.00"
ownerCTDS="012345000" schoolCTDS="012345678" FiscalYear="2009"
xsi:noNamespaceSchemaLocation="AZSafe_Schema.xsd" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance">
6)     <incident SMSIncidentID="2001">
7)         <incdate>2008-08-16</incdate>
8)         <inctime>08:56:00</inctime>
9)         <refdate>2008-10-22</refdate>
10)        <reffname>2001RFName</reffname>
11)        <reflname>2001RLName</reflname>
12)        <timedescription>10</timedescription>
13)        <timedescription_Other>timedescription_Other</timedescription_Other>
14)        <location>22</location>
15)        <location_other>location_other</location_other>
16)        <gangrelated>0</gangrelated>
17)        <haterrelated>1</haterrelated>
18)        <!--Note: <cost> is not a Mandatory Data Element when SubmissionOption =
ALLWUQID/ALLWSID -->
19)        <cost>3183.85</cost>
20)        <!--Note: <comment> is not a Mandatory Data Element when SubmissionOption =
ALLWUQID/ALLWSID -->
21)        <comment>comment</comment>
22)        <!-- Student By SMS Person ID With Offender Role -->
23)        <person SMSPersonID="3001">
24)            <persontype>1</persontype>
25)            <role>1</role>
26)            <SaisID>22398345</SaisID>
27)            <disabled504>1</disabled504>
```

```

28)      <injurysustained>1</injurysustained>
29)      <injuryserious>0</injuryserious>
30)      <injurydescription>injurydescription</injurydescription>
31)      <violation SMSViolationID="4001">
32)          <!--violationcode:Other Technology (71). This violationcode requires
freeformtext-->
33)          <violationcode>71</violationcode>
34)          <primaryviolationind>0</primaryviolationind>
35)          <!--Note: <freeformtext> is a Mandatory Data Element when violationcode
= 71 and SubmissionOption = ALLWUQID/ALLWSID -->
36)          <freeformtext>Other Technology</freeformtext>
37)          <action SMSActionID="5001">
38)              <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
39)              <!--Note: <actiondecidedate> is a Mandatory Data Elements when
actioncode = 51 and SubmissionOption = ALLWUQID/ALLWSID -->
40)              <actioncode>51</actioncode>
41)              <actiondecidedate>2008-08-18</actiondecidedate>
42)              <actioncomments>actioncomments</actioncomments>
43)              </action>
44)              <action SMSActionID="5002">
45)                  <!--actioncode:Actions within Due Process - Disciplinary Hearing
(52). PanelName: panelWithDueProsess-->
46)                  <actioncode>52</actioncode>
47)                  <!--Note: <reviewdate>, <actiondecidedate> and <iscompleted> are
a Mandatory Data Elements when actioncode = 52 and SubmissionOption = ALLWUQID/ALLWSID -->
48)                  <scheduledate>2008-10-20</scheduledate>
49)                  <actiondecidedate>2008-08-18</actiondecidedate>
50)                  <iscompleted>0</iscompleted>
51)                  <actioncomments>actioncomments</actioncomments>
52)                  </action>
53)                  <action SMSActionID="5003">
54)                      <!--actioncode:Actions completed in one day - Withdrawal (60).
PanelName: panelOneDayAction-->
55)                      <actioncode>60</actioncode>
56)                      <actiondecidedate>2008-08-18</actiondecidedate>
57)                      <iscompleted>0</iscompleted>
58)                      <actioncomments>actioncomments</actioncomments>
59)                      </action>

```

```

60)                 <action SMSActionID="5004">
61)                 <!--actioncode:Actions completed in one or more days - Detention
(62). PanelName: panelOneOrMoreDaysAction-->
62)                 <actioncode>62</actioncode>
63)                 <startdate>2008-08-20</startdate>
64)                 <enddate>2008-10-20</enddate>
65)                 <reviewdate>2008-10-20</reviewdate>
66)                 <actiondecidedate>2008-08-18</actiondecidedate>
67)                 <iscompleted>0</iscompleted>
68)                 <actioncomments>actioncomments</actioncomments>
69)                 </action>
70)                 <action SMSActionID="5005">
71)                 <!--actioncode:Actions completed in one day - Local Law
Enforcement Contacted (83). PanelName: panelOneDayAction-->
72)                 <actioncode>83</actioncode>
73)                 <actiondecidedate>2008-08-18</actiondecidedate>
74)                 <iscompleted>0</iscompleted>
75)                 <actioncomments>actioncomments</actioncomments>
76)                 <policereportnumber>Police Report #</policereportnumber>
77)                 <lawenforcementresult>2</lawenforcementresult>
78)                 </action>
79)                 <action SMSActionID="5006">
80)                 <!--actioncode:Actions completed in one day - Referred To Outside
Agency (84). PanelName: panelOneDayAction-->
81)                 <actioncode>84</actioncode>
82)                 <actiondecidedate>2008-08-18</actiondecidedate>
83)                 <placemovedto>Name of Agency</placemovedto>
84)                 <iscompleted>0</iscompleted>
85)                 <actioncomments>actioncomments</actioncomments>
86)                 </action>
87)                 <action SMSActionID="5007">
88)                 <!--actioncode:Other Action - Other Action (86). PanelName:
panelOneOrMoreDaysAction-->
89)                 <actioncode>86</actioncode>
90)                 <startdate>2008-08-20</startdate>
91)                 <enddate>2008-10-20</enddate>
92)                 <reviewdate>2008-10-20</reviewdate>
93)                 <actiondecidedate>2008-08-18</actiondecidedate>
94)                 <iscompleted>0</iscompleted>

```

