

# Elementary and Secondary School Emergency Relief (ESSER) Fund

FY20 Non-Title I-A LEAs Grant Application





# **ESSER Fund – LEA Grant Application**



# Welcome!

Please visit www.azed.gov/CARES/ESSER

for all grant and program resources and guidance documents

If you have any questions, please contact us at:

ESSER@azed.gov





## Webinar Agenda



What is the CARES Act?



The Elementary & Secondary School Emergency Relief (ESSER) Fund







Phases to Recovery & Determining Local Needs



Overview of the FY20 ESSER Fund Grant Application in GME:

- Application Elements
- Assurances & Requirements
- Allowable (and Unallowable)
  Uses of Funds



**Timeline** 



Next Steps: Opportunities for Support



Contacts and Resources





### What is the CARES Act?

- ➤ The Coronavirus Aid, Relief, and Economic Security Act (CARES) allocates \$2 trillion for Coronavirus relief efforts
- Approximately \$180 billion of the total amount will be distributed among two funds dedicated to state, local, and tribal governments
- \$30.75 billion is dedicated to Education
  - \$13.5 billion for K-12
  - \$14.2 billion for Higher Education
  - \$3 billion for Governors Emergency Distribution





### **Arizona's Education Stabilization Funds**

#### \$626 Million

### Arizona Education Stabilization Funds (ESF)



\$277 Million K-12 Education

Elementary and Secondary Schools Emergency Relief (ESSER) Fund



\$69 Million

Governor's Emergency
Education Relief
(GEER) Fund



\$280 Million
Higher Education

Higher Education Emergency Relief (**HEER**) Fund





# Elementary and Secondary Schools Emergency Relief (ESSER) Fund

#### Arizona's Award: \$277 million

- ➤ ESSER Funds are designated to support K-12 schools to respond to the COVID-19 pandemic and the declaration of statewide school closures
- ➤ Arizona's award is based on Arizona's proportionate share of Title I-A funds received in FY20 (SY19-20)
- > 90% of Arizona's award (\$249 million) must be sub-granted to LEAs
  - Funds are allocated based on each LEA's proportionate share of Title I-A funds received in FY20 (SY19-20)
- ▶ 9.5% of the total award may be reserved for ADE state-level activities to support K-12 relief, prevention and preparation, and recovery efforts
- > No more than 0.5% of the total award may be reserved by ADE for administrative cost



# Elementary and Secondary Schools Emergency Relief (ESSER) Fund

#### **Breakdown of Arizona's ESSER Fund Award:**

Total Award	\$ 277,422,944
Total SEA Admin (0.5%)	\$ 1,387,115
<b>Total SEA Activities (9.5%)</b>	\$ 26,355,179
Total LEA Assistance (90%)	\$ 249,680,650

Initial Project Term: March 13, 2020 - September 30, 2021

Funds will remain available for obligation through September 30, 2022





## **LEA Eligibility & Allocations**

#### **ESSER Fund Eligibility for FY20 Title I-A Grantees**

- LEAs must have <u>received</u> Title I-A funds in FY20 (SY19-20) to be eligible to receive an ESSER Fund grant
- > LEAs must complete and submit their FY20 ESEA Consolidated Application for Title I-A

#### **Allocations for FY20 Title I-A Grantees**

- ➤ Initial LEA subgrant allocations are based on each LEA's proportionate **share of Title I-A funds** received in FY20 (SY19-20), with additional required adjustments per USED
- > Additional Title I Cohort Adjustment:
  - Determine PPA based on 50% of FY20 state Total Final Adjusted Poverty for Title I Cohort (Total for LEA Assistance / Total Adjusted Poverty)
  - Ensure all LEA allocations met the 50% PPA
  - Ensure all LEAs receive a minimum allocation of \$50,000 (~26% of LEAs)





# **LEA Eligibility & Allocations**

#### **ESSER Fund Eligibility for Non-FY20 Title I-A Grantees**

- ➤ ADE currently projects ~182 LEAs would not qualify for ESSER LEA Assistance Funds based on not receiving Title I-A funds in FY20. Of these, 24 are for-profit charter LEAs that are not eligible to receive federal funds
- ➢ Given this, ADE is choosing to fund up to 158 LEAs and other entities through the ESSER SEA Activities set-aside
- ➤ LEAs and other entities funded through this process will follow the same application process as the Title I-A LEA ESSER Fund grant cohort
- All grant requirements, to include eligible uses of funds, assurances and reporting requirements, will be the same as the Title I-A LEA ESSER Fund grant cohort





