



1% THRESHOLD

ALTERNATE ASSESSMENT

OVERVIEW

- Calculating participation percentages in Math, ELA, and Science (1% Threshold)
- Steps after exceeding 1% participation
 - Submitting requested Student Information
 - Submitting Action Plan
 - Carry out action items submitted in Action Plan
- Strategies for meeting 1% participation
- ADE follow up on additional requests

1% BACKGROUND

- Federally required at the state level
- Oversight required at the LEA level
- Arizona's participation rate is set at 1% in ELA, Mathematics, and Science

PERCENT CALCULATION

For each content area:

$$\frac{\text{Number of students participating in Alternate Assessments}}{\text{Total number of students participating in state assessments}} \times 100$$

PROCESS

1. Initial Notification sent to Alternate Assessment District Test Coordinator with percentages
2. Submit Student Information in 1% Threshold Application for LEA's exceeding 1%
3. LEA notified with Tier assignment
4. Submit Action Plan in the 1% Threshold Application (DO NOT EMAIL ACTION PLANS)
5. ADE Approval/Rejection

LEA INITIAL NOTIFICATION

Initial notification with percentages is sent to the Alternate Assessment District Test Coordinator in the fall with instructions for next steps.

- If the LEA is **over 1%** in ELA, Math, and Science, the LEA will receive instructions for next steps.
- If the LEA is **under 1%** in ELA, Math, and Science, **no further action is needed** from the Alternate Assessment District Test Coordinator.

SUBMIT STUDENT INFORMATION

Evidence of eligibility determination for all three criteria:

- Enter cognitive, academic, and adaptive scores (can be pulled from MET)
- Type evidence of aligned goals (can be pulled from IEP goals)
- Type evidence of extensive direct individualized instruction and substantial supports -this is not the service minutes, but information can be pulled from that page; it is the SDI (specially designed instruction) the student needs

***Failure to comply with federally required monitoring may result in funds being withheld**

HOW TO SUBMIT STUDENT INFORMATION

1. Access the 1% Threshold Application in ADEConnect's ESS Portal
2. Click "Appeal 1% Threshold" in menu bar
3. The student list includes all students that partook in Alternate Assessments for the monitoring year under the district of residence (DOR)
4. Submit student information for students that are "requested"
5. Status column on the right shows "requested", "submitted", or "N/A"
6. Student data in the Application cannot be changed

School (CTDS)	Student (SSID)	Need	Requested Information	District Response	Current MET Date	Status
Elementary School ()			Evidence of eligibility determination for all three criteria: Enter cognitive, academic, and adaptive scores Type evidence of aligned goals Type evidence extensive direct individualized instruction and substantial supports			Requested

DETERMINING TIERS AND DISPROPORTIONALITY

- Default tiers initially taken from participation percentages
- Tier assignment notification from Alternate Assessment after Student Information is submitted
- Disproportionality calculated by ADE; **IF calculations are NOT emailed by ADE, put “NA”**
- Disproportionality federally required to be addressed by the LEA

SECOND NOTIFICATION

- LEAs will be notified of their Tier 1, 2, or 3 assignment
- Disproportionality calculations included if calculated; **IF calculations are NOT emailed by ADE, put “NA”**
- Action Plan template and 1% Threshold PowerPoint provided for use
- Instructions for submitting Action Plan by due date

TIER ACTION ITEMS

Tier 1:

- LEA provided training on participation requirements for Alternate Assessments

Tier 2:

- LEA provided training on participation requirements for Alternate Assessments
- Examine LEA policies

Tier 3:

- LEA provided training on participation requirements for Alternate Assessments
- Examine LEA policies
- LEAs will address additional aspects of participation which may include purpose of assessment, student characteristics, etc.

ACTION PLAN

This is not corrective action!

- This is a chance to document unique circumstances
- What could be improved at the LEA
- No student identifying information (publicly posted)
- Disproportionality is federally required
- Word and PDF templates are available
- Will be approved or rejected by ADE

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DEVELOPING AN ACTION PLAN

ALL COMPONENTS MUST BE ADDRESSED USING:

Action Plan Template



Alternate ASSESSMENTS

Action Plan 1% Cap

District Entity ID:

District Name:

Alternate Assessment Test Coordinator:

School Year:

1. Current percentage (found in the initial notification)
ELA:
Math:
Science:
2. Goal percentage:
3. Justification (Explain special circumstances that affect your participation rate.)
4. Action Plan (List the steps as measurable actions that directly address your unique issues related to the 1% Cap and can be completed within the annual timeline.) Action Plans should include, at a minimum, **dependent on your LEA's Tier assignment**:

Tier 1 (LEA's with 1.0-1.50% over the Cap) training for these LEAs will focus on participation requirements for Alternate Assessments.