```

95)                <actioncomments>actioncomments</actioncomments>
96)                <otheractiondescription>Other Action</otheractiondescription>
97)            </action>
98)            <action SMSActionID="5008">
99)                <!--actioncode:Removal - Removal by Student's IEP Team to an
Interim Alternative Educational Setting (89). PanelName: panelWithRemoval-->
100)                <actioncode>89</actioncode>
101)                <removaldays>79.50</removaldays>
102)                <startdate>2008-08-20</startdate>
103)                <enddate>2008-10-20</enddate>
104)                <actiondecidedate>2008-08-18</actiondecidedate>
105)                <placemovedto>Location</placemovedto>
106)                <iscompleted>0</iscompleted>
107)                <actioncomments>actioncomments</actioncomments>
108)            </action>
109)            <action SMSActionID="5009">
110)                <!--actioncode:Removal - Reassignment to a Different Class (92).
PanelName: panelWithRemoval-->
111)                <actioncode>92</actioncode>
112)                <removaldays>79.50</removaldays>
113)                <startdate>2008-08-20</startdate>
114)                <enddate>2008-10-20</enddate>
115)                <actiondecidedate>2008-08-18</actiondecidedate>
116)                <placemovedto>Name of Class</placemovedto>
117)                <iscompleted>0</iscompleted>
118)                <actioncomments>actioncomments</actioncomments>
119)            </action>
120)            <action SMSActionID="5010">
121)                <!--actioncode:Removal - Reassignment to Another School Within
District (94). PanelName: panelWithRemoval-->
122)                <actioncode>94</actioncode>
123)                <removaldays>79.50</removaldays>
124)                <startdate>2008-08-20</startdate>
125)                <enddate>2008-10-20</enddate>
126)                <actiondecidedate>2008-08-18</actiondecidedate>
127)                <placemovedto>Name of School</placemovedto>
128)                <iscompleted>0</iscompleted>
129)                <actioncomments>actioncomments</actioncomments>
130)            </action>

```

```

131)             <action SMSActionID="5011">
132)             <!--actioncode:Actions completed in one or more days - Other
Group (97). PanelName: panelOneOrMoreDaysAction-->
133)             <actioncode>97</actioncode>
134)             <startdate>2008-08-20</startdate>
135)             <enddate>2008-10-20</enddate>
136)             <reviewdate>2008-10-20</reviewdate>
137)             <actiondecidedate>2008-08-18</actiondecidedate>
138)             <iscompleted>0</iscompleted>
139)             <actioncomments>actioncomments</actioncomments>
140)             <otheractiondescription>Other</otheractiondescription>
141)         </action>
142)     </violation>
143)     <violation SMSViolationID="4002">
144)         <!--violationcode:Drug Violation (57). This violationcode requires
Violation Add Info 1 violationdetail1 (34,37,38,40,41,42,43) and requires Violation Add Info 2
violationdetail2 (1, 2, 3, 4)-->
145)         <violationcode>57</violationcode>
146)         <primaryviolationind>1</primaryviolationind>
147)         <!--violationdetail1:Illicit Drug (41). This violationdetail1 requires
Drug List violationdetail3 (1, 2, 6, 7, 8, 9, 13 ) and requires freeformtext-->
148)         <violationdetail1>41</violationdetail1>
149)         <violationdetail2>1</violationdetail2>
150)         <violationdetail2>4</violationdetail2>
151)         <violationdetail3>1</violationdetail3>
152)         <violationdetail3>9</violationdetail3>
153)         <freeformtext>Other Illicit Drug</freeformtext>
154)         <action SMSActionID="5001">
155)             <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
156)             <actioncode>51</actioncode>
157)             <actiondecidedate>2008-08-18</actiondecidedate>
158)             <actioncomments>actioncomments</actioncomments>
159)         </action>
160)     </violation>
161)     <violation SMSViolationID="4003">
162)         <!--violationcode:Alcohol Violation(55). This violationcode requires
Violation Add Info 2 violationdetail2 (1, 2, 3, 4)-->
163)         <violationcode>55</violationcode>

```