## **LEA Eligibility & Allocations**

#### **Allocations for Non-Title I LEAs and Entities**

#### Non-Title I Cohort Allocation Methodology:

- Determine PPA based on 50% of FY20 state Total Final Adjusted Poverty for Title I Cohort (Total for LEA Assistance / Total Adjusted Poverty)
- Determine adjusted poverty counts for Non-Title I Cohort using AzEDS SY19-20 October 1<sup>st</sup> data. Apply a 'hold-harmless' for poverty data to ensure a minimum applied poverty rate at the Title I cohort state average of 20%
- Apply PPA to new adjusted poverty counts and support small LEAs by ensuring all LEAs receive a minimum allocation of \$50,000 (~68% of LEAs)

#### > Additional Title I Cohort Adjustment:

- Ensure all LEA allocations meet the 50% PPA applied to the Non-Title I cohort
- Ensure all LEAs receive a minimum allocation of \$50,000 (~26% of LEAs)





#### **Timeline**

Initial Obligation Date for ESSER Fund is March 13, 2020

Allowable Pre-Award Costs are Approved to this Date

Grant Application Opens on May 29, 2020

Applications will be Reviewed on an Ongoing Basis Initial Project /
Budget Term Ends
on September 30,
2021

Funds Obligated
During Initial
Project Term
Remain Available as
Carryover until
September 30,
2022

March 13, 2020

May 29, 2020

**September 30, 2021** 

**September 30, 2022** 





## Phases to Recovery

ADE encourages a **three-phase approach** to plan to leverage ESSER Funds to respond to state and local needs in relation to COVID-19:





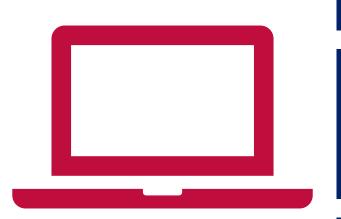
A **Roadmap for Reopening Schools**, developed through the efforts of a statewide stakeholders advisory group, will be released in **May 2020** with strategies and considerations to, in part, help inform LEA planning for the use of CARES funds across these phases

## **Determining Local Needs**

- ESSER Funds are highly flexible, and are designed to help address local relief, prevention and preparation, and recovery efforts relative to responding to COVID-19
- > ESSER Funds can be used throughout the LEA, in all schools and in support of all students and staff
- Supplement and not supplant requirements do not apply to LEA use of ESSER Fund monies
- ➤ LEAs are encouraged to review their **Comprehensive Needs Assessments (CNAs)** through the lens of responding to COVID-19 to identify critical areas of need that can be addressed system-wide
- Once local school and LEA needs and activities are determined, the LEA should update their school and LEA Integrated Action Plans (IAPs), using the COVID funding tag. This will help to identify opportunities to leverage a braided funding approach, across multiple funding sources, to help support identified local needs and activities systemwide



## Overview of the Grant Application in GME



The FY20 Elementary & Secondary School Emergency Relief (ESSER) Fund Grant application may be accessed through the ADE Grants Management system at:

gme.azed.gov

You will need to sign in to the Grants Management system using your ADEConnect login information to access the application. Access to the Grants

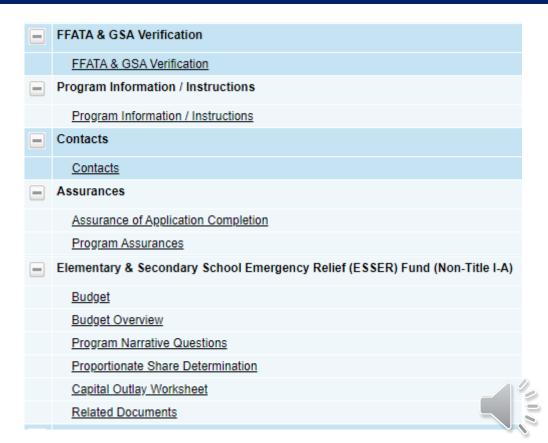
Management system may need to be granted to you by your local LEA ADEConnect Entity Administrator

The Funding Application is named:
"Elementary & Secondary School Emergency Relief
(ESSER) Fund Grant"
under Fiscal Year 2020