Tier 2 (LEA's with 1.51-2.00% over the Cap) LEAs will receive technical assistance focusing on training regarding participation requirements and examining LEA policies regarding participation requirements for Alternate Assessments.

Tier 3 (LEAs with 2.01% over the Cap) LEAs will receive technical assistance focusing on training regarding participation requirements. In addition, a LEA identified team and ADE will work collaboratively focusing on training, LEA participation policies, understanding the purpose and characteristics of students who have participated in the State's Alternate Assessment.



PERCENTAGES

CURRENT PERCENTAGE

- Provided by ADE in the initial notification
- Based on the most recent administration participation and not the current SY

GOAL PERCENTAGE

- What is reasonable?
- Cannot be higher than current percentages (ELA, Math, or Science)
- Can use the previous year's percentages as a guide
- Most LEAs will set goal percentage as 1%

JUSTIFICATION

The justification should explain **why** you have more students enrolling in your district who are eligible for Alternate Assessments.

1. Do you have community or program factors?
2. Is there something about your local demographics?
3. Was there a change in your enrollment for students who take general assessments? You should conduct a root cause analysis to determine why the LEA exceeds 1% participation.
 - Explanation of **why** the LEA exceeded 1% participation
 - Opportunity to share unique circumstances of the LEA
 - No student identifiable information and no student counts less than 10
 - Federally required to be collected and posted
 - DO NOT focus on the students who took MSAA. We collected that information when submitting student information

ACTION PLAN ITEMS

- Steps the LEA will take to meet the goal percentage
- Action items should be aligned to justification
- Follow Tier assignment (1, 2, or 3)
- Complete within annual timeline

DISPROPORTIONALITY

- Disproportionality is federally required
- Calculated by ADE and provided in the second notification
- Write “not applicable” if disproportionality was not identified

OR

- Write the subgroups identified as disproportional and a statement that the LEA will review eligibility determination for those students



ADE SUPPORT REQUESTED

- Not required for Alternate Assessment District Test Coordinators to request support
- All requests will be reviewed
- We do not guarantee that each request will be feasible

SUBMITTING ACTION PLANS

- In the 1% Threshold Application through ADEConnect
- Scroll to bottom of student list and click “continue”
- Upload in the top box only
- Remove rejected plans PRIOR to resubmission

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Upload Documents



— Action Plan [Started] —

Comments:

Choose File

No file chosen

Upload

ACTION PLAN REVIEW

Alternate Assessment Test Coordinators will receive status updates in the 1% Application:

- Approved status and LEA will follow through on their action items

OR

- Rejected status with specific feedback on how to correct the Action Plan and needing to resubmit

COMMON REJECTIONS

Justification

- Student identifying information (age, grade, race, category, etc.)
- Student counts less than 10 (stating specific number of students that qualified)
- Impacts on participation rates

Action Plan

- Goal percentage unreasonable
- Did not follow tier requirements
- “ADE provided training”
- Disproportionality not addressed

FOLLOW UP

- LEAs will carry out all action items on Action Plan
- LEAs can upload progress if preferred (UNDER Action Plan upload in 1% Threshold Application)
- ADE will follow up on requests made in Action Plan

STRATEGIES FOR MEETING 1% THRESHOLD

Gather data on current participation rates at the school and IEP team level

- Are some schools or teams over-qualifying students?
- Is eligibility being documented on the Eligibility Form?
- Will this be something you include in your Action Plan?

EXAMINE ELIGIBILITY CRITERIA

Review criteria

- Do you have evidence to support that the student meets eligibility criteria on the Eligibility Form?
- Do you need support or clarification of eligibility criteria?
- Are your IEP teams using the criteria with fidelity?
- Eligibility Determination is a yearly, IEP team decision.

LEAs are not allowed to change eligibility criteria for the purpose of meeting the 1% Threshold



STUDENT CHARACTERISTICS

1. Compare the characteristics of students who take Alternate Assessments with LEA or state characteristics
2. Learner Characteristics Inventory included in MSAA results file Presentation on state LCI data

PROVIDE PROFESSIONAL DEVELOPMENT

LEAs train teachers and IEP teams

- Eligibility criteria
- Alternate Assessment Eligibility Form
- Instructional supports
- Curriculum resources
- Having difficult conversations regarding alternate assessments



INFORM PARENTS

Provide informative sessions to parents

- Parents can actively participate in Eligibility Determination
- Parents understand why a student does not qualify
- The purpose of the test is not to pass. Each student is given an opportunity to show what they know and can do in relation to grade level standards.

QUESTIONS? – EMAIL ALTERNATEASSESSMENT@AZED.GOV