```

164)         <primaryviolationind>0</primaryviolationind>
165)         <violationdetail2>1</violationdetail2>
166)         <violationdetail2>4</violationdetail2>
167)         <action SMSActionID="5001">
168)             <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
169)                 <actioncode>51</actioncode>
170)                 <actiondecidedate>2008-08-18</actiondecidedate>
171)                 <actioncomments>actioncomments</actioncomments>
172)             </action>
173)         </violation>
174)         <violation SMSViolationID="4004">
175)             <!--violationcode:Arson of Structure or Property (17)-->
176)             <violationcode>17</violationcode>
177)             <primaryviolationind>0</primaryviolationind>
178)             <action SMSActionID="5001">
179)                 <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
180)                     <actioncode>51</actioncode>
181)                     <actiondecidedate>2008-08-18</actiondecidedate>
182)                     <actioncomments>actioncomments</actioncomments>
183)                 </action>
184)             </violation>
185)         </person>
186)         <!-- Non Student With Offender Role -->
187)         <person SMSPersonID="3002">
188)             <persontype>2</persontype>
189)             <role>1</role>
190)             <gender>M</gender>
191)             <dob>1999-01-01</dob>
192)             <fname>3002FName</fname>
193)             <lname>3002LName</lname>
194)             <mname>3002MName</mname>
195)             <suffix>Mr</suffix>
196)             <reltoschool>15</reltoschool>
197)             <reltoschoolother>reltoschoolother</reltoschoolother>
198)             <employmenttype>C</employmenttype>
199)             <injurysustained>0</injurysustained>
200)             <injuryserious>0</injuryserious>

```

```

201)         <injurydescription>injurydescription</injurydescription>
202)         <violation SMSViolationID="4004">
203)             <!--violationcode:Arson of Structure or Property (17)-->
204)             <violationcode>17</violationcode>
205)             <primaryviolationind>0</primaryviolationind>
206)             <action SMSActionID="5001">
207)                 <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
208)                 <actioncode>51</actioncode>
209)                 <actiondecidedate>2008-08-18</actiondecidedate>
210)                 <actioncomments>actioncomments</actioncomments>
211)             </action>
212)         </violation>
213)     </person>
214) </incident>
215) <incident SMSIncidentID="2002">
216)     <incdate>2008-07-10</incdate>
217)     <inctime>10:00:00</inctime>
218)     <refdate>2008-09-20</refdate>
219)     <reffname>2002RFName</reffname>
220)     <reflname>2002RLName</reflname>
221)     <timedescription>13</timedescription>
222)     <timedescription_Other>timedescription_Other</timedescription_Other>
223)     <location>11</location>
224)     <location_other>location_other</location_other>
225)     <gangrelated>1</gangrelated>
226)     <haterrelated>0</haterrelated>
227)     <cost>100</cost>
228)     <comment>comment</comment>
229)     <!-- Student By SMS Person ID With Offender Role -->
230)     <person SMSPersonID="3001">
231)         <persontype>1</persontype>
232)         <role>1</role>
233)         <SaisID>22398345</SaisID>
234)         <disabled504>1</disabled504>
235)         <injurysustained>1</injurysustained>
236)         <injuryserious>0</injuryserious>
237)         <injurydescription>injurydescription</injurydescription>
238)         <violation SMSViolationID="4001">

```

```

239)             <!--violationcode:Leaving School Grounds Without Permission (19)-->
240)             <violationcode>19</violationcode>
241)             <primaryviolationind>1</primaryviolationind>
242)             <action SMSActionID="5001">
243)                 <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
244)                 <actioncode>51</actioncode>
245)                 <actiondecidedate>2008-08-18</actiondecidedate>
246)                 <actioncomments>actioncomments</actioncomments>
247)             </action>
248)         </violation>
249)     </person>
250) <!-- Non Student with Victim Role-->
251) <person SMSPersonID="3010">
252)     <persontype>2</persontype>
253)     <role>2</role>
254)     <gender>M</gender>
255)     <dob>1999-01-01</dob>
256)     <fname>3010FName</fname>
257)     <lname>3010LName</lname>
258)     <mname>3010MName</mname>
259)     <suffix>Mr</suffix>
260)     <reltoschool>15</reltoschool>
261)     <reltoschoolother>reltoschoolother</reltoschoolother>
262)     <employmenttype>C</employmenttype>
263)     <injurysustained>0</injurysustained>
264)     <injuryserious>0</injuryserious>
265)     <injurydescription>injurydescription</injurydescription>
266)     <violation SMSViolationID="0">
267)         <action SMSActionID="5001">
268)             <!-- - Meeting with School Counselor (118). PanelName:
panelOneDayAction-->
269)             <actioncode>118</actioncode>
270)             <actiondecidedate>2008-08-18</actiondecidedate>
271)             <iscompleted>1</iscompleted>
272)             <actioncomments>Victim Action Cmmnts</actioncomments>
273)         </action>
274)         <action SMSActionID="5002">
275)             <!-- - Other Action (120). PanelName: panelOneDayAction-->

```