# Complete All Required Sections in GME







# **Overview of the Grant Application in GME**



FFATA & GSA VERIFICATION

**PROGRAM INFORMATION / INSTRUCTION** 

**CONTACTS** 

**ASSURANCES** 

**PROGRAM NARRATIVE QUESTIONS** 

PROPORTIONATE SHARE DETERMINATION

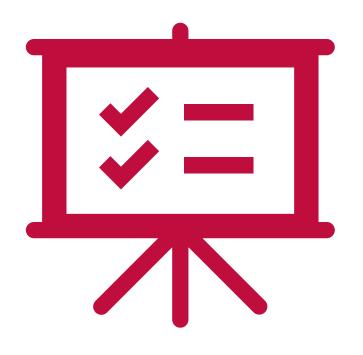
**RELATED DOCUMENTS** 

BUDGET





### **FFATA and GSA Verification**



- ✓ Be sure to submit your FY21 General Statement of Assurance in GME
- ✓ Ensure your SAM.gov information is up to date
- ✓ Provide a short description of your grant funded project



# **Contact Information**





Elementary & Secondary School Emergency Relief Fund Primary Contact Name	Contact Title	Contact Phone	Contact Email
	t	t	*
ONAL CONTACTS			
ONAL CONTACTS			
	Contact Title	Contact Phone	Contact Email
	Contact Title	Contact Phone	Contact Email
	Contact Title	Contact Phone	Contact Email
ONAL CONTACTS  Additional Elementary & Secondary School Emergency Relief Fund Contact Name(s)	Contact Title	Contact Phone	Contact Email

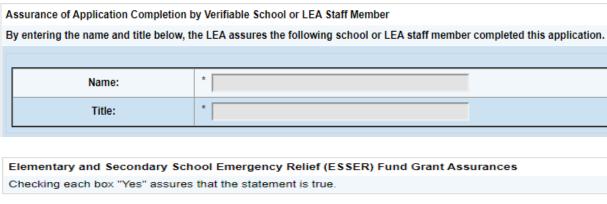


#### **Assurances in GME**

All assurances are required to be eligible to receive funding through the FY20 ESSER Fund grant



Each assurance must be checked in this section



The Local Education Agency (LEA) hereby assures the State Education Agency (SEA) that the LEA:

\* Complies with: ASSISTANCE TO NON-PUBLIC SCHOOLS SEC. 18005(a):

IN GENERAL. A local educational agency receiving funds under sections 18002 or 18003 of this title shall propublic schools.

\* Complies with PUBLIC CONTROL OF FUNDS SEC. 18005(b):

The control of funds for the services and assistance provided to a nonpublic school under subsection (a), and services (or may contract for the provision of such services with a public or private entity).

\* Complies with CONTINUED PAYMENT TO EMPLOYEES SEC. 18006:

A local educational agency, State, institution of higher education, or other entity that receives funds under the control of t

Name typed in this box acts as a signature assurance that the above has happened or will happen



## **LEA Grant Assurances and Requirements**

#### LEAs must make the following assurances in order to receive an ESSER Fund grant:

#### > Assistance to Non-Public Schools

LEAs must provide equitable services in the same manner as required under Title I-A (ESEA Sec.1117). A **proportionate share** of funds to support equitable services must be calculated, and **timely and meaningful consultation** with non-public schools must be completed

#### Public Control of Funds

Just as required under Title I-A, LEAs must maintain control of all ESSER Funds for services and assistance provided to non-public schools associated with the provision of equitable services

#### LEAs providing equitable services to non-public schools must:

- Maintain control of funds for the services and assistance provided to a non-public school under the ESSER Fund
- Maintain title to materials, equipment, and property purchased with ESSER funds
- Ensure that services to a non-public school with ESSER funds will be provided by a public agency directly, or through contract with, another public or private entity



NOTE: These requirements only apply to traditional school districts and not charter

## **LEA Grant Assurances and Requirements**

#### **LEA Assurances and Requirements (cont.)**

#### Continued Payment to Employees

LEAs shall, to the greatest extent practicable, continue to pay its employees and contractors during the period of any disruptions or closures related to coronavirus.

**Note**: USED will provide additional guidance to address if ESSER Funds may be used by LEAs to help meet this assurance. An allowable LEA use of ESSER Funds are "other activities that are necessary to maintain the operation of and continuity of services in local educational agencies and **continuing to employ existing staff** of the local educational agency."