```

276)                <actioncode>120</actioncode>
277)                <actiondecidedate>2008-08-18</actiondecidedate>
278)                <iscompleted>1</iscompleted>
279)                <actioncomments>Victim Action Cmmments</actioncomments>
280)                <otheractiondescription>Other Victim Action
Description</otheractiondescription>
281)                </action>
282)                </violation>
283)            </person>
284)            <!-- Non Student with Victim Role-->
285)            <person SMSPersonID="3020">
286)                <persontype>2</persontype>
287)                <role>2</role>
288)                <gender>F</gender>
289)                <dob>1995-10-25</dob>
290)                <fname>3020FName</fname>
291)                <lname>3020LName</lname>
292)                <mname>3020MName</mname>
293)                <suffix>Mr</suffix>
294)                <reltoschool>16</reltoschool>
295)                <reltoschoolother>string</reltoschoolother>
296)                <employmenttype>C</employmenttype>
297)                <injurysustained>1</injurysustained>
298)                <injuryserious>1</injuryserious>
299)                <injurydescription>injurydescription</injurydescription>
300)            <!--Note: When injurysustained is true(1), injuryserious is an Mandatory Data
Element. -->
301)            <violation SMSViolationID="0">
302)                <!--Note:Victim Actions unique to Person (In one Incident) insted of
Incident-->
303)                <action SMSActionID="5001">
304)                    <!-- - Meeting with School Counselor (118). PanelName:
panelOneDayAction-->
305)                    <actioncode>118</actioncode>
306)                    <actiondecidedate>2008-08-18</actiondecidedate>
307)                    <iscompleted>1</iscompleted>
308)                    <actioncomments>actioncomments</actioncomments>
309)                </action>
310)                <action SMSActionID="5002">

```

```

311)                                <!-- - Other Action (120). PanelName: panelOneDayAction-->
312)                                <actioncode>120</actioncode>
313)                                <actiondecidedate>2008-08-18</actiondecidedate>
314)                                <iscompleted>1</iscompleted>
315)                                <actioncomments>actioncomments</actioncomments>
316)                                <otheractiondescription>otheractiondescription</otheractiondescription>
317)                                </action>
318)                                </violation>
319)                                </person>
320)                                </incident>
321)                                </AZSafeData>

```

D. All Data with Unique SMS Person ID -ALLWUQID

```
1) <?xml version="1.0" encoding="UTF-8"?>
2) <!-- Created by Pruthvi 18-June-2009. Version 2.1-->
3) <!-- School : Sample-->
4) <!--
When SubmissionOption = ALLWUQID/ALLWSID, system checks Mandatory Data Elements based on "SMS Incident
Data Capture Reqs-LEA Distribution" document.
-->
5) <AZSafeData TransactionType="ReplaceAll" SubmissionOption="ALLWUQID" date="2009-05-11T09:03:00.00"
ownerCTDS="012345000" schoolCTDS="012345678" FiscalYear="2009"
xsi:noNamespaceSchemaLocation="AZSafe_Schema.xsd" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance">
6)     <incident SMSIncidentID="2001">
7)         <incdate>2008-08-16</incdate>
8)         <inctime>08:56:00</inctime>
9)         <refdate>2008-10-22</refdate>
10)         <reffname>2001RFName</reffname>
11)         <reflname>2001RLName</reflname>
12)         <timedescription>10</timedescription>
13)         <timedescription_Other>timedescription_Other</timedescription_Other>
14)         <location>22</location>
15)         <location_other>location_other</location_other>
16)         <gangrelated>0</gangrelated>
17)         <haterrelated>1</haterrelated>
18)         <!--Note: <cost> is not a Mandatory Data Element when SubmissionOption =
ALLWUQID/ALLWSID -->
19)         <cost>3183.85</cost>
20)         <!--Note: <comment> is not a Mandatory Data Element when SubmissionOption =
ALLWUQID/ALLWSID -->
21)         <comment>comment</comment>
22)         <!-- Student By SMS Person ID With Offender Role -->
23)         <person SMSPersonID="3001">
24)             <persontype>1</persontype>
25)             <role>1</role>
26)             <grade>PS</grade>
27)             <ethnicity>A</ethnicity>
28)             <!--Note: For Non Sped Student, don't send <SPEDCode> element or send empty
<SPEDCode> element (Ex:<SPEDCode></SPEDCode>)-->
```