- The Program Narrative Questions section is where the LEA will provide brief descriptions regarding planned grant funded activities
- LEAs should only complete sections that apply to their planned grant funded activities

The following are LEA <u>allowable</u> uses of ESSER Funds to address local relief, prevention and preparation and recovery efforts relative to responding to COVID-19:



# ESSER Fund monies are flexible, and may be used by LEAs for any of the following categories depending on local needs:

✓ Any activity allowed under the Every Student Succeeds Act (ESSA)

**e.g.:** Title I-A, Title I-C (Migrant Education), Title I-D (Neglected and Delinquent Students), Title II-A, Title III-A (English Language Learners), Title IV-A, Title IV-B 21<sup>st</sup> Century Community Learning Centers, Title V-B REAP (SRSA and RLIS), Title VI-A (Indian Education), Title VII (Impact Aid)

#### ✓ Any activity allowed under the following Federal education acts:

- Individuals with Disabilities Education Act (IDEA)
- Carl D. Perkins Career and Technical Education Act (Perkins Act)
- McKinney Vento Homeless Assistance Act
- Adult Education and Family Literacy Act
- Native Hawaiian Education Act and the Alaska Native Educational Equity, Support, and Assistance Act



- ➤ ESSER Funds may also be used by LEAs for the following COVID-19 related relief, prevention, and recovery efforts:
  - ✓ Coordination of preparedness and response efforts of local educational agencies with State, local, Tribal, and territorial public health departments, and other relevant agencies, to improve coordinated responses among such entities to prevent, prepare for, and respond to coronavirus
  - ✓ Providing principals and others school leaders with the resources necessary to address the needs of individual schools
  - ✓ Activities to address the unique needs of low-income children or students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and foster care youth, including how outreach and service delivery will meet the needs of each population



- ✓ Developing and implementing procedures and systems to improve the preparedness and response efforts of LEAs
- ✓ Training and professional development for staff of the LEA on sanitation and minimizing the spread of infectious diseases
- ✓ Purchasing supplies to sanitize and clean the facilities of an LEA, including buildings operated by such an agency
- ✓ Planning for and coordinating during long term closures, including meals to eligible students, technology for online learning, guidance for carrying out requirements under the IDEA, and how to ensure other educational services can continue to be provided consistent with all federal, state, and local requirements



- ✓ Purchasing educational technology (including hardware, software, and connectivity) for students that aids in regular and substantive educational interaction between students and their classroom instructors, including low-income students and students with disabilities, which may include assistive technology or adaptive equipment
- ✓ Providing mental health services and supports
- ✓ Planning and implementing activities related to summer learning and supplemental after school programs, including providing classroom instruction or online learning during the summer months and addressing the needs of low-income students, students with disabilities, English learners, migrant students, students experiencing homelessness, and children in foster care



➤ Other activities that are necessary to maintain the operation of and continuity of services in LEAs and continuing to employ existing staff of the LEA

Note: Supplement and not supplant requirements do not apply to LEA use of ESSER Fund monies





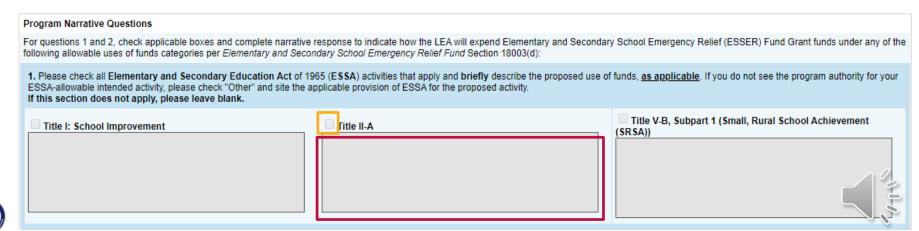
## The following are *unallowable* uses of ESSER Funds:

- ➤ CARES Act funds generally cannot be used for bonuses, merit pay, or similar expenditures, unless related to disruptions or closures resulting from COVID-19
- Subsidizing or offsetting executive salaries and benefits of individuals who are not employees of the SEA or LEAs
- Expenditures related to state or local teacher or faculty unions or associations





- The Program Narrative Questions section is where the LEA will provide brief descriptions regarding planned grant funded activities
- There are boxes available for ESSA programs, as well as other allowable federal grant programs (such as IDEA and Perkins)
- LEAs should only complete sections that apply to their planned grant funded activities. <u>In this section</u>, **if an area does not apply**, **please leave it blank**





- The Program Narrative Questions section is where the LEA will provide brief descriptions regarding planned grant funded activities
- LEAs should only complete sections that apply to their planned grant funded activities. <u>In this section</u>, **if an area does not apply**, <u>please enter N/A</u>

4. Providing principals and others school leaders with the resources necessary to address the needs of their individual schools.

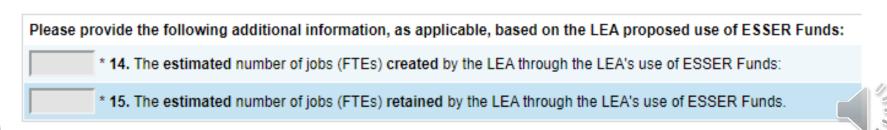
Briefly describe the proposed uses of Elementary and Secondary School Emergency Relief (ESSER) Fund Grant funds within the following additional allowable activities categories, as applicable.

If a section does not apply, please enter N/A.

3. Coordination of preparedness and response efforts of local educational agencies with State, local, Tribal, and territorial public health departments, and other relevant agencies, to improve coordinated responses among such entities to prevent, prepare for, and respond to coronavirus.

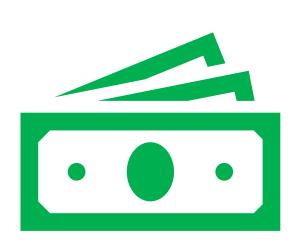


- The last section on this page includes a request for <u>estimated</u> data based on the LEA's proposed use of ESSER grant funds
- These data are being requested per USED ESSER Fund grant reporting requirements
- Please provide your best initial estimate for these two elements, as applicable





## **Budget Notes**



Be sure to **code all expenses properly** per the **USFR** 

Submit Revisions for any fiscal or programmatic change

Receive grant approval for revisions prior to implementing any change in spending or activities

In accordance with sound accounting practices, LEAs should plan to request regular reimbursements

Keep necessary **Time and Effort** documentation

The <u>initial obligation date</u> for the ESSER Fund grant is <u>March 13, 2020</u>
Substantial approval is required <u>prior</u> to any expenditures





## Required Related Documents

There is only <u>one</u> required Related Document:

FY21 Affirmation of Timely/Meaningful Ongoing Consultation and Equitable Services

Affirmations of Consultation must be uploaded by traditional school districts that are required to provide equitable services for private schools under the ESSER Fund grant

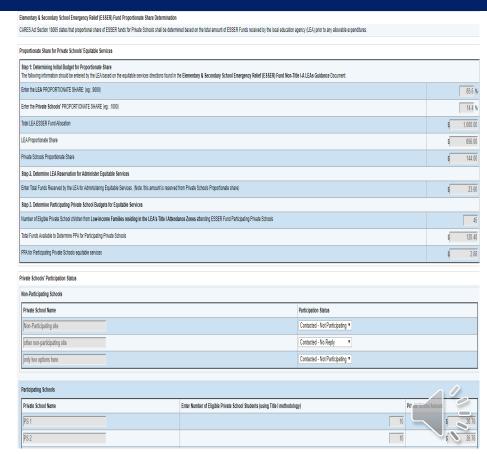
**NOTE**: This requirement **only applies to traditional school districts** and **not** charter LEAs



FY21 Affirmation of Timely/Meaningful Ongoing Consultation and Equitable Services CARES Act Elementary and Secondary School Emergency Relief Fund (ESSER) Fund (Section 18005) Elementary and Secondary Education Act - PL 107 – 110 "SECTION 1117

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A Federal	Progra	am Off	icial					
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Check if LEA I	has stud	ients res	iding within the L	LEA but are providing services t	o students attending a priv	ate school outside of	LEA boundarie	
Check if the p	rivate:	chool h	as not responded	to LEA's repeated, good-faith	attempts (3) for consultation	on		
e School C	official	: Comp	lete the follow	wing showing private scho	ool participation:	LEA Providing	FSSER Fund	_
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						Yes 🗌	No 🗌	
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Private School Public School				eaningful consultation occur hers and families. Consultat		and services of elig	ible children	d
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Public School	İ		The LEA gave d	due consideration to the vie	ws of the private school	official.		
Private School				design/provision of services	agreed upon by the LEA	and private school	s equitable.	٦
Public School			Date services a	are to begin:				
nature of F	ublic-	School	Official			Date:		
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Private School				n as agreed between the LEA				┪
Public School	İ		Date services b	began:				
Private School			The LEA gave d	due consideration to the vie	ws of the private school	official during ongo	ing	2
Public School			consultation.					
Private School			Satisfactor: re-	ervices were provided during	the school year			1
Public School			Securectory se	arrices were provided during	the school year.			5