```

29)      <SPEDCode>EDP</SPEDCode>
30)      <ELL>1</ELL>
31)      <disabled504>1</disabled504>
32)      <enrolldate>1990-08-13</enrolldate>
33)      <gender>M</gender>
34)      <dob>1980-08-13</dob>
35)      <fname>3001FName</fname>
36)      <lname>3001LName</lname>
37)      <!--Note: <mname> is not a Mandatory Data Element when SubmissionOption =
ALLWUQID/ALLWSID -->
38)      <mname>3001MName</mname>
39)      <suffix>Mr</suffix>
40)      <injurysustained>1</injurysustained>
41)      <injuryserious>0</injuryserious>
42)      <injurydescription>injurydescription</injurydescription>
43)      <violation SMSViolationID="4001">
44)          <!--violationcode:Other Technology (71). This violationcode requires
freeformtext-->
45)          <violationcode>71</violationcode>
46)          <primaryviolationind>0</primaryviolationind>
47)          <!--Note: <freeformtext> is a Mandatory Data Element when violationcode
= 71 and SubmissionOption = ALLWUQID/ALLWSID -->
48)          <freeformtext>Other Technology</freeformtext>
49)          <action SMSActionID="5001">
50)              <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
51)              <!--Note: <actiondecidedate> is a Mandatory Data Elements when
actioncode = 51 and SubmissionOption = ALLWUQID/ALLWSID -->
52)              <actioncode>51</actioncode>
53)              <actiondecidedate>2008-08-18</actiondecidedate>
54)              <actioncomments>actioncomments</actioncomments>
55)              </action>
56)              <action SMSActionID="5002">
57)                  <!--actioncode:Actions within Due Process - Disciplinary Hearing
(52). PanelName: panelWithDueProsess-->
58)                  <actioncode>52</actioncode>
59)                  <!--Note: <reviewdate>, <actiondecidedate> and <iscompleted> are
a Mandatory Data Elements when actioncode = 52 and SubmissionOption = ALLWUQID/ALLWSID -->
60)                  <scheduledate>2008-10-20</scheduledate>

```

```

61)          <actiondecidedate>2008-08-18</actiondecidedate>
62)          <iscompleted>0</iscompleted>
63)          <actioncomments>actioncomments</actioncomments>
64)        </action>
65)        <action SMSActionID="5003">
66)          <!--actioncode:Actions completed in one day - Withdrawal (60).
PanelName: panelOneDayAction-->
67)          <actioncode>60</actioncode>
68)          <actiondecidedate>2008-08-18</actiondecidedate>
69)          <iscompleted>0</iscompleted>
70)          <actioncomments>actioncomments</actioncomments>
71)        </action>
72)        <action SMSActionID="5004">
73)          <!--actioncode:Actions completed in one or more days - Detention
(62). PanelName: panelOneOrMoreDaysAction-->
74)          <actioncode>62</actioncode>
75)          <startdate>2008-08-20</startdate>
76)          <enddate>2008-10-20</enddate>
77)          <reviewdate>2008-10-20</reviewdate>
78)          <actiondecidedate>2008-08-18</actiondecidedate>
79)          <iscompleted>0</iscompleted>
80)          <actioncomments>actioncomments</actioncomments>
81)        </action>
82)        <action SMSActionID="5005">
83)          <!--actioncode:Actions completed in one day - Local Law
Enforcement Contacted (83). PanelName: panelOneDayAction-->
84)          <actioncode>83</actioncode>
85)          <actiondecidedate>2008-08-18</actiondecidedate>
86)          <iscompleted>0</iscompleted>
87)          <actioncomments>actioncomments</actioncomments>
88)          <policereportnumber>Police Report #</policereportnumber>
89)          <lawenforcementresult>2</lawenforcementresult>
90)        </action>
91)        <action SMSActionID="5006">
92)          <!--actioncode:Actions completed in one day - Referred To Outside
Agency (84). PanelName: panelOneDayAction-->
93)          <actioncode>84</actioncode>
94)          <actiondecidedate>2008-08-18</actiondecidedate>
95)          <placemovedto>Name of Agency</placemovedto>

```

```

96)                <iscompleted>0</iscompleted>
97)                <actioncomments>actioncomments</actioncomments>
98)            </action>
99)            <action SMSActionID="5007">
100)                <!--actioncode:Other Action - Other Action (86). PanelName:
panelOneOrMoreDaysAction-->
101)                <actioncode>86</actioncode>
102)                <startdate>2008-08-20</startdate>
103)                <enddate>2008-10-20</enddate>
104)                <reviewdate>2008-10-20</reviewdate>
105)                <actiondecidedate>2008-08-18</actiondecidedate>
106)                <iscompleted>0</iscompleted>
107)                <actioncomments>actioncomments</actioncomments>
108)                <otheractiondescription>Other Action</otheractiondescription>
109)            </action>
110)            <action SMSActionID="5008">
111)                <!--actioncode:Removal - Removal by Student's IEP Team to an
Interim Alternative Educational Setting (89). PanelName: panelWithRemoval-->
112)                <actioncode>89</actioncode>
113)                <removaldays>79.50</removaldays>
114)                <startdate>2008-08-20</startdate>
115)                <enddate>2008-10-20</enddate>
116)                <actiondecidedate>2008-08-18</actiondecidedate>
117)                <placemovedto>Location</placemovedto>
118)                <iscompleted>0</iscompleted>
119)                <actioncomments>actioncomments</actioncomments>
120)            </action>
121)            <action SMSActionID="5009">
122)                <!--actioncode:Removal - Reassignment to a Different Class (92).
PanelName: panelWithRemoval-->
123)                <actioncode>92</actioncode>
124)                <removaldays>79.50</removaldays>
125)                <startdate>2008-08-20</startdate>
126)                <enddate>2008-10-20</enddate>
127)                <actiondecidedate>2008-08-18</actiondecidedate>
128)                <placemovedto>Name of Class</placemovedto>
129)                <iscompleted>0</iscompleted>
130)                <actioncomments>actioncomments</actioncomments>
131)            </action>

```

```

132)                 <action SMSActionID="5010">
133)                 <!--actioncode:Removal - Reassignment to Another School Within
District (94). PanelName: panelWithRemoval-->
134)                 <actioncode>94</actioncode>
135)                 <removaldays>79.50</removaldays>
136)                 <startdate>2008-08-20</startdate>
137)                 <enddate>2008-10-20</enddate>
138)                 <actiondecidedate>2008-08-18</actiondecidedate>
139)                 <placemovedto>Name of School</placemovedto>
140)                 <iscompleted>0</iscompleted>
141)                 <actioncomments>actioncomments</actioncomments>
142)                 </action>
143)                 <action SMSActionID="5011">
144)                 <!--actioncode:Actions completed in one or more days - Other
Group (97). PanelName: panelOneOrMoreDaysAction-->
145)                 <actioncode>97</actioncode>
146)                 <startdate>2008-08-20</startdate>
147)                 <enddate>2008-10-20</enddate>
148)                 <reviewdate>2008-10-20</reviewdate>
149)                 <actiondecidedate>2008-08-18</actiondecidedate>
150)                 <iscompleted>0</iscompleted>
151)                 <actioncomments>actioncomments</actioncomments>
152)                 <otheractiondescription>Other</otheractiondescription>
153)                 </action>
154)                 </violation>
155)                 <violation SMSViolationID="4002">
156)                 <!--violationcode:Drug Violation (57). This violationcode requires
Violation Add Info 1 violationdetail1 (34,37,38,40,41,42,43) and requires Violation Add Info 2
violationdetail2 (1, 2, 3, 4)-->
157)                 <violationcode>57</violationcode>
158)                 <primaryviolationind>1</primaryviolationind>
159)                 <!--violationdetail1:Illicit Drug (41). This violationdetail1 requires
Drug List violationdetail3 (1, 2, 6, 7, 8, 9, 13 ) and requires freeformtext-->
160)                 <violationdetail1>41</violationdetail1>
161)                 <violationdetail2>1</violationdetail2>
162)                 <violationdetail2>4</violationdetail2>
163)                 <violationdetail3>1</violationdetail3>
164)                 <violationdetail3>9</violationdetail3>
165)                 <freeformtext>Other Illicit Drug</freeformtext>

```

```

166)                 <action SMSActionID="5001">
167)                 <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
168)                 <actioncode>51</actioncode>
169)                 <actiondecidedate>2008-08-18</actiondecidedate>
170)                 <actioncomments>actioncomments</actioncomments>
171)                 </action>
172)             </violation>
173)             <violation SMSViolationID="4003">
174)                 <!--violationcode:Alcohol Violation(55). This violationcode requires
Violation Add Info 2 violationdetail2 (1, 2, 3, 4)-->
175)                 <violationcode>55</violationcode>
176)                 <primaryviolationind>0</primaryviolationind>
177)                 <violationdetail2>1</violationdetail2>
178)                 <violationdetail2>4</violationdetail2>
179)                 <action SMSActionID="5001">
180)                 <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
181)                 <actioncode>51</actioncode>
182)                 <actiondecidedate>2008-08-18</actiondecidedate>
183)                 <actioncomments>actioncomments</actioncomments>
184)                 </action>
185)             </violation>
186)             <violation SMSViolationID="4004">
187)                 <!--violationcode:Arson of Structure or Property (17)-->
188)                 <violationcode>17</violationcode>
189)                 <primaryviolationind>0</primaryviolationind>
190)                 <action SMSActionID="5001">
191)                 <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
192)                 <actioncode>51</actioncode>
193)                 <actiondecidedate>2008-08-18</actiondecidedate>
194)                 <actioncomments>actioncomments</actioncomments>
195)                 </action>
196)             </violation>
197)         </person>
198)         <!-- Non Student With Offender Role -->
199)         <person SMSPersonID="3002">
200)             <persontype>2</persontype>

```

```

201)         <role>1</role>
202)         <gender>M</gender>
203)         <dob>1999-01-01</dob>
204)         <fname>3002FName</fname>
205)         <lname>3002LName</lname>
206)         <mname>3002MName</mname>
207)         <suffix>Mr</suffix>
208)         <reltoschool>15</reltoschool>
209)         <reltoschoolother>reltoschoolother</reltoschoolother>
210)         <employmenttype>C</employmenttype>
211)         <injurysustained>0</injurysustained>
212)         <injuryserious>0</injuryserious>
213)         <injurydescription>injurydescription</injurydescription>
214)         <violation SMSViolationID="4004">
215)             <!--violationcode:Arson of Structure or Property (17)-->
216)             <violationcode>17</violationcode>
217)             <primaryviolationind>0</primaryviolationind>
218)             <action SMSActionID="5001">
219)                 <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
220)                 <actioncode>51</actioncode>
221)                 <actiondecidedate>2008-08-18</actiondecidedate>
222)                 <actioncomments>actioncomments</actioncomments>
223)             </action>
224)         </violation>
225)     </person>
226) </incident>
227) <incident SMSIncidentID="2002">
228)     <incdate>2008-07-10</incdate>
229)     <inctime>10:00:00</inctime>
230)     <refdate>2008-09-20</refdate>
231)     <reffname>2002RFName</reffname>
232)     <reflname>2002RLName</reflname>
233)     <timedescription>13</timedescription>
234)     <timedescription_Other>timedescription_Other</timedescription_Other>
235)     <location>11</location>
236)     <location_other>location_other</location_other>
237)     <gangrelated>1</gangrelated>
238)     <haterrelated>0</haterrelated>

```