- This page is designed to support school districts regarding the provision of equitable services for ESSER Fund participating private schools
- This section is not required for charter LEAs or districts with no children residing in a Title I eligible school attendance zone attending a participating private school
- If you believe your district may required to provide equitable services, please contact us at <u>ESSER@azed.gov</u>
- Please also refer to the detailed guidance regarding equitable services found in the ESSER Fund Guidance document posted at www.azed.gov/CARES/ESSER





#### First, the district will identify their eligible private schools:

- ✓ The district will identify their participating private schools through engaging in **timely and meaningful consultation** with all eligible private schools
- ✓ **Affirmations of Consultation** must be completed for each eligible private school indicating if the private school is choosing to either participate, or not, in ESSER Fund equitable services
- ✓ Private schools choosing not to participate should be entered as a 'Non-Participating School' with a participation status of 'Contact Not Participating'
- ✓ A minimum of 3 good-faith efforts must be made to connect with private school officials. If a private school has not responded to the district's repeated, good-faith efforts to connect for consultation, then the private school can be listed as a Non-Participating School, with a participation status of 'Contacted-No Reply'

Private Schools' Participation Status	
Non-Participating Schools	
Private School Name	Participation Status
Private School A	Contacted - Not Participating
Private School B	Contacted - No Reply



#### First, the district will identify their eligible private schools:

- ✓ Private schools choosing to participate should be entered under 'Participating Schools'
- ✓ The district then should enter each Private Participating School Name and the Number of Eligible Private School Students (using Title I methodology) for each participating private school

Participating Schools		
Private School Name	Enter Number of Eligible Private School Students (using Title I methodology)	Private School Amount
PS 1	10	\$ 26.76
PS 2	10	\$ 26.76
PS 3	25	\$ 66.89

✓ Please note: The Private School Amount fields will be automatically calculated for you by GME once the Proportionate Share Determination page is completed



### Determining Proportionate Share is a 3 Step process

- Fields highlighted in YELLOW will need to be entered by the district
- All other fields will be calculated automatically by GME

Step 1: Determining Initial Budget for Proportionate Share The following information should be entered by the LEA based on the equitable services directions found in the Elementary & Secondary School Emergency Relief (ESSER) Fund Non- Guidance Document:	Title I-A L	EAs
Enter the LEA PROPORTIONATE SHARE: (eg: .9000)		85.6 %
Enter the Private Schools' PROPORTIONATE SHARE (eg: .1000)		14.4 %
Total LEA ESSER Fund Allocation	s	1,000.00
.EA Proportionate Share	s	856.00
Private Schools Proportionate Share	s	144.00
Step 2. Determine LEA Reservation for Administer Equitable Services		
Enter Total Funds Reserved by the LEA for Administering Equitable Services. (Note: this amount is reserved from Private Schools Proportionate share)	\$	23.60
Step 3. Determine Participating Private School Budgets for Equitable Services		
Number of Eligible Private School children from Low-Income Families residing in the LEA's Title I Attendance Zones attending ESSER Fund Participating Private Schools		45
Total Funds Available to Determine PPA for Participating Private Schools	s	12.0.40
PPA for Participating Private Schools equitable services	s	2.68



### **Prior to Grant Submission**

- ✓ Ensure **GSA** is uploaded to GME
- ✓ Verify that the FFATA brief summary is completed
- Verify that all Program Narrative Questions sections have been completed based on your planned activities
- If your LEA is a traditional school district that is required to provide equitable services, ensure the Proportionate Share Determination section has been completed, as applicable
  - Verify you have uploaded all required **Affirmations of Consultation** for equitable services to Related Documents, as applicable
- Ensure all proposed **budgeted activities** are **coded properly** per the **USFR**
- ✓ Update your School and LEA IAPs using the COVID funding tag
  - NOTE: This is not required for an application to be initially approved but should be completed to support the LEA's implementation of their local plans and activities







#### **Timeline Review**

Initial Obligation Date for ESSER Fund is March 13, 2020

Allowable Pre-Award Costs are Approved to this Date

Grant Application Opens on May 29, 2020

Applications will be Reviewed on an Ongoing Basis Initial Project /
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May 29, 2020

**September 30, 2021** 

**September 30, 2022** 





# **ESSER Fund – LEA Grant Application**



# Thank you for viewing this webinar!

Please visit www.azed.gov/CARES/ESSER

for all grant and program resources and guidance documents

If you have any questions, please contact us at:

ESSER@azed.gov