```

239)      <cost>100</cost>
240)      <comment>comment</comment>
241)      <!-- Student By SMS Person ID With Offender Role -->
242)      <person SMSPersonID="3001">
243)          <persontype>1</persontype>
244)          <role>1</role>
245)          <grade>PS</grade>
246)          <ethnicity>A</ethnicity>
247)          <SPEDCode>EDP</SPEDCode>
248)          <ELL>1</ELL>
249)          <disabled504>1</disabled504>
250)          <enrolldate>1990-08-13</enrolldate>
251)          <gender>M</gender>
252)          <dob>1980-08-13</dob>
253)          <fname>3001FName</fname>
254)          <lname>3001LName</lname>
255)          <mname>3001MName</mname>
256)          <suffix>Mr</suffix>
257)          <injurysustained>1</injurysustained>
258)          <injuryserious>0</injuryserious>
259)          <injurydescription>injurydescription</injurydescription>
260)          <violation SMSViolationID="4001">
261)              <!--violationcode:Leaving School Grounds Without Permission (19)-->
262)              <violationcode>19</violationcode>
263)              <primaryviolationind>1</primaryviolationind>
264)              <action SMSActionID="5001">
265)                  <!--actioncode:No Action - No Action Taken (51). PanelName:
panelOneDayAction-->
266)                      <actioncode>51</actioncode>
267)                      <actiondecidedate>2008-08-18</actiondecidedate>
268)                      <actioncomments>actioncomments</actioncomments>
269)                  </action>
270)          </violation>
271)      </person>
272)      <!-- Non Student with Victim Role-->
273)      <person SMSPersonID="3010">
274)          <persontype>2</persontype>
275)          <role>2</role>
276)          <gender>M</gender>

```

```

277)          <dob>1999-01-01</dob>
278)          <fname>3010FName</fname>
279)          <lname>3010LName</lname>
280)          <mname>3010MName</mname>
281)          <suffix>Mr</suffix>
282)          <reltoschool>15</reltoschool>
283)          <reltoschoolother>reltoschoolother</reltoschoolother>
284)          <employmenttype>C</employmenttype>
285)          <injurysustained>0</injurysustained>
286)          <injuryserious>0</injuryserious>
287)          <injurydescription>injurydescription</injurydescription>
288)          <violation SMSViolationID="0">
289)              <action SMSActionID="5001">
290)                  <!-- - Meeting with School Counselor (118). PanelName:
panelOneDayAction-->
291)                      <actioncode>118</actioncode>
292)                      <actiondecidedate>2008-08-18</actiondecidedate>
293)                      <iscompleted>1</iscompleted>
294)                      <actioncomments>Victim Action Cmments</actioncomments>
295)                      </action>
296)                      <action SMSActionID="5002">
297)                          <!-- - Other Action (120). PanelName: panelOneDayAction-->
298)                          <actioncode>120</actioncode>
299)                          <actiondecidedate>2008-08-18</actiondecidedate>
300)                          <iscompleted>1</iscompleted>
301)                          <actioncomments>Victim Action Cmments</actioncomments>
302)                          <otheractiondescription>Other Victim Action
Description</otheractiondescription>
303)                      </action>
304)                  </violation>
305)          </person>
306)          <!-- Non Student with Victim Role-->
307)          <person SMSPersonID="3020">
308)              <persontype>2</persontype>
309)              <role>2</role>
310)              <gender>F</gender>
311)              <dob>1995-10-25</dob>
312)              <fname>3020FName</fname>
313)              <lname>3020LName</lname>

```

```

314)          <mname>3020MName</mname>
315)          <suffix>Mr</suffix>
316)          <reltoschool>16</reltoschool>
317)          <reltoschoolother>string</reltoschoolother>
318)          <employmenttype>C</employmenttype>
319)          <injurysustained>1</injurysustained>
320)          <injuryserious>1</injuryserious>
321)          <injurydescription>injurydescription</injurydescription>
322)          <!--Note: When injurysustained is true(1), injuryserious is an Mandatory Data
      Element. -->
323)          <violation SMSViolationID="0">
324)              <!--Note:Victim Actions unique to Person (In one Incident) insted of
      Incident-->
325)              <action SMSActionID="5001">
326)                  <!-- - Meeting with School Counselor (118). PanelName:
      panelOneDayAction-->
327)                      <actioncode>118</actioncode>
328)                      <actiondecidedate>2008-08-18</actiondecidedate>
329)                      <iscompleted>1</iscompleted>
330)                      <actioncomments>actioncomments</actioncomments>
331)                  </action>
332)                  <action SMSActionID="5002">
333)                      <!-- - Other Action (120). PanelName: panelOneDayAction-->
334)                      <actioncode>120</actioncode>
335)                      <actiondecidedate>2008-08-18</actiondecidedate>
336)                      <iscompleted>1</iscompleted>
337)                      <actioncomments>actioncomments</actioncomments>
338)              <otheractiondescription>otheractiondescription</otheractiondescription>
339)              </action>
340)          </violation>
341)      </person>
342)  </incident>
343) </AZSafeData>

```

APPENDIX 3 – DOCUMENT REVISIONS

A. SMS Incident Data Submission Requirements Version 2.0

Section #	Section	Description	Page #
3.2	Unique SMS Person Id		
		Requirement Updated.	7
3.4	XML Schema		
		Requirement Updated.	8
3.6	Submission Transaction Type		
		Requirement Updated.	21
3.6.1	New Incident Transaction Submission		
		Requirement Updated.	21
3.6.2	Additions and Changes to Previously Submitted Incidents		
		Requirement Deleted.	22
3.6.3	Deletions of Previously Submitted Incidents or INCIDENT COMPONENT(s)		
		Requirement Updated.	22
3.6.2	"Replace All" Submission File		
		Requirement Added.	22
4.1	Incident Attributes – Mandatory Data Elements		
		Add: Field/XML Field/Format--	
		Fiscal Year{Field} - FiscalYear{XML Field } -FYType{Format}	24
		Update: Field/XML Field/Format--	
		Incident Date {Field} -incdate {XML Field} - yyyy/mm/dd {Format}	24
		Gang Related {Field} -gangrelated {XML Field} - true/false {Format}	24
		Hate Related {Field} -haterelated {XML Field} - true/false {Format}	24

Section #	Section	Description	Page #
4.2.1	Students		
		Update: Field/XML Field/Format--	
		SMS Person ID{Field} - SMSPersonid{XML Field } - Integer,18{Format}	25
		ELL {Field} - ELL {XML Field } - true/false{Format}	25
		SPED Code {Field} - SPEDCode {XML Field } - String{Format}	25
		Disability as defined by Office of Civil Rights 504 {Field} - disabled504 {XML Field } - true/false{Format}	25
		Physical Injury {Field} - injurysustained {XML Field } - true/false{Format}	25
		Serious Bodily (Physical) Injury as defined by U.S.C. 18 Section 1365(3)(h) {Field} - injuryserious {XML Field } - true/false{Format}	25
3.2.2	All other people		
		Update: Field/XML Field/Format--	
		SMS Person ID{Field} - SMSPersonid{XML Field } - Integer,18{Format}	26
		Physical Injury {Field} - injurysustained {XML Field } - true/false{Format}	26
		Serious Bodily (Physical) Injury as defined by U.S.C. 18 Section 1365(3)(h) {Field} - injuryserious {XML Field } - true/false{Format}	26
3.2.3	Relationship to School		
		Delete: Relationship to School--	
		1 {Relationship to School Code} - Active Student {Description} - A student that is currently enrolled at the school where the incident occurred {Detail}	28
4.3	Violations-- - Mandatory Data Elements		
		Update: Field/XML Field/Format--	
		SMS Person ID{Field} - SMSPersonid{XML Field } - Integer,18{Format}	31

Section #	Section	Description	Page #
4.4	Actions - Mandatory Data Elements		
		Update: Field/XML Field/Format--	
		SMS Person ID{Field} - SMSPersonid{XML Field } - Integer,18{Format}	32
		Assigned Action Start Date {Field} - startdate {XML Field } - yyyy/mm/dd{Format}	32
		Assigned Action End Date{Field} - enddate{XML Field } - yyyy/mm/dd{Format}	32
5.2	Mandatory Violations		
		5.2.2 Updated.	35
5.3	Mandatory Violations		
		5.3.1 Updated.	37
Appendix 2	Sample Submission Files		
		Add: New Sections	
		A. Mandatory Data with SAIS ID –MANDWSID	42
		B. Mandatory Data with Unique SMS Person ID - MANDWUQID	53
		C. All Data with SAIS ID - ALLWSID	64
		D. All Data with Unique SMS Person ID -ALLWUQID	75

B. SMS Incident Data Submission Requirements Version 2.1

Section #	Section	Description	Page #
3.4	XML Schema		
		Minor Updates.	8
Appendix 2	Sample Submission Files		
		Updated: Sample Files; Additional Comments added.	
		A. Mandatory Data with SAIS ID –MANDWSID	42
		B. Mandatory Data with Unique SMS Person ID - MANDWUQID	52
		C. All Data with SAIS ID - ALLWSID	62
		D. All Data with Unique SMS Person ID -ALLWUQID	72